

# Interspeech 2016 tutorial:

## Recent advances in distant speech recognition

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# Table of contents

## 1. Introduction

- 1.1 Evolution of ASR
- 1.2 Challenges of DSR
- 1.3 Overview of DSR systems
- 1.4 Overview of related tasks

## 2. Front-end techniques for distant ASR

- 2.1 Dereverberation
- 2.2 Beamforming
- 2.3 Deep neural network based enhancement

## 3. Back-end techniques for distant ASR

- 3.1 Feature extraction

<BREAK>

- 3.2 Robust acoustic models
- 3.3 Acoustic model adaptation
- 3.4 Integration of front-end and back-end with deep networks

## 4. Building robust ASR systems

- 4.1 Overview of some successful systems at CHiME and REVERB
- 4.2 Overview of existing tools

## 5. Conclusion and future research directions

Acknowledgements

# List of abbreviations

|          |  |          |   |
|----------|--|----------|---|
| ASR      | Automatic Speech Recognition                       | LSTM     | Long Short-Term Memory (network)                      |
| AM       | Acoustic Model                                     | MAP      | Maximum A Posterior                                   |
| BF       | Beamformer   | MBR      | Minimum Bayes Risk                                    |
| BLSTM    | Bidirectional LSTM                                 | MCWF     | Multi-Channel Wiener Filter                           |
| CMLLR    | Constrained MLLR (equivalent to fMLLR)             | ML       | Maximum Likelihood                                    |
| CNN      | Convolutional Neural Network                       | MLLR     | Maximum Likelihood Linear Regression                  |
| CE       | Cross Entropy                                      | MLLT     | Maximum Likelihood Linear Transformation              |
| DAE      | Denoising Autoencoder                              | MMeDuSA  | Modulation of Medium Duration Speech Amplitudes       |
| DNN      | Deep Neural Network                                | MMSE     | Minimum Mean Square Error                             |
| DOC      | Damped Oscillator Coefficients                     | MSE      | Mean Square Error                                     |
| DSR      | Distant Speech Recognition                         | MVDR     | Minimum Variance Distortionless Response (Beamformer) |
| D&S      | Delay and sum (Beamformer)                         | NMF      | Non-negative Matrix Factorization                     |
| fDLR     | Feature space Discriminative Linear Regression     | PNCC     | Power-Normalized Cepstral Coefficients                |
| fMLLR    | Feature space MLLR (equivalent to CMLLR)           | RNN      | Recurrent Neural Network                              |
| GCC-PHAT | Generalized Cross Correlation with Phase Transform | SE       | Speech Enhancement                                    |
| GMM      | Gaussian Mixture Model                             | sMBR     | state-level Minimum Bayes Risk                        |
| HMM      | Hidden Markov Model                                | SNR      | Signal-to-Noise Ratio                                 |
| IRM      | Ideal Ratio Mask                                   | SRP-PHAT | Steered Response Power with the PHase Transform       |
| KL       | Kullback–Leibler (divergence/distance)             | STFT     | Short Time Fourier Transform                          |
| LCMV     | Linear Constrained Minimum Variance                | TDNN     | Time Delayed Neural Network                           |
| LDA      | Linear Discriminant Analysis                       | TDOA     | Time Difference Of Arrival                            |
| LIN      | Linear Input Network                               | TF       | Time-Frequency  |
| LHN      | Linear Hidden Network                              | VTLN     | Vocal Tract Length Normalization                      |
| LHUC     | Learning Hidden Unit Contribution                  | VTs      | Vector Taylor Series                                  |
| LM       | Language Model                                     | WER      | Word Error Rate                                       |
| LP       | Linear Prediction                                  | WPE      | Weighted Prediction Error (dereverberation)           |

# Notations

|   |  |
|---|--|
| <b>Basic notation</b>                         |  |
| $a$   | Scalar   |
| $\mathbf{a}$                                  | Vector   |
| $\mathbf{A}$                                  | Matrix   |
| <b>Signal processing</b>                      |  |
| $A$   | Sequence   |
| $x[n]$  | Time domain signal at sample $n$                                 |
| $X(t, f)$                                     | Frequency domain coefficients at frame $t$ and frequency bin $f$ |
| <b>ASR</b>                                    |  |
| $\mathbf{o}_t$                                | Speech feature vector at frame $t$                               |
| $O \equiv \{\mathbf{o}_t   t = 1, \dots, T\}$ | $T$ -length sequence of speech features                          |
| $w_n$   | Word at $n^{\text{th}}$ position                                 |
| $W \equiv \{w_n   n = 1, \dots, N\}$          | $N$ -length word sequence  |

# Notations

| operation  |                            |
|--|----------------------------|
| $a^*$  | Complex conjugate          |
| $\mathbf{A}^T$   | Transpose                  |
| $\mathbf{A}^H$   | Hermitian transpose        |
| $\mathbf{a} \circ \mathbf{b}$ or $\mathbf{A} \circ \mathbf{B}$ | Elementwise multiplication |
| $\sigma()$   | Sigmoid function           |
| <code>softmax()</code>   | Softmax function           |
| <code>tanh()</code>  | Tanh function              |
|  |                            |
|  |                            |



# 1. Introduction

# 1.1 Evolution of ASR

# From pattern matching to probabilistic approaches

(Juang'04)

- 50s-60s
  - Initial attempts with template matching
  - Recognition of digits or few phonemes
- 70s
  - Recognition of 1000 words
  - First National projects (DARPA)
  - Introduction of beam search
- 80s
  - Introduction of probabilistic model approaches (**n-gram language models**, **GMM-HMM** acoustic models)
  - First attempts with Neural Networks
  - Launch of initial dictation systems (Dragon Speech)





# From research labs to outside world

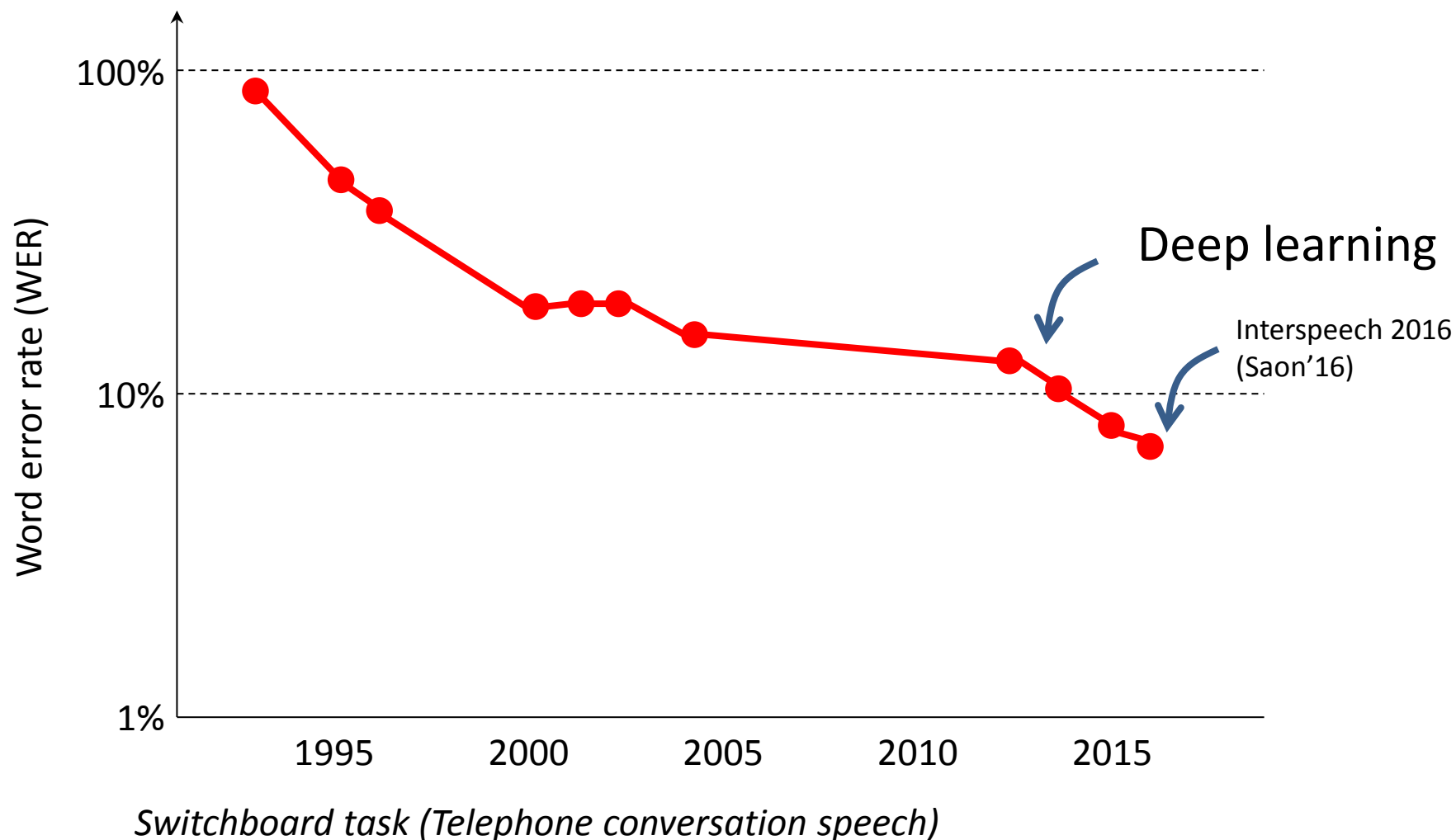
(Juang'04)

- 90s
  - **Discriminative training** for acoustic models, **MLLR** adaptation, **VTS**
  - Development of Common toolkits (**HTK**)
- 2000s
  - Less breakthrough technologies
  - New popular toolkits such as **KALDI**
  - Launch of large scale applications (Google Voice search)
- 2010s
  - Introduction of **DNNs**, RNN-LMs
  - ASR used in more and more products (e.g. SIRI...)



# Evolution of ASR performance

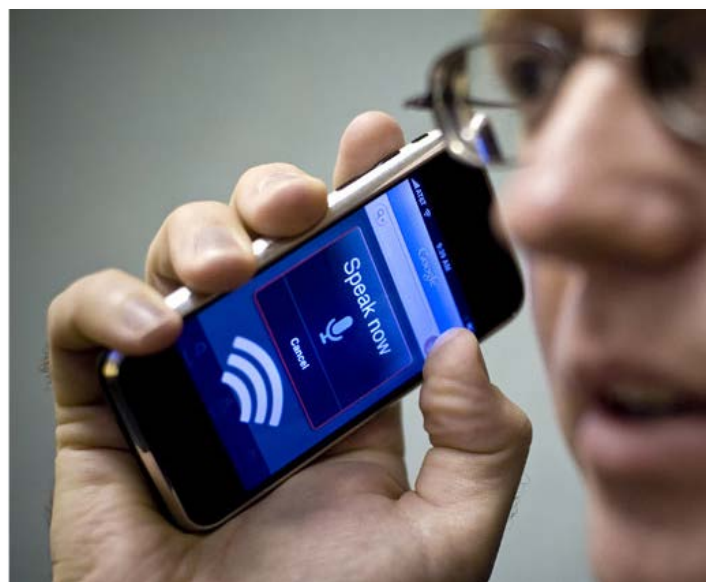
(Pallett'03, Saon'15, Saon'16)



# Impact of deep learning

- Great performance improvement
  - DNNs are more robust to input variations
  - bring improvements for all tasks (LVCSR, DSR, ...)
- Robustness is still an issue (Seltzer'14, Delcroix'13)
  - Speech enhancement/adaptation improve performance  
Microphone array, fMLLR, ...
- Reshuffling the cards
  - Some technologies relying on GMMs became obsolete,  
VTS, MLLR ...
  - Some technologies became less effective,  
VTLN, Single channel speech enhancement, ...
  - New opportunities,
    - Exploring long context information for recognition/enhancement
    - Front-end/back-end joint optimization, ...

# Towards distant ASR (DSR)

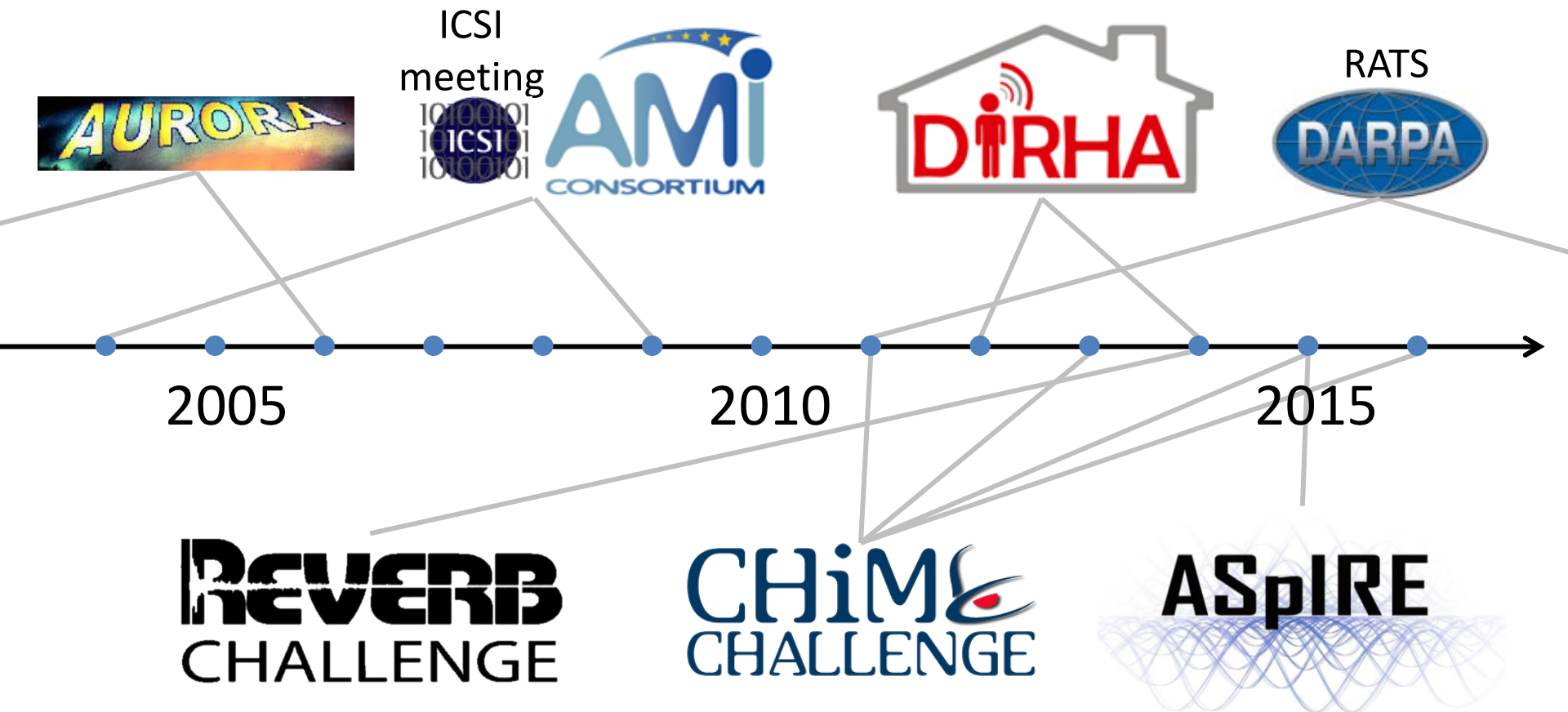


Close-talking microphone  
*e.g., voice search*



Distant microphone  
*e.g., Human-human comm.,  
Human-robot comm.*

# Interest for DSR - Academia



# Interest for DSR - Industry



Home assistants



Game consoles



Robots

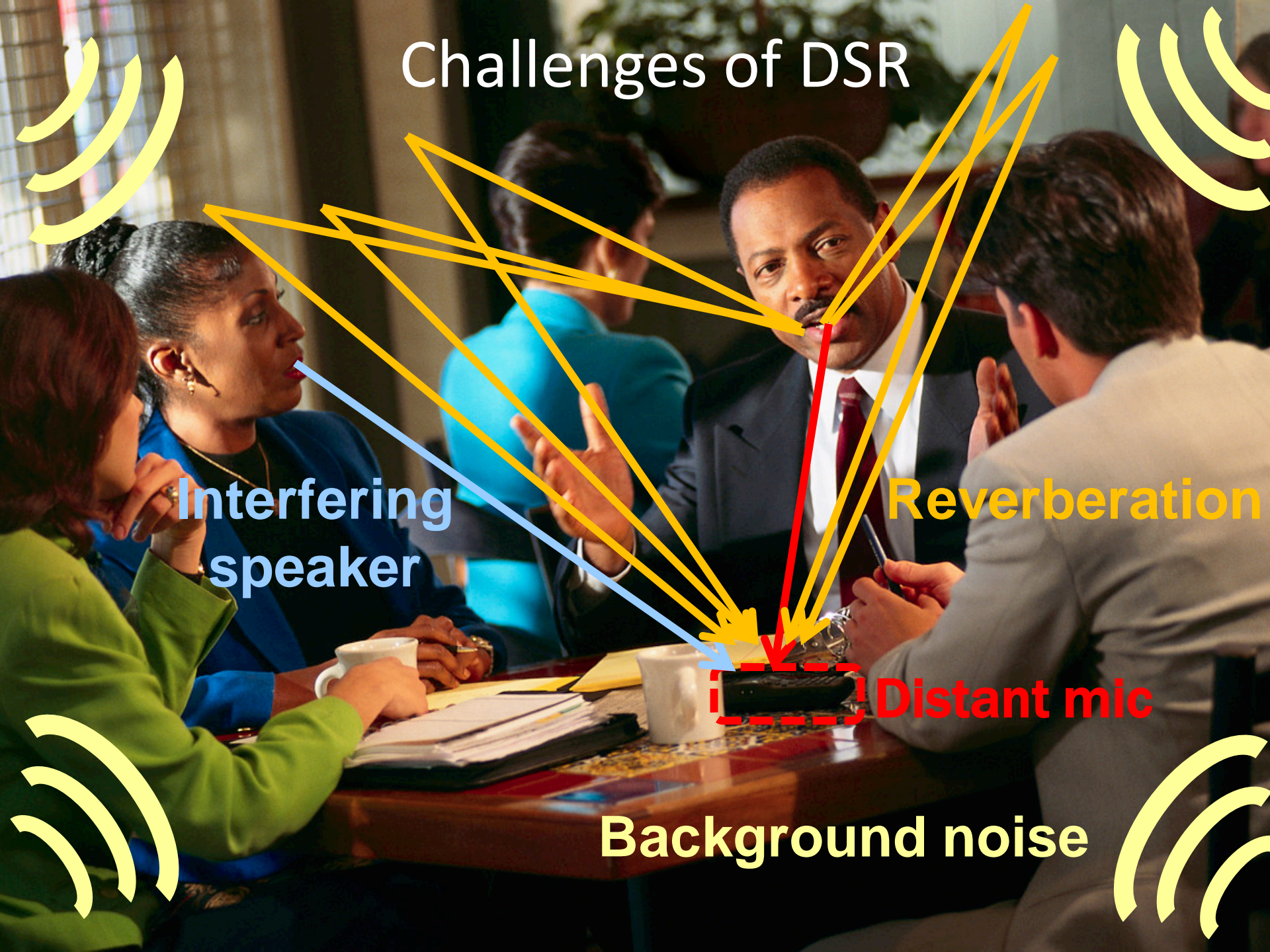


Voiced controlled appliances



## 1.2 Challenges of DSR

# Challenges of DSR



Interfering  
speaker

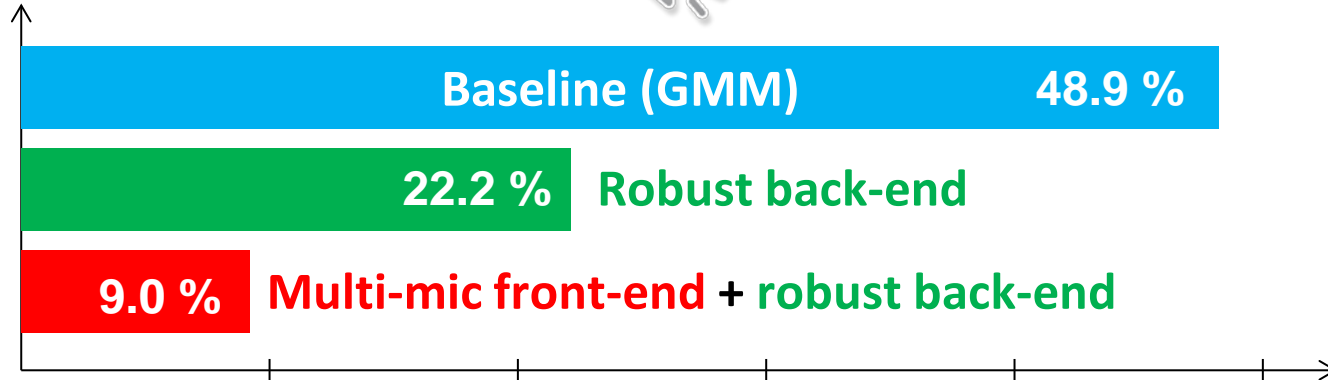
Reverberation

Distant mic

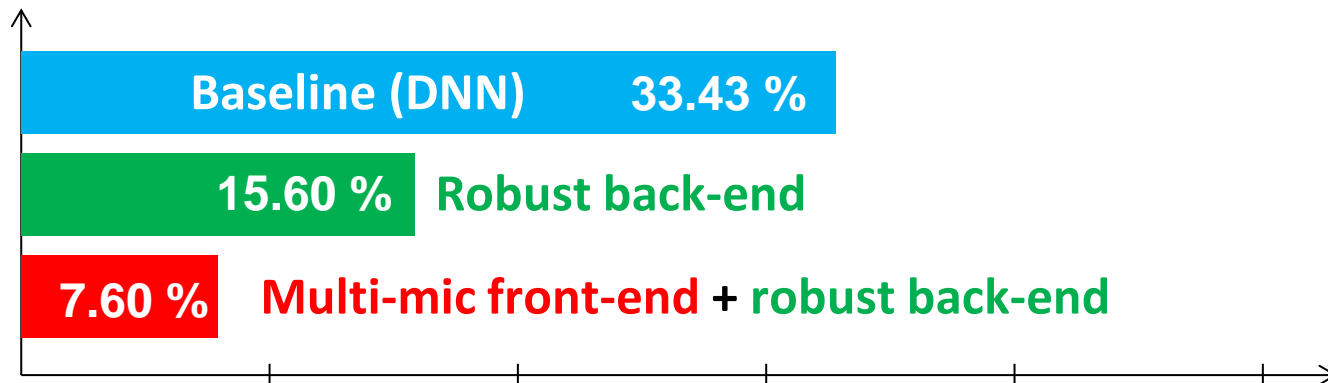
Background noise

# Recent achievements

- REVERB 2014 (WER)

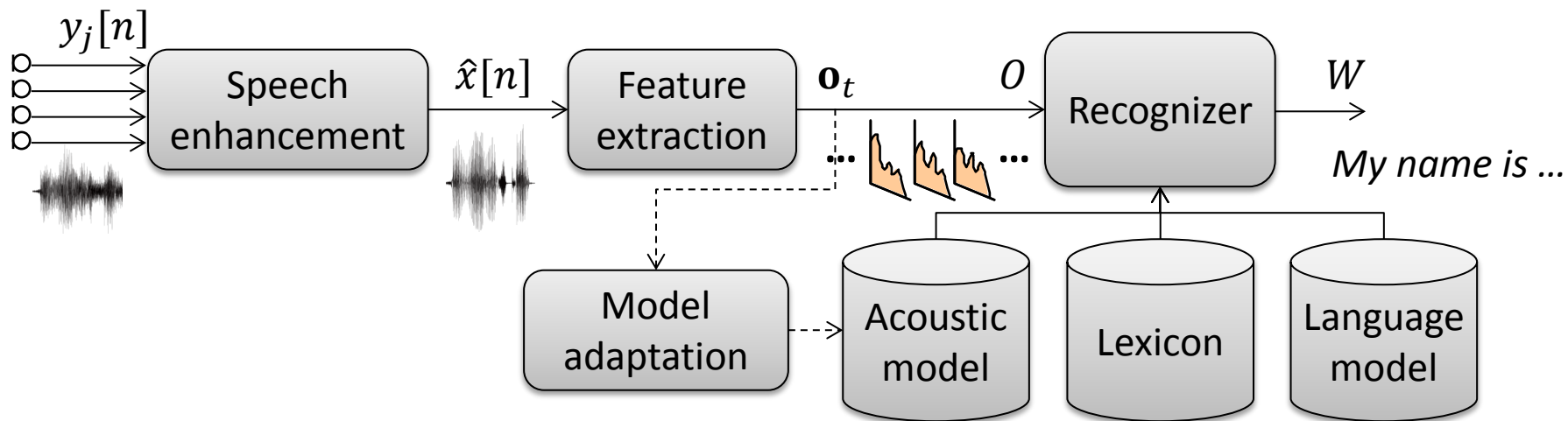


- CHiME-3 2015 (WER)



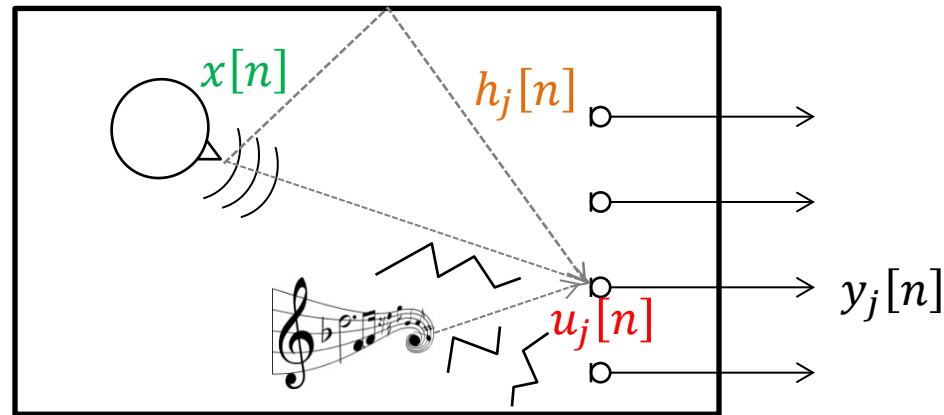
## 1.3 Overview of DSR systems

# DSR system



# Signal model – Time domain

- Speech captured with a distant microphone array



- Microphone signal at  $j^{\text{th}}$  microphone

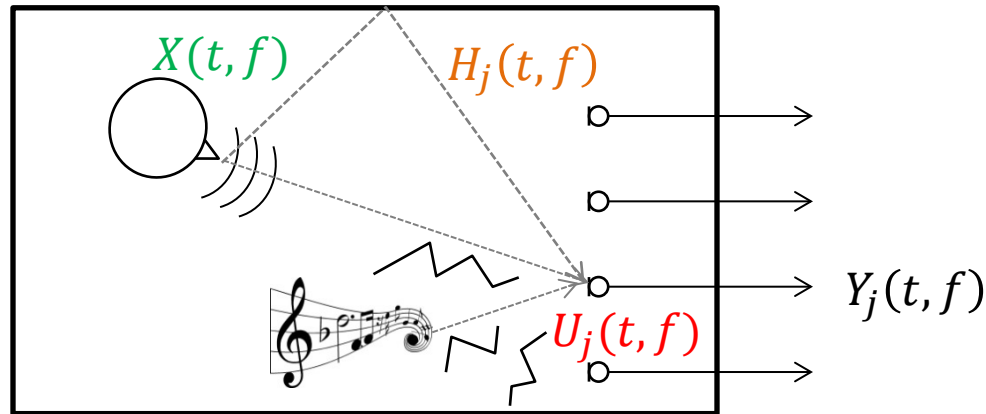
$$y_j[n] = \sum_l h_j[l]x[n-l] + u_j[n] = h_j[n] * x[n] + u_j[n]$$

- $x[n]$  Target clean speech
- $h_j[n]$  Room impulse response
- $u_j[n]$  Additive noise (background noise, ...)
- $n$  Time index



# Signal model - STFT domain

- Speech captured with a distant microphone array



- Microphone signal at  $j^{th}$  microphone:

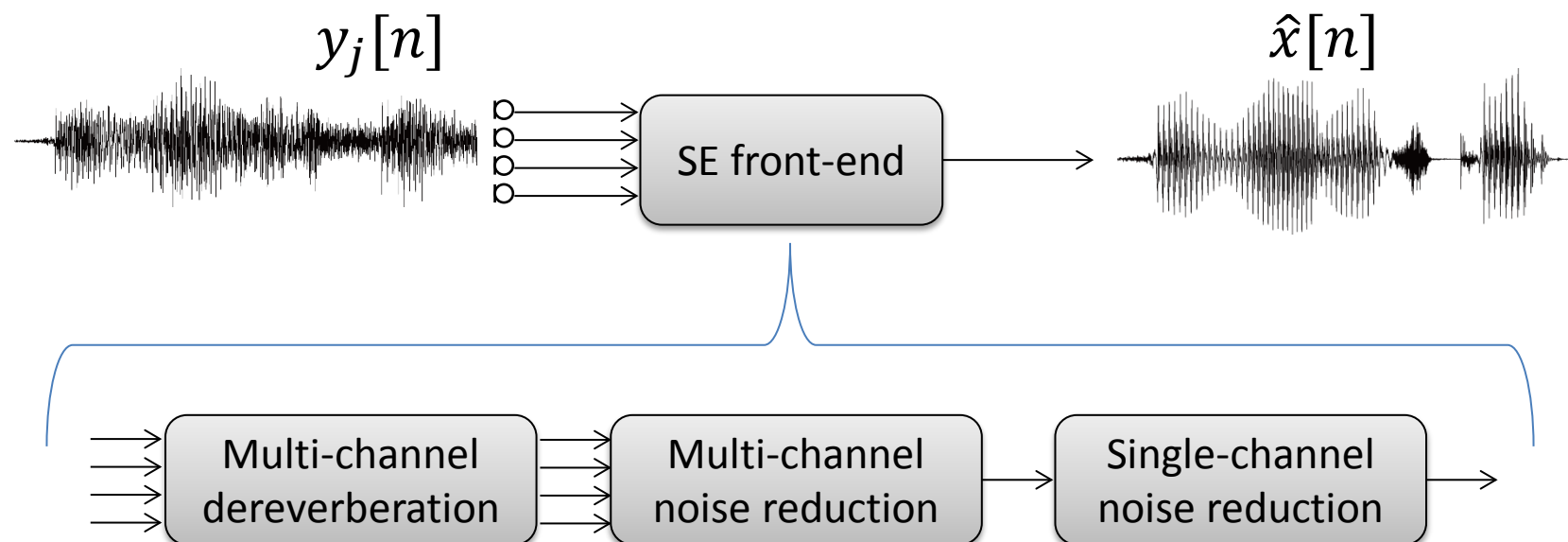
$$Y_j(t, f) \approx \sum_m H_j(m, f) X(t - m, f) + U_j(t, f) = H_j(t, f) * X(t, f) + U_j(t, f)$$

- $X(t, f)$  Target clean speech
- $H_j(t, f)$  Room impulse response
- $U_j(t, f)$  Additive noise
- $(t, f)$  time frame index and frequency bin index

*Approximate a long-term convolution in the time domain as a convolution in the STFT domain, because  $h_i[n]$  is longer than the STFT analysis window*

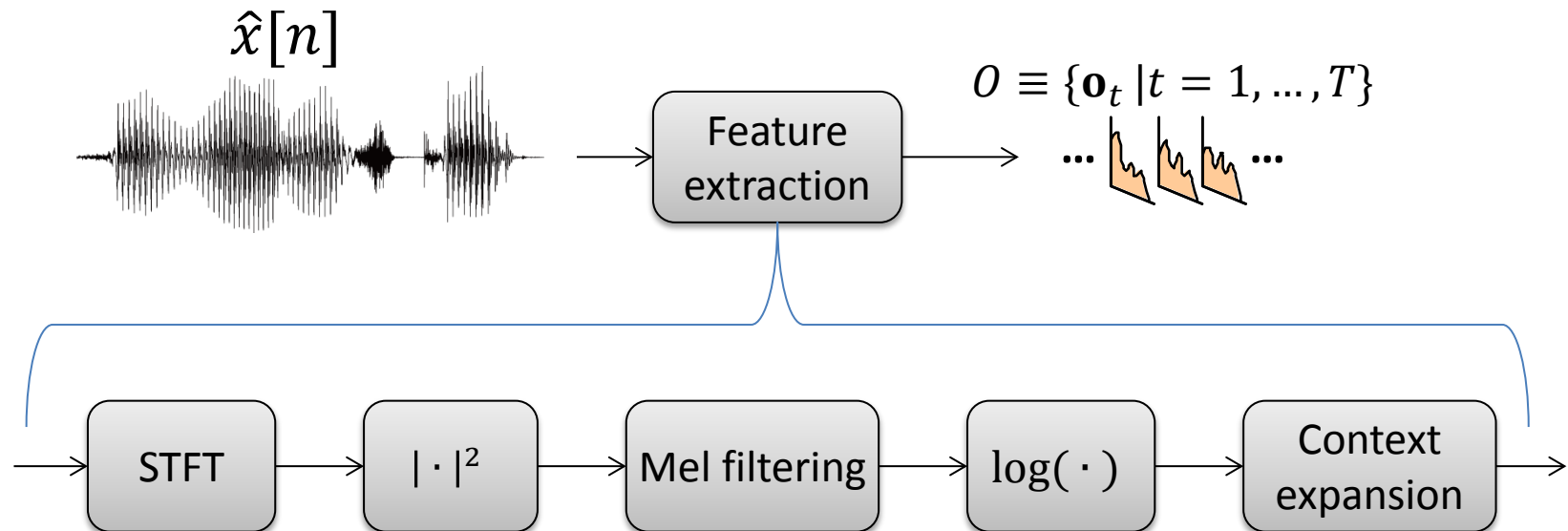
# Speech enhancement (SE) front-end

- Reduce mismatch between the observed signal and the acoustic model caused by noise and reverberation



# Feature extraction

- Converts a speech signal to a sequence of speech features more suited for ASR, typically log mel filterbank coefficients
- Append left and right context



# Recognition

- Speech recognition

- Bayes decision theory(MAP):

$$\begin{aligned}\hat{W} &= \arg \max_W p(W|O) \\ &= \arg \max_W p(O|W)p(W)\end{aligned}$$

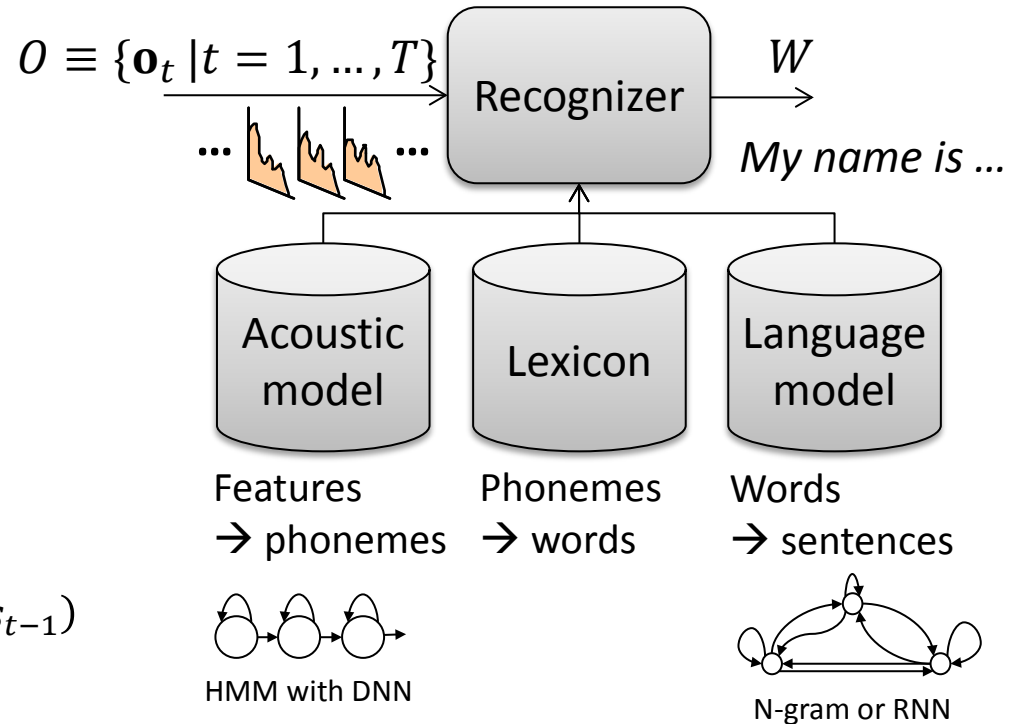
- Acoustic model

- HMM:

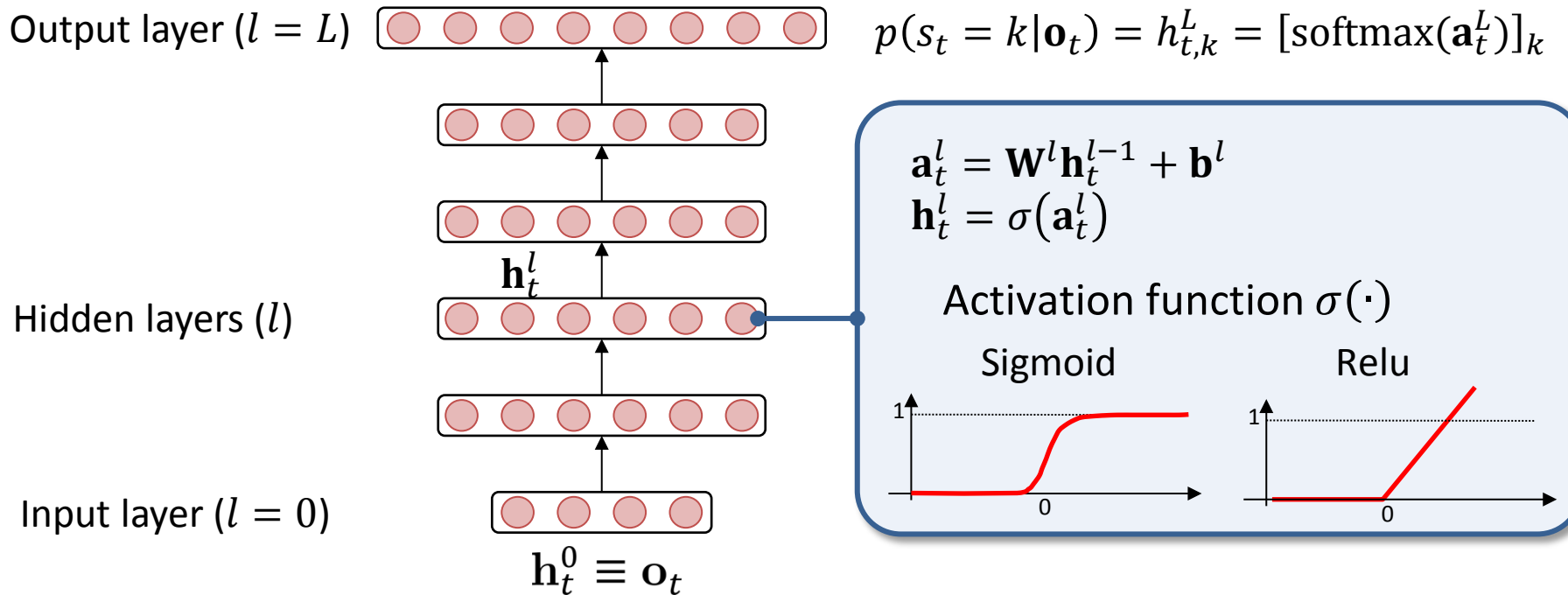
$$p(O|S) = p(\mathbf{o}_1|s_1)p(s_1) \prod_{t=2}^T p(\mathbf{o}_t|s_t)p(s_t|s_{t-1})$$

Where  $s_t$  is an HMM state index

- HMM state emission probability,  $p(\mathbf{o}_t|s_t)$  obtained as the output of a deep neural network (DNN)



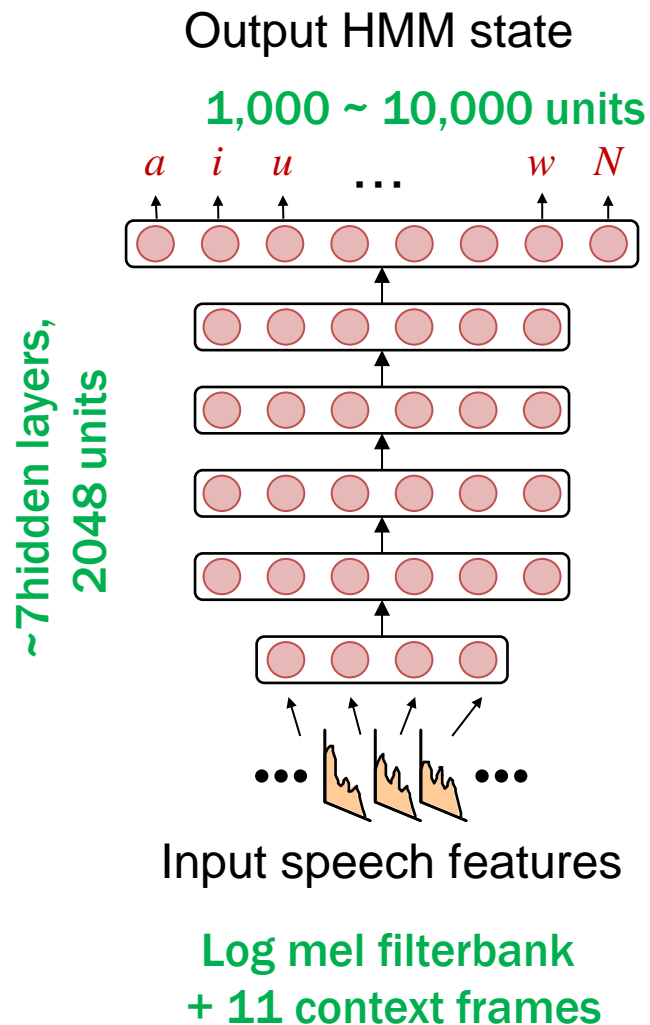
# Basics of deep neural networks



- Trained using error back-propagation
- Training criterion, cross entropy, MMSE, State-level MBR, ...

# DNN-based acoustic modeling

(Hinton'12, Mohamed'12)



- Minimize cross entropy

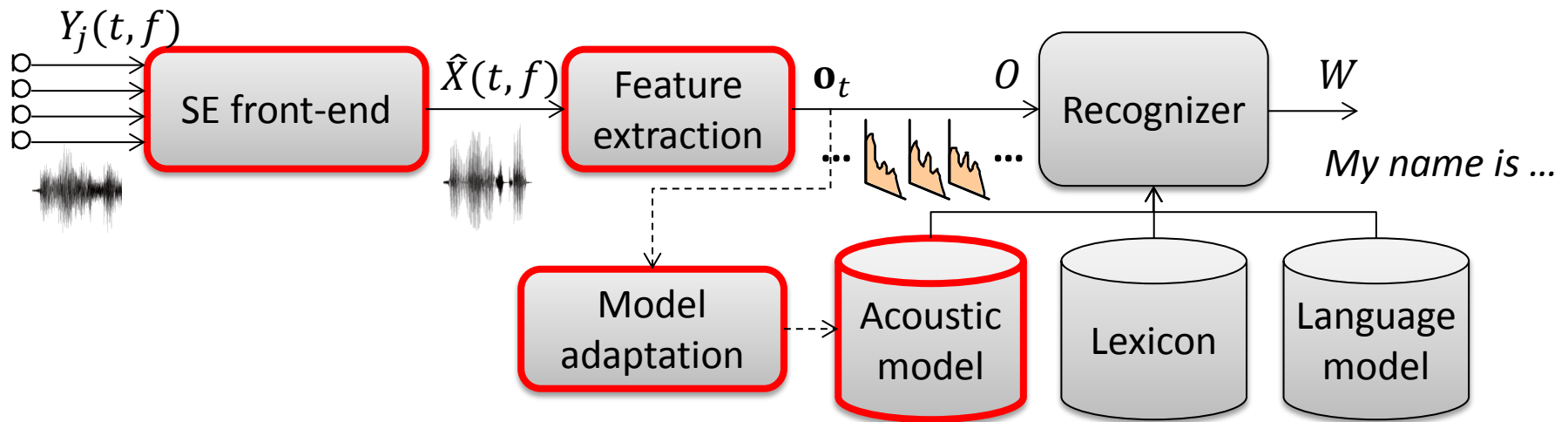
$$J(\theta) = - \sum_t \sum_k \tau_{t,k} \log h_{t,k}^L(\theta)$$

- $\tau_{t,k}$  Target label
- $h_{t,k}^L$  Network output
- $\theta$  Network parameters

- Optimization using error backpropagation
- Use large amount of speech training data with the associated HMM state alignments



# Content of the tutorial



In this tutorial we describe some representative approaches for each of the main components of a DSR system

# Topics not covered in this tutorial

- Voice activity detection
- Keyword spotting
- Multi-speaker / Speaker diarization
- Online processing
- Data simulation
- Lexicon, Language modeling and decoding

## 1.4 Overview of related tasks

# Robust ASR tasks

CHiM  
CHALLENGE

REVERB  
CHALLENGE

AMi  
CONSORTIUM

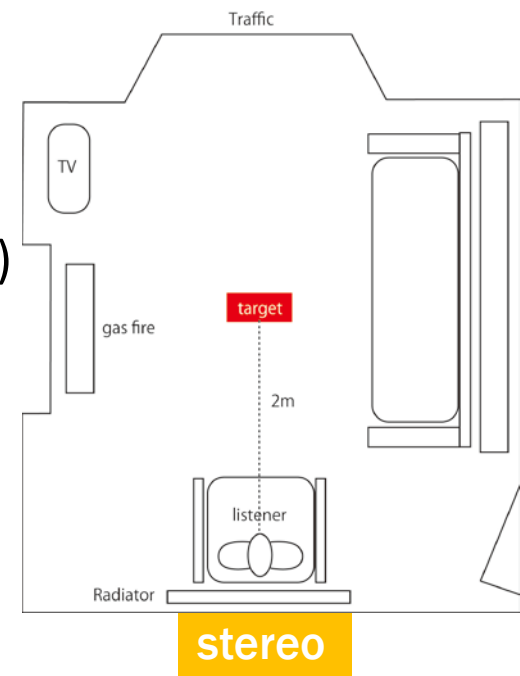
AURORA

ASPIRE

DIRHA

- Distant speech recognition in living room
  - Acoustic conditions
    - Simulated distant speech
    - SNR: -6dB to - 9dB
  - # mics : 2
  - CHiME 1: Command (Grid corpus)  
+ noise (living room)
  - CHiME 2 (WSJ): WSJ (5k) + noise (living room)

[http://spandh.dcs.shef.ac.uk/chime\\_challenge](http://spandh.dcs.shef.ac.uk/chime_challenge)



(Barker'15)

- Noisy speech recognition using a tablet
  - Recording conditions
    - Noise types: Bus, Café, Street, Pedestrian
    - # mics: 6 (CHiME3); 1, 2, 6 (CHiME4)
    - Simulated and real recordings
  - Speech
    - Read speech (WSJ (5k))

[http://spandh.dcs.shef.ac.uk/chime\\_challenge](http://spandh.dcs.shef.ac.uk/chime_challenge)



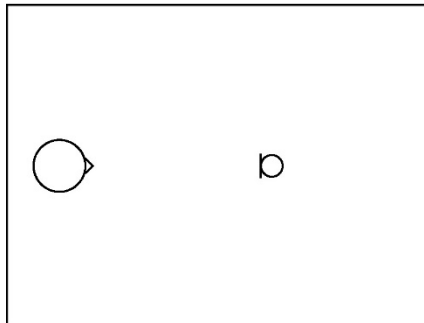


- Reverberant speech recognition
  - Recording conditions
    - Reverberation (RT 0.2 to 0.7 s.)
    - Noise type: stationary noise (SNR  $\sim$  20dB)
    - # mics: 1, 2, 8
    - Simulated and real recordings (MC-WSJ-AV)
  - Speech
    - Read speech (WSJ CAM0 (5k))

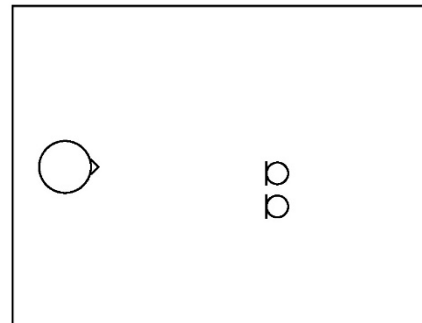


<http://reverb2014.dereverberation.com>

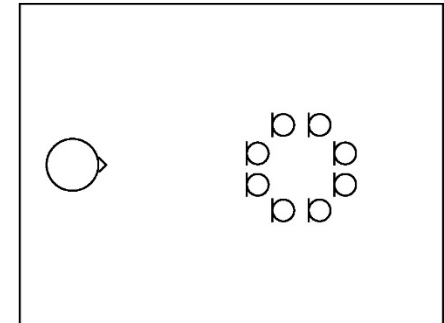
1ch scenario



2ch scenario



8ch circular-array scenario



- Meeting recognition corpus
  - Recording conditions
    - Multi-speaker conversations
    - Reverberant rooms
    - # mics: 8
    - Real recordings
  - Speech
    - Spontaneous meetings (8k)



<http://corpus.amiproject.org/>



# AURORA

(Parihar'02)

- Aurora 4
  - Recording conditions
    - Noise types: car, babble, street, airport, train, restaurant
    - SNR: 5-15 dB
    - Channel distortion
    - # mics: 1
    - Simulation
  - Speech
    - Read speech (WSJ (5k))

<http://aurora.hsnr.de/index-2.html>



## ASpIRE

(Harper'15)

- Large vocabulary reverberant speech
  - Recording conditions
    - Reverberant speech
    - 7 different rooms (classrooms and office rooms) with various shapes, sizes, surface properties, and noise sources
    - # mics: 1 or 6
  - Speech
    - Training data: Fisher corpus (2000 h of telephone speech)

<https://www.iarpa.gov/index.php/working-with-iarpa/prize-challenges/306-automatic-speech-in-reverberant-environments-aspire-challenge>



# DIRHA

(Matassoni'14)

- Multi-microphone and multi-language database
  - Acoustic conditions
    - Noise/reverberation recorded in an apartment
    - # mics: 40
    - Simulation
  - Speech
    - Multi-language (4 languages)
    - Various styles, command, keyword, spontaneous, ...

<http://dirha.fbk.eu/simcorpora>



# Summary of tasks

|              | Vocab size | Amount of training data | Real/<br>Simu | Type of distortions  | # mics | Mic-speaker distance | Ground truth    |
|--------------|------------|-------------------------|---------------|--|--------|----------------------|-----------------|
| ASpIRE       | 100K       | ~ 2000 h                | Real          | Reverberation  | 8/1    | N/A                  | N/A             |
| AMI          | 11         | 75 h                    | Real          | Multi-speaker conversations<br>Reverberation and noise   | 8      | N/A                  | Headset         |
| Aurora4      | 5K         | 7,138 utt. (~ 14 h)     | Simu          | Additive noise + channel distortion (SNR 5-15dB)   | 1      | N/A                  | Clean           |
| CHiME1       | 50         | 17,000 utt.             | Simu          | Non-stationary noise recorded in a living room (SNR -6dB – 9dB)<br>Reverberation from recorded impulse responses | 2      | 2m                   | Clean           |
| CHiME2 (WSJ) | 5K         | 7138 utt. (~ 15 h)      | Simu          | Same as CHiME1   | 2      | 2m                   | Clean           |
| CHiME3       | 5K         | 8738 utt. (~ 18 h)      | Simu + Real   | Non-stationary noise in 4 environments   | 6      | 0.5m                 | Close talk mic. |
| CHiME4       | 5K         | 8738 utt. (~ 18 h)      | Simu + Real   | Non-stationary noise in 4 environments   | 6/2/1  | 0.5m                 | Close talk mic. |
| REVERB       | 5K         | 7861 utt.. (~ 15 h)     | Simu + Real   | Reverberation in different living rooms (RT60 from 0.25 to 0.7 sec.) + stationary noise (SNR ~ 20dB)             | 8/2/1  | 0.5 m – 2m           | Clean /Headset  |

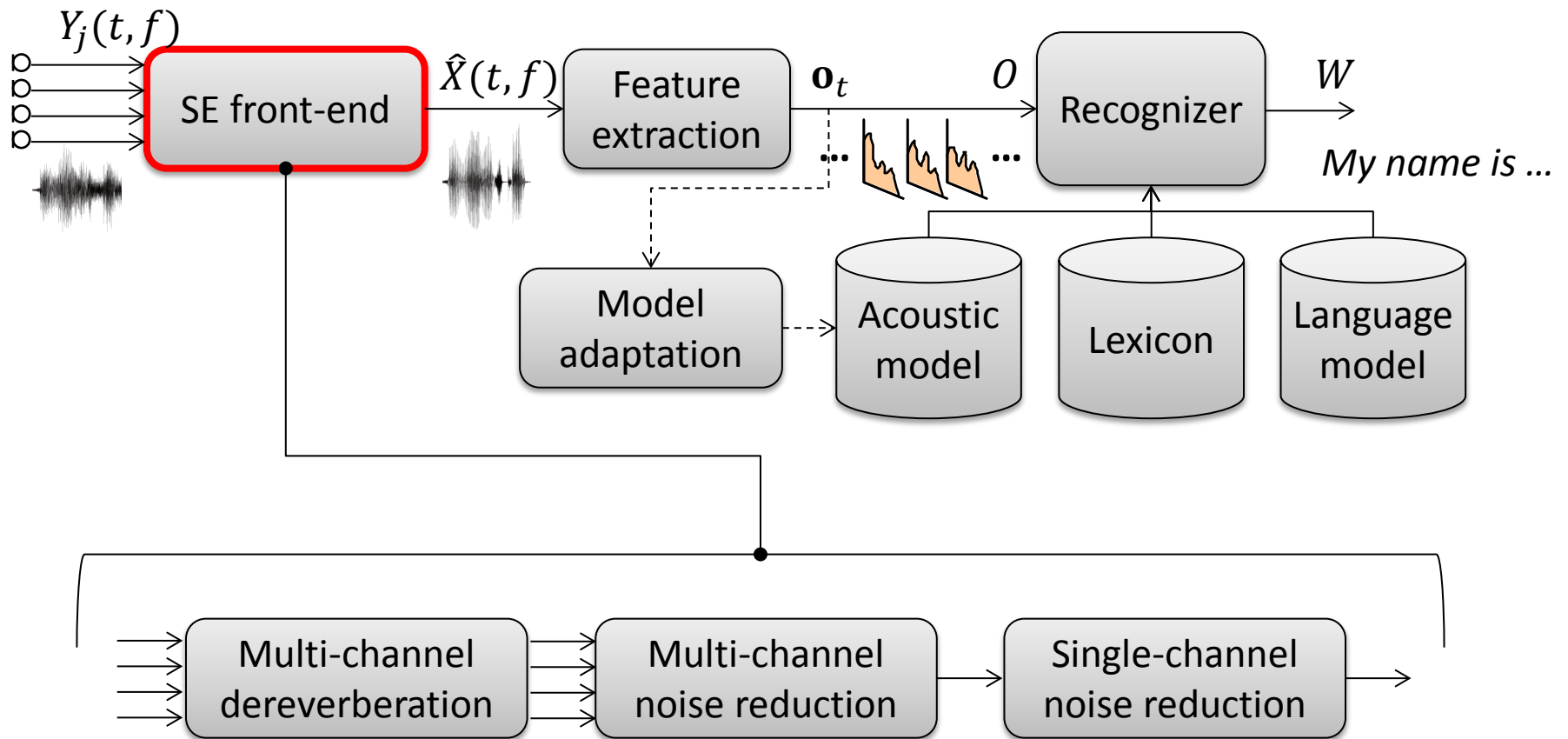
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- (Saon'15) Saon, G. et al. "The IBM 2015 English Conversational Telephone Speech Recognition System," arXiv:1505.05899 (2015).
- (Saon'16) Saon, G. et al. "The IBM 2016 English Conversational Telephone Speech Recognition System," Proc. Interspeech (2016).
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## 2. Front-end techniques for distant ASR

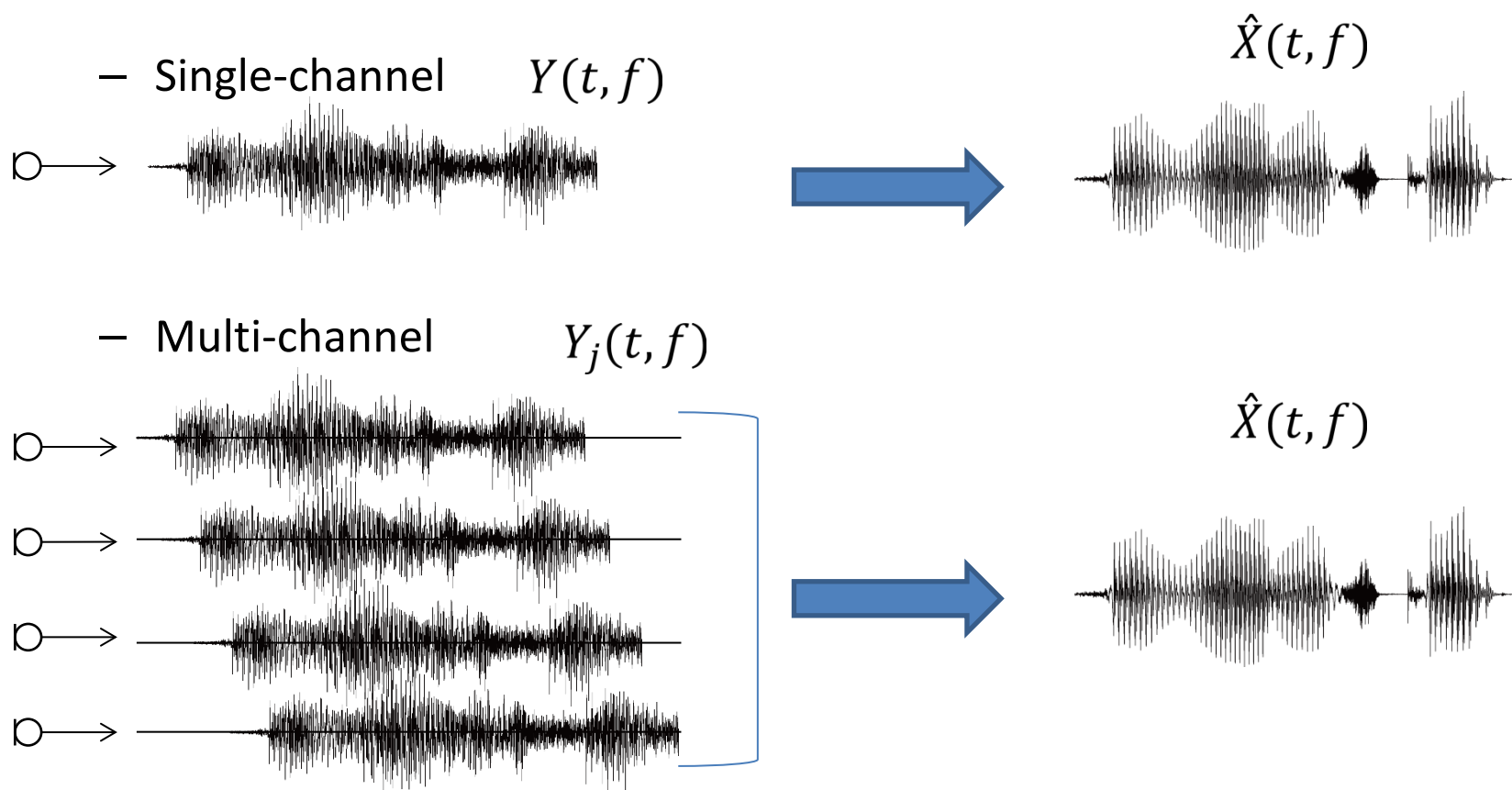


# SE Front-end



# Speech enhancement (SE)

- Reduce mismatch between observed speech and ASR back-end due to noise/reverberation



# Type of processing

- Linear processing

- Linear filter constant for long segments

$$Y(t, f) \quad \longrightarrow \quad \hat{X}(t, f) = W^*(f)Y(t, f)$$

- Non-linear processing

- Linear filter changing for each time-frame

$$Y(t, f) \quad \longrightarrow \quad \hat{X}(t, f) = W^*(t, f)Y(t, f)$$

- Non-linear transformation

$$Y(t, f) \quad \longrightarrow \quad \hat{X}(t, f) = F(Y(t, f))$$

With  $F(\cdot)$  Non-linear function

# Categorization of SE front-ends

|                       | Single-channel  | Multi-channel  |
|-----------------------|---|--|
| Linear processing     | <ul style="list-style-type: none"><li>• WPE dereverberation (Nakatani'10)</li></ul>   | <ul style="list-style-type: none"><li>• Beamforming (Van Trees'02)</li><li>• WPE dereverberation (Nakatani'10)</li><li>• Neural network-based enhancement (Heymann'15)</li></ul> |
| Non-linear processing | <ul style="list-style-type: none"><li>• Spectral subtraction (Boll'79)</li><li>• Wiener filter (Lim'79)</li><li>• Time-frequency masking(Wang'06)</li><li>• NMF (Virtanen'07)</li><li>• Neural network-based enhancement (Xu'15, Narayanan'13, Weninger'15)</li></ul> | <ul style="list-style-type: none"><li>• Time-frequency masking (Sawada'04)</li><li>• NMF (Ozerov'10)</li><li>• Neural network-based enhancement (Xiao'16)</li></ul>              |

# Categorization of SE front-ends

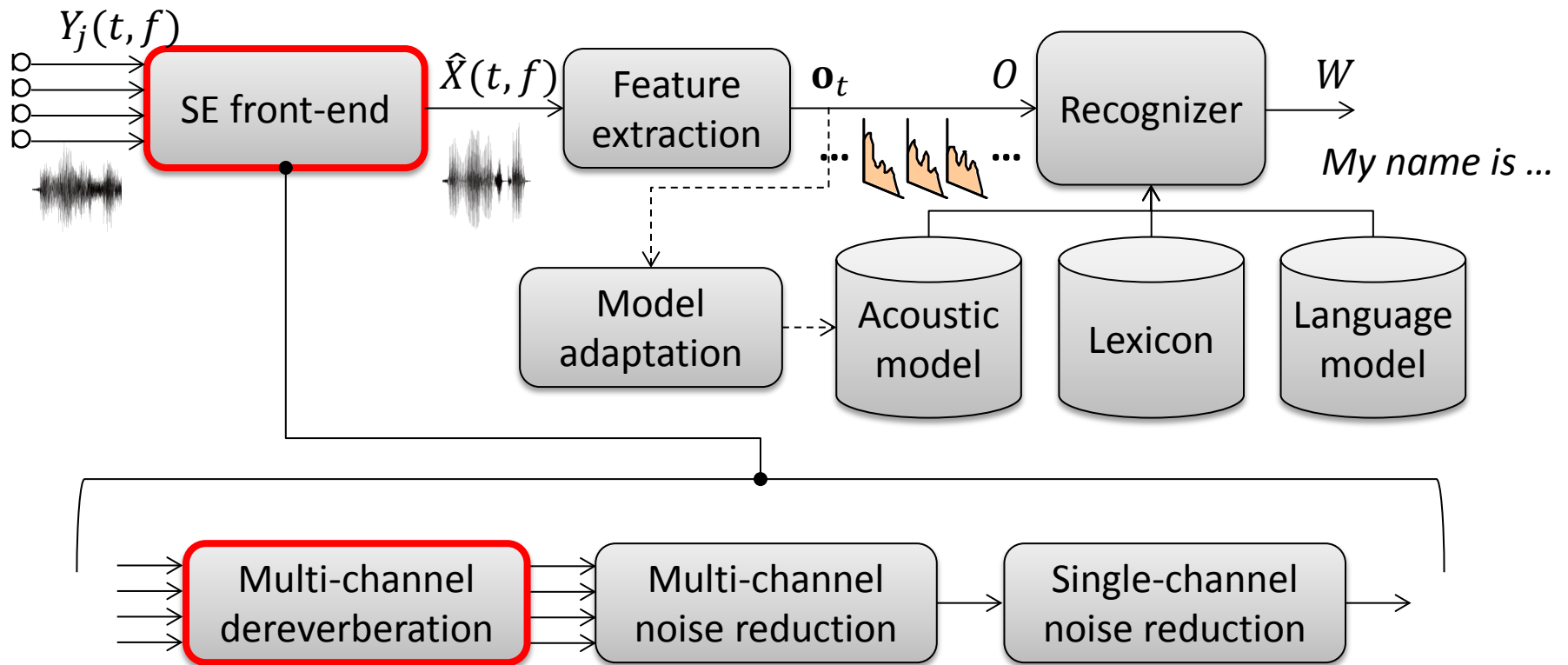
|                       | Single-channel  | Multi-channel   |
|-----------------------|---|---|
| Linear processing     | <ul style="list-style-type: none"><li>• <b>WPE dereverberation</b> (Nakatani'10)</li></ul>  | <ul style="list-style-type: none"><li>• <b>Beamforming</b> (Van Trees'02)</li><li>• <b>WPE dereverberation</b> (Nakatani'10)</li><li>• <b>Neural network-based enhancement</b> (Heymann'15)</li></ul> |
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Focus on

- Linear processing
- Neural network-based enhancement

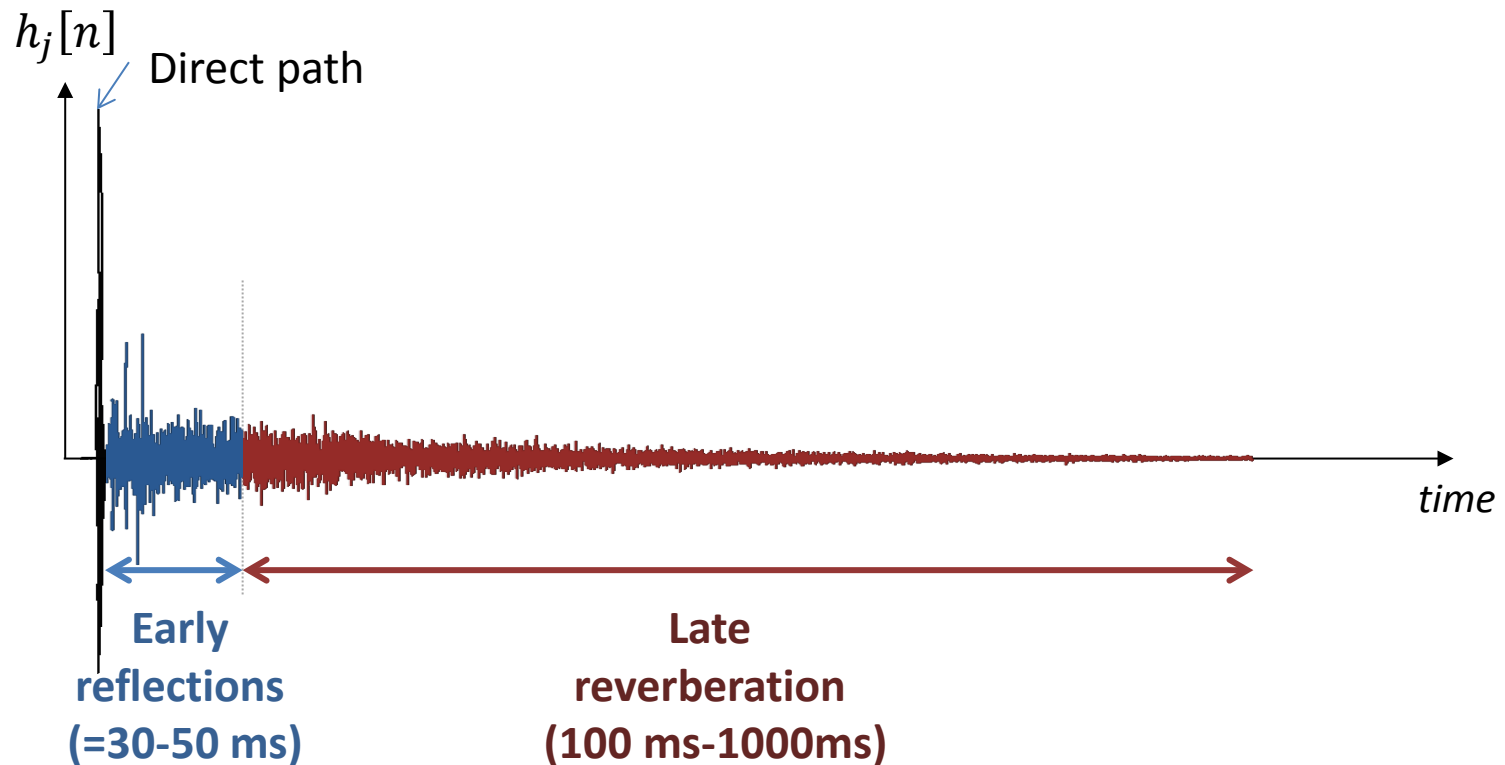
Have been shown to interconnect well with ASR back-end

## 2.1 Dereverberation



# Room impulse response

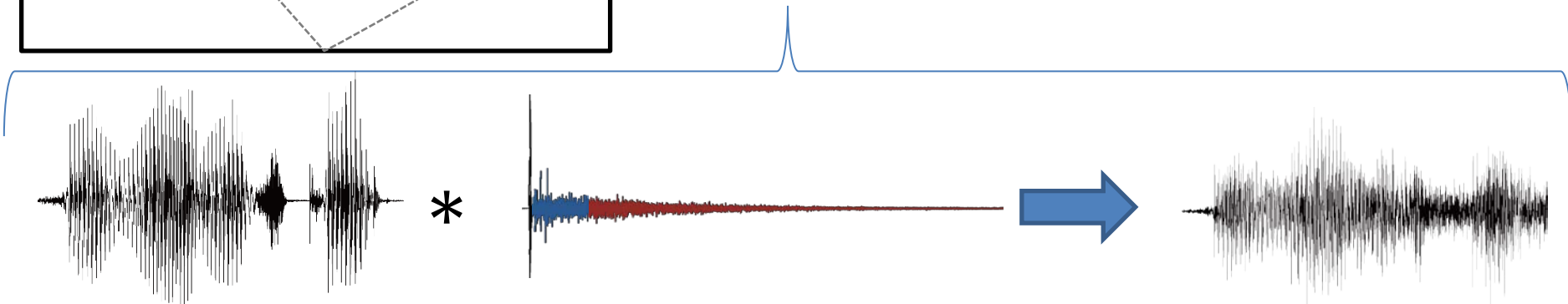
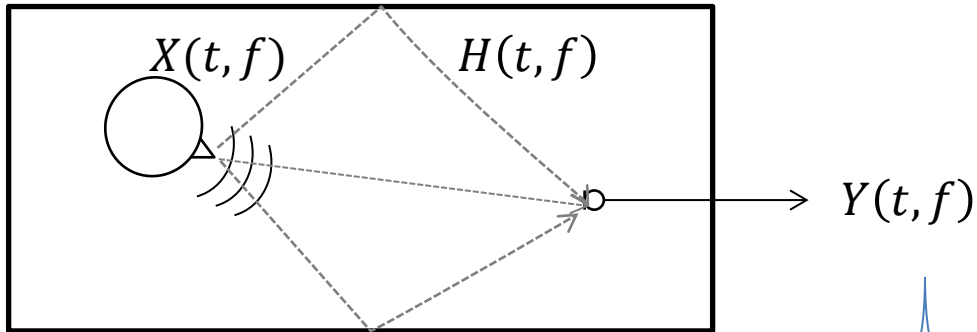
- Models the multi-path propagation of sound caused by reflections on walls and objects (Kuttruff'09)
  - Length 200-1000 ms in typical living rooms





# Reverberant speech

(Yoshioka'12b)



$$Y(t, f) = H_j(t, f) * X(t, f) + U(t, f)$$

$$= \sum_{\tau=0}^d H(\tau, f) X(t - \tau, f) + \sum_{\tau=d+1}^T H(\tau, f) X(t - \tau, f) + U(t, f)$$

**Direct + Early  
sound reflections**  
 $D(t, f)$

**Late  
reverberation**  
 $L(t, f)$

*Neglect noise for the  
derivations*

Dereverberation aims at suppressing late reverberation

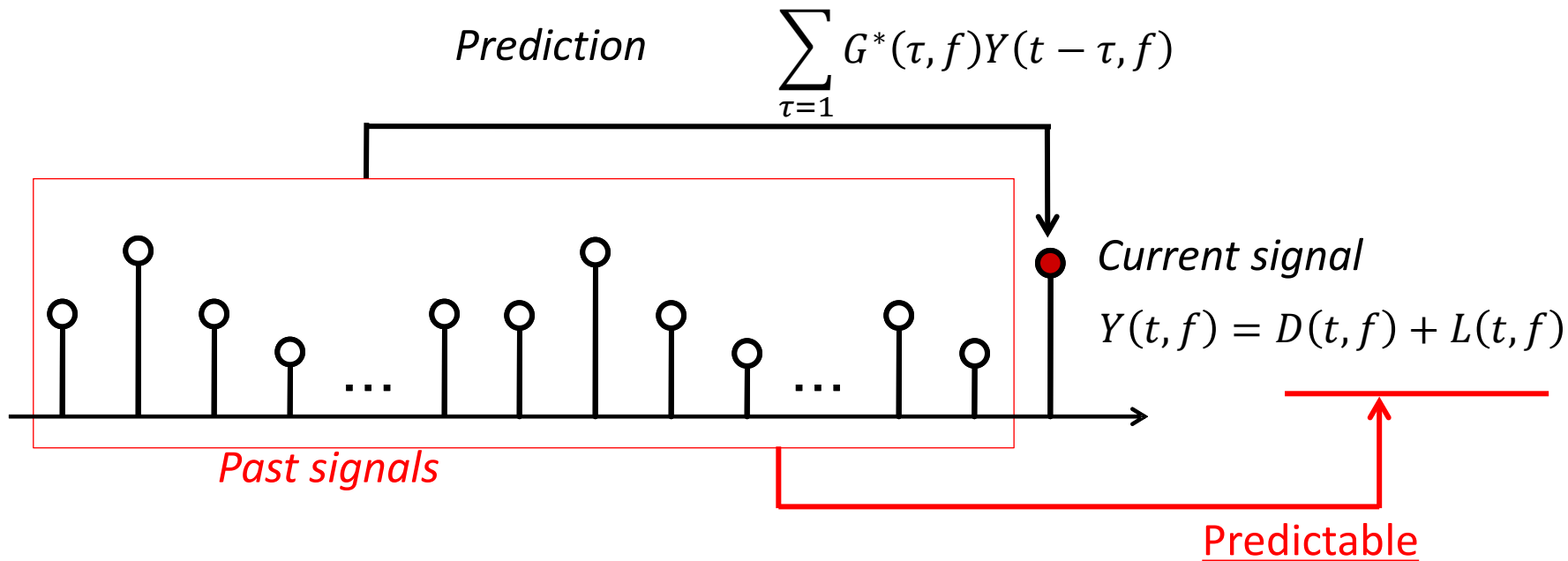
# Dereverberation

- Linear filtering
  - Weighted prediction error
- Non-linear filtering
  - Spectral subtraction using a statistical model of late reverberation (Lebart'01, Tachioka'14)
  - Neural network-based dereverberation (Weninger'14)

# Linear prediction (LP)

(Haykin'96)

- Reverberation: linear filter  
→ Can predict reverberation from past observations using linear prediction  
(under some conditions)



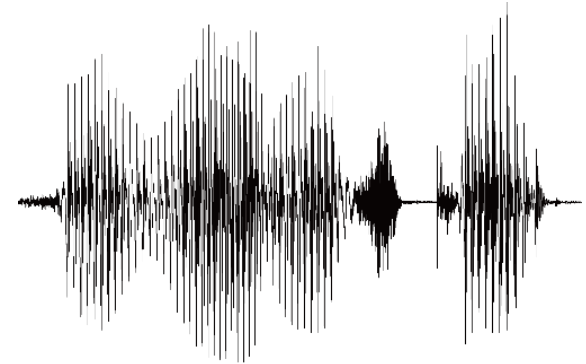
Dereverberation:  $\hat{D}(t, f) = Y(t, f) - \sum_{\tau} G^*(\tau, f) Y(t - \tau, f)$



$D(t, f)$  and  $L(t, f)$  are both reduced

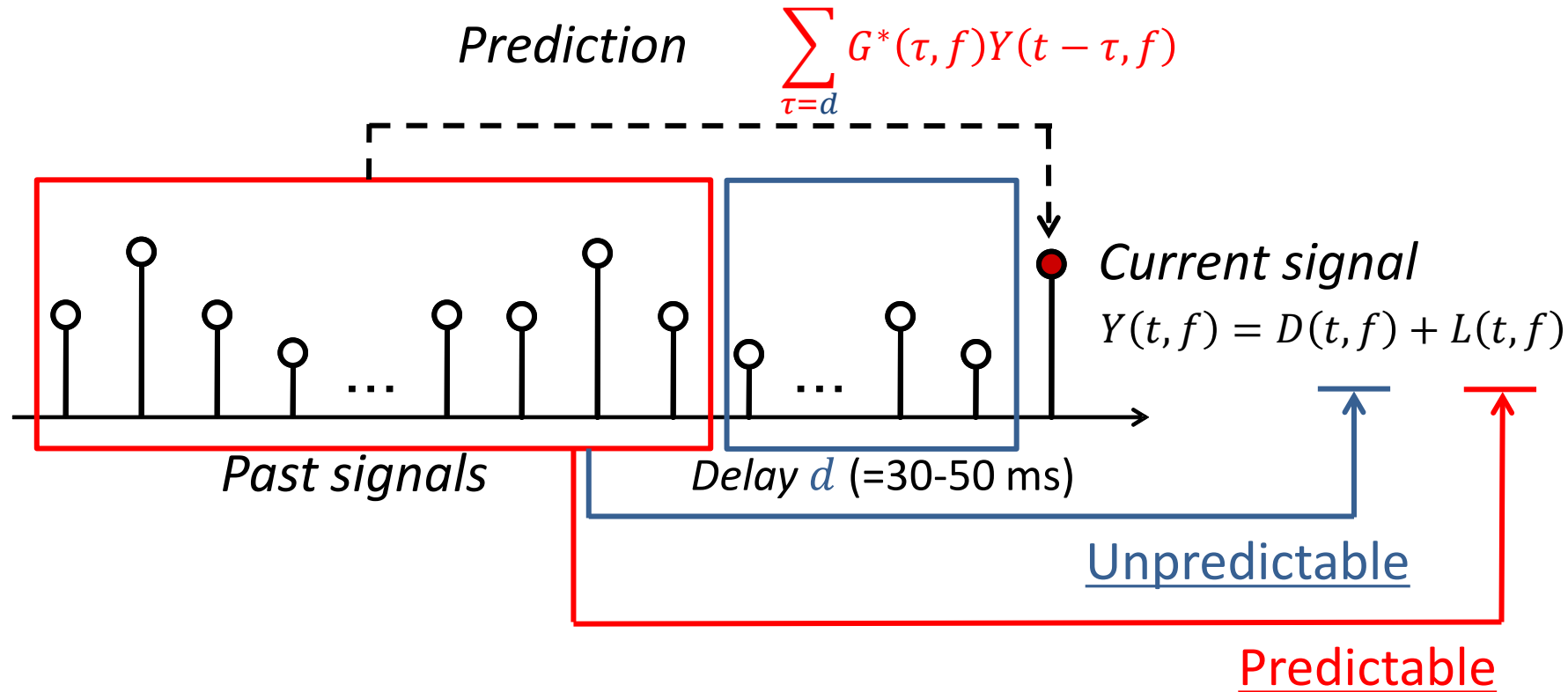
# Problem of LP-based speech dereverberation

- LP predicts both early reflections and late reverberation
  - Speech signal exhibits short-term correlation (30-50 ms)
    - LP suppresses also the short-time correlation of speech
- LP assumes the target signal follows a stationary Gaussian distribution
  - Speech is not stationary Gaussian
    - LP destroys the time structure of speech
- Solutions:
  - Introduce a prediction delay (Kinoshita'07)
  - Introduce better modeling of speech signals (Nakatani'10, Yoshioka'12, Jukic'14)



# Delayed linear prediction (LP)

(Kinoshita'07)



Delayed LP can only predict  $L(t, f)$  from past signals

➡ Only reduce  $L(t, f)$

# Estimation of prediction coefficients

(Nakatani'10, Yoshioka'12)

**Delayed LP:**  $\hat{D}(t, f) = Y(t, f) - \sum_{\tau=d} G^*(\tau, f)Y(t - \tau, f)$

- ML estimation for stationary signal

$$\{\hat{G}(\tau, f)\} = \operatorname{argmin}_{\{G(\tau, f)\}} \sum_t \left\| Y(t, f) - \sum_{\tau=d} G^*(\tau, f)Y(t - \tau, f) \right\|^2$$

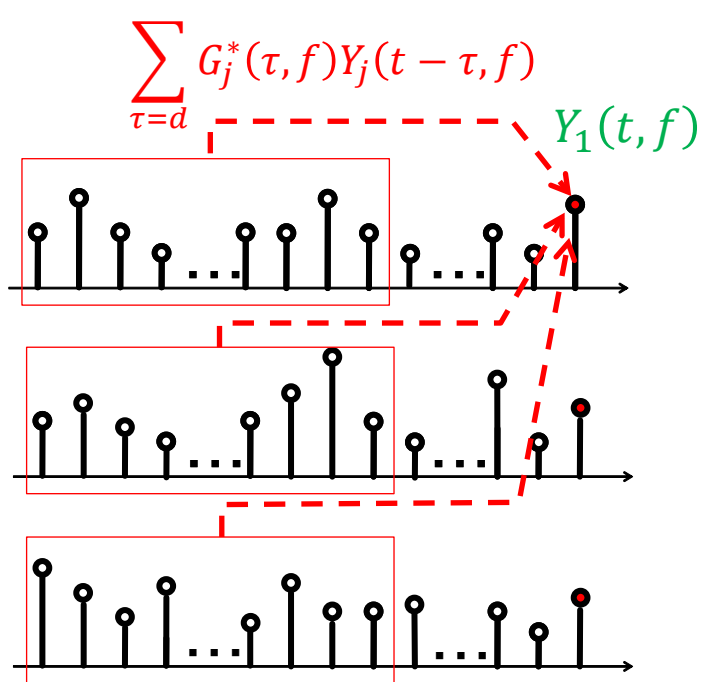
- For non-stationary signal with time-varying power  $\phi_D(t, f)$

$$\{\hat{G}(\tau, f)\} = \operatorname{argmin}_{\{G(\tau, f)\}} \sum_t \frac{\|Y(t, f) - \sum_{\tau=d} G^*(\tau, f)Y(t - \tau, f)\|^2}{\phi_D(t, f)}$$

Weighted prediction error (**WPE**)

# Multi-channel extension

- Exploit past signals from all microphones to predict current signal at a microphone



$$\begin{aligned}\hat{D}(t, f) &= Y_1(t, f) - \sum_{j=1}^J \sum_{\tau=d} G_j^*(\tau, f) Y_j(t - \tau, f) \\ &= Y_1(t, f) - \mathbf{g}_f^H \mathbf{y}_{t-d, f}\end{aligned}$$

$$\mathbf{y}_{j, t, f} = [Y_j(t, f) \dots Y_j(t - L, f)]^T$$

$$\mathbf{y}_{t, f} = [\mathbf{y}_{1, t, f}^T, \dots, \mathbf{y}_{J, t, f}^T]^T$$

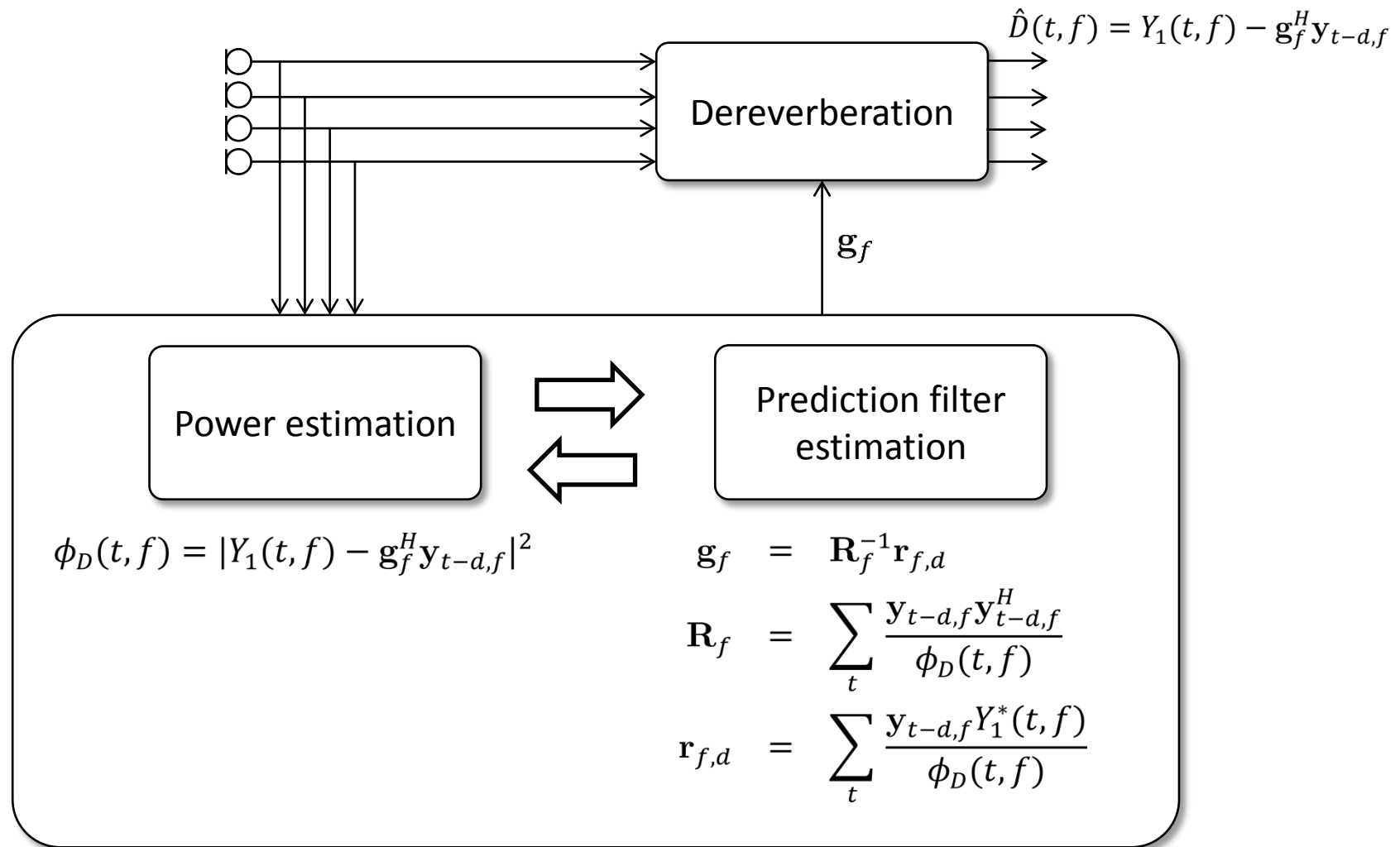
$$\mathbf{g}_{j, f} = [G_j(1, f) \dots G_j(L, f)]^T$$

$$\mathbf{g}_f = [\mathbf{g}_{1, f}^T, \dots, \mathbf{g}_{J, f}^T]^T$$

- Prediction filter obtained as  $\hat{\mathbf{g}}_f = \underset{\mathbf{g}_f}{\operatorname{argmin}} \sum_t \frac{\|Y_1(t, f) - \mathbf{g}_f^H \mathbf{y}_{t-d, f}\|^2}{\phi_D(t, f)}$
- Can output multi-channel signals

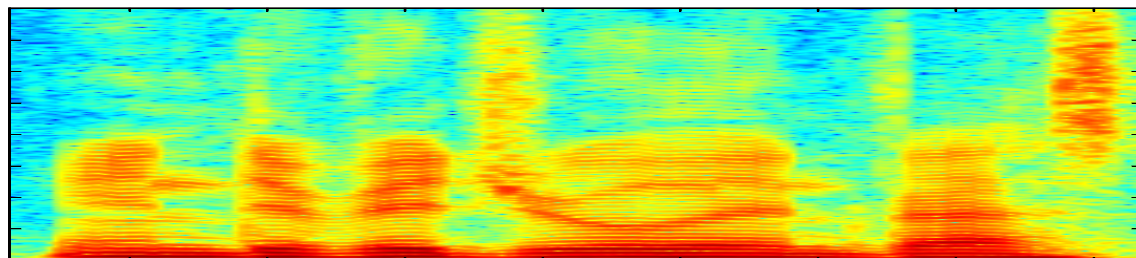


# Processing flow of WPE

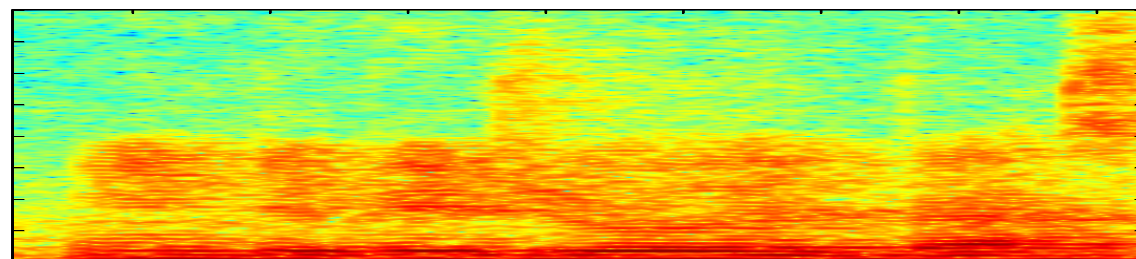


# Sound demo from REVERB challenge (Delcroix'14)

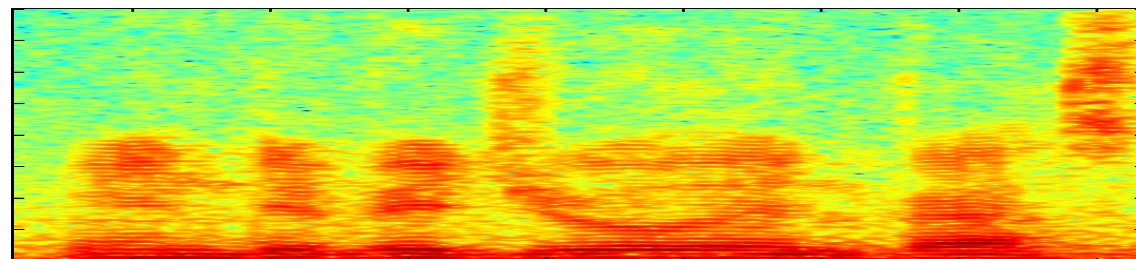
**Headset**



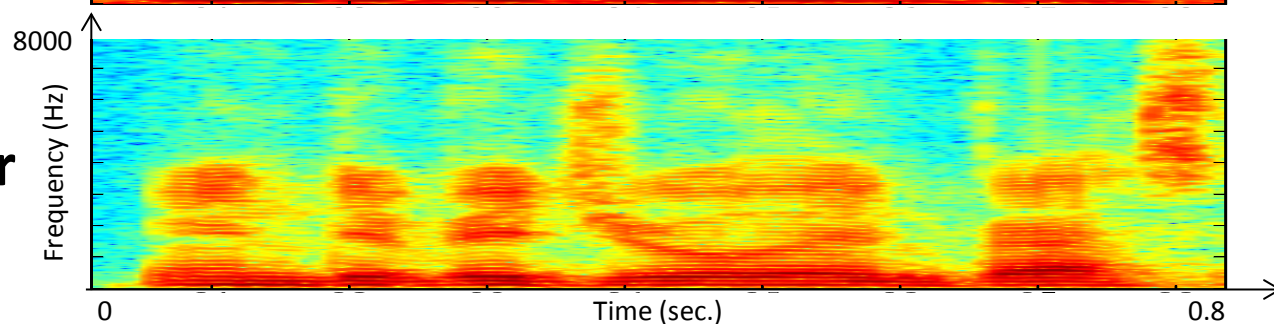
**Distant  
(RealData)**



**Derev**



**Derev  
+ beamformer**



# Results for REVERB and CHiME3

| Front-end             | REVERB<br>(8 ch) | CHiME3<br>(6 ch) |
|-----------------------|------------------|------------------|
| -                     | 19.2 %           | 15.6 %           |
| WPE                   | 12.9 %           | 14.7 %           |
| WPE + MVDR Beamformer | 9.3 %            | 7.6 %            |

Results for the REVERB task (Real Data, eval set) (Delcroix'15)

- DNN-based acoustic model trained with augmented training data
- Environment adaptation
- Decoding with RNN-LM

Results for the CHiME 3 task (Real Data, eval set) (Yoshioka'15)

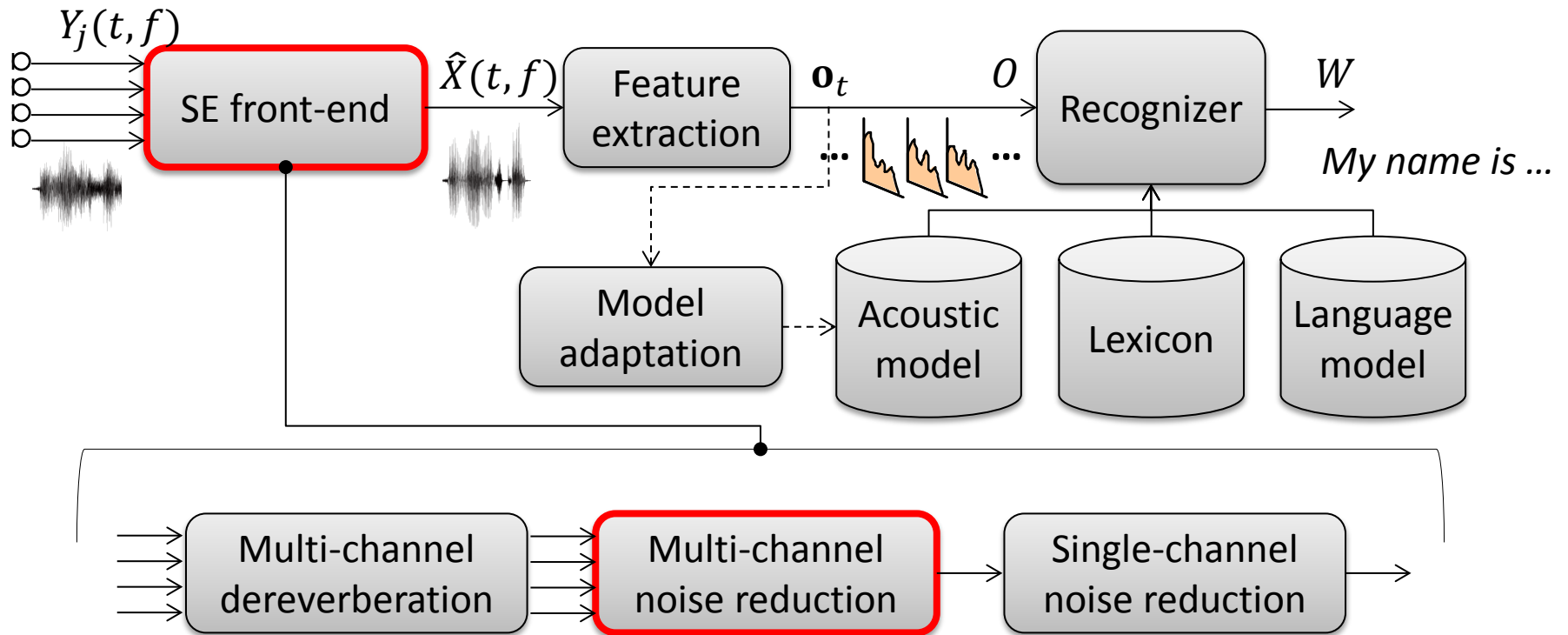
- Deep CNN-based acoustic model trained with 6 channel training data
- No speaker adaptation
- Decoding with RNN-LM

# Remarks

- Precise speech dereverberation with linear processing
  - Can be shown to cause no distortion to the target speech
    - Particularly efficient as an ASR front-end
- Can output multi-channel signals
  - Suited for beamformer pre-processing
- Relatively robust to noise
- Efficient implementation in STFT domain
- A few seconds of observation are sufficient to estimate the prediction filters

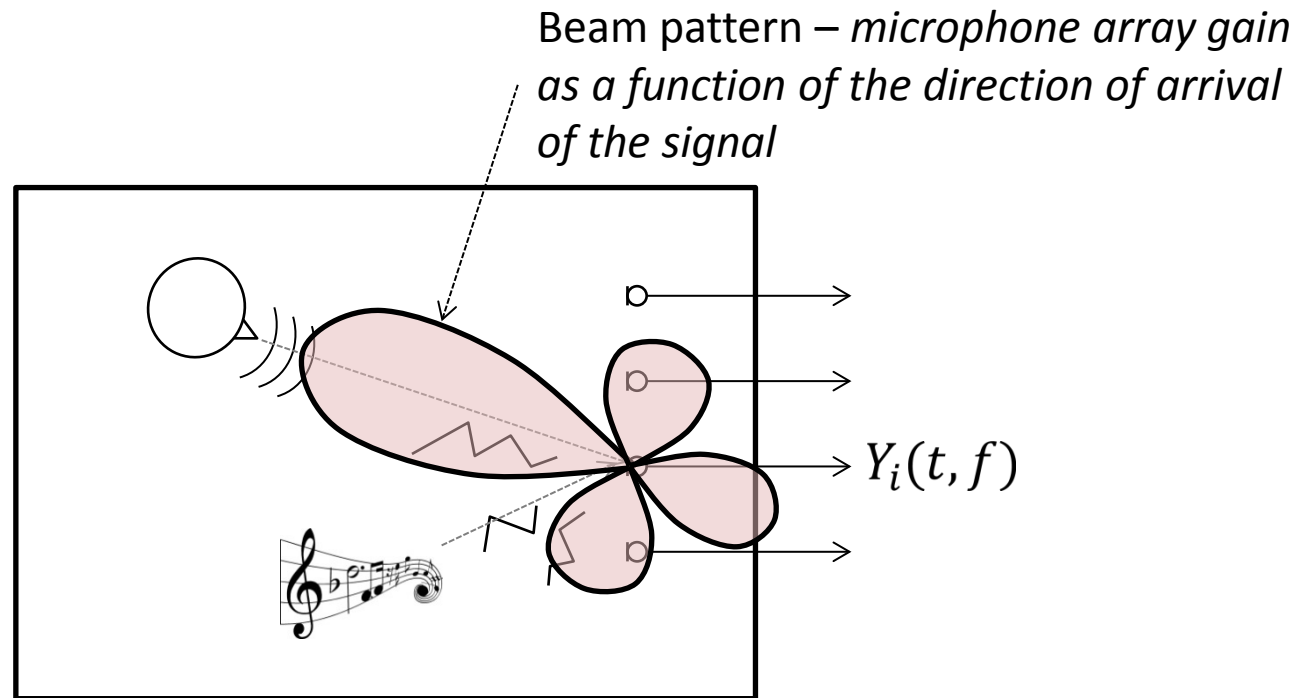
Matlab p-code available at: [www.kecl.ntt.co.jp/icl/signal/wpe](http://www.kecl.ntt.co.jp/icl/signal/wpe)

## 2.2 Beamforming



# Principle

- Pickup signals in the direction of the target speaker
- Attenuate signals in the direction of the noise sources



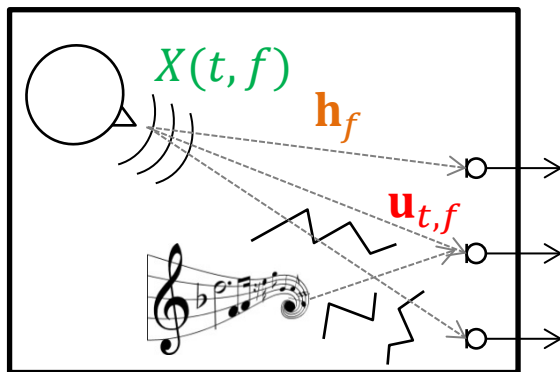
# Microphone signal model

- Consider room impulse responses only within the STFT analysis window
  - Late reverberation as diffusive noise and included into the noise term

$$\begin{aligned}
 Y_j(t, f) &\approx \sum_m H_j(m, f) X(t - m, f) + U_j(t, f) \\
 &= \underbrace{H_j(f) X(t, f)}_{o_j(t, f)} + U_j(t, f)
 \end{aligned}$$

source image at microphone  $j$

- Using matrix notations



$$\mathbf{y}_{t,f} = \begin{bmatrix} Y_1(t, f) \\ \vdots \\ Y_J(t, f) \end{bmatrix} = \underbrace{\mathbf{h}_f X(t, f)}_{\triangleq \mathbf{o}_{t,f}} + \mathbf{u}_{t,f}$$

$\mathbf{o}_{t,f}$

Source image at microphones

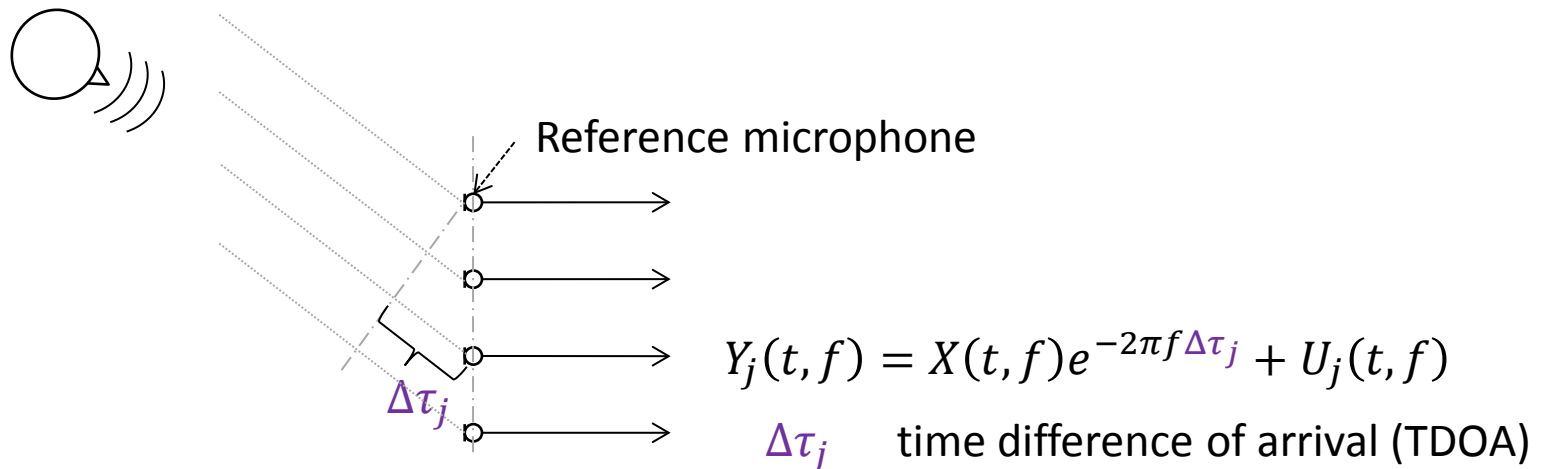
$$\mathbf{h}_f = [H_1(f), \dots, H_J(f)]^T$$

Steering vector



# Steering vector

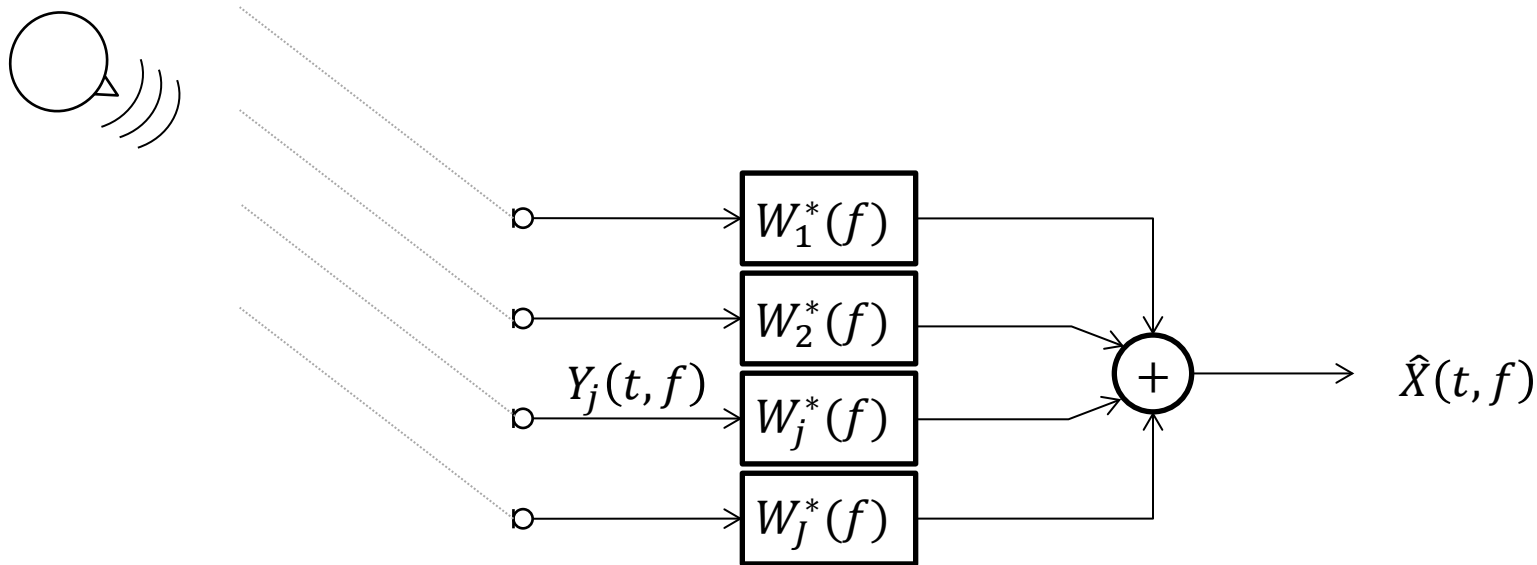
- Represents the propagation from the source to the microphones, including
  - Propagation delays (information about the source direction)
  - Early reflections (reverberation within the analysis window)
- Example of plane wave assumption with free field condition  
(no reverberation and speaker far enough from the microphones)



Steering vector given as :

$$\mathbf{h}_f = \begin{bmatrix} e^{-2\pi f \Delta\tau_1} \\ \vdots \\ e^{-2\pi f \Delta\tau_J} \end{bmatrix}$$

# Beamformer



- Output of beamformer

$$\hat{X}(t, f) = \sum_j W_j^*(f) Y_j(t, f)$$

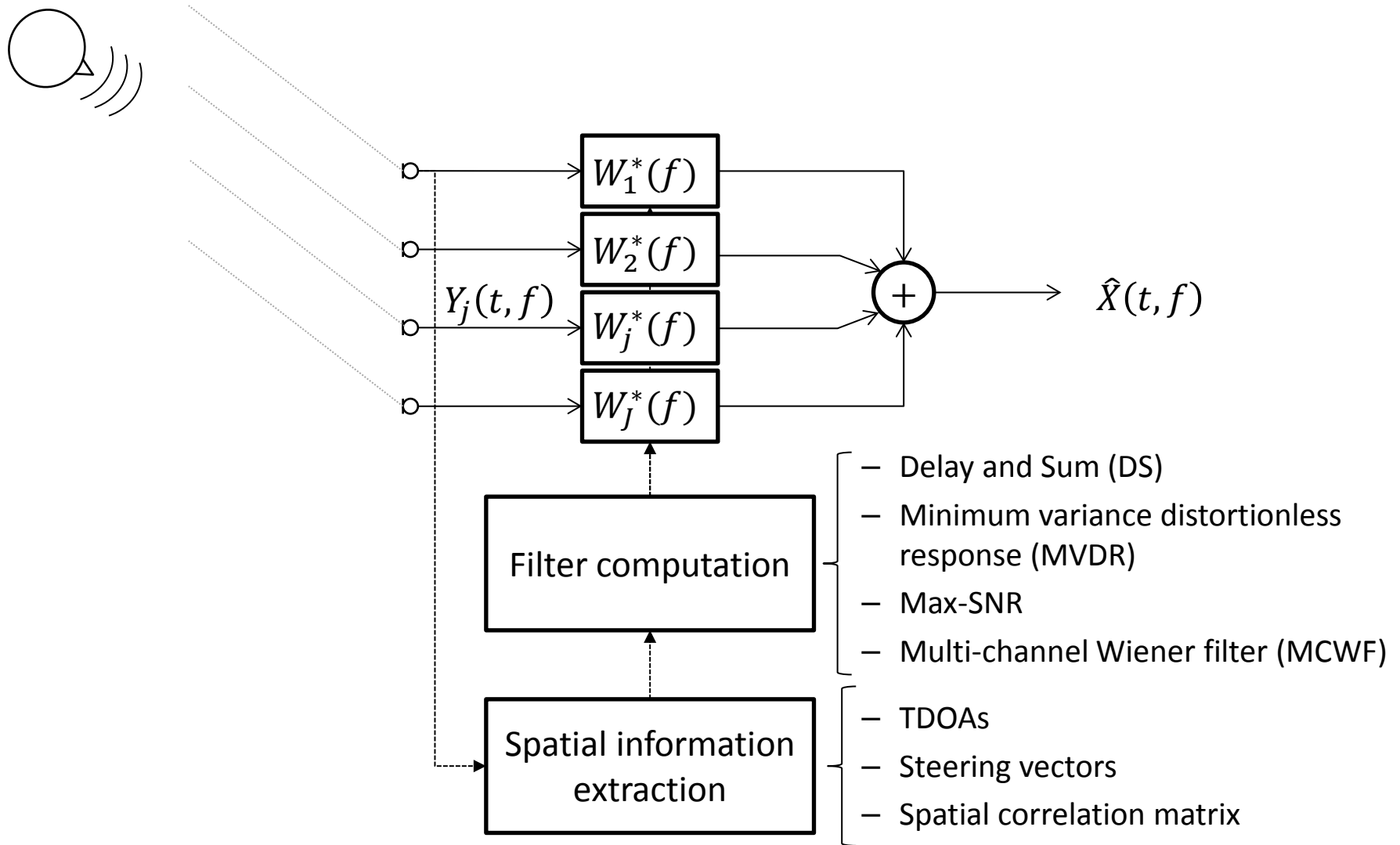
- Matrix notations

$$\hat{X}(t, f) = \mathbf{w}_f^H \mathbf{y}_{t,f}$$

$$\mathbf{w}_f = [W_1(f), \dots, W_J(f)]^T \quad \mathbf{y}_{t,f} = [Y_1(t, f), \dots, Y_J(t, f)]^T$$

The filters  $\mathbf{w}_f$  are designed to remove noise

# Processing flow

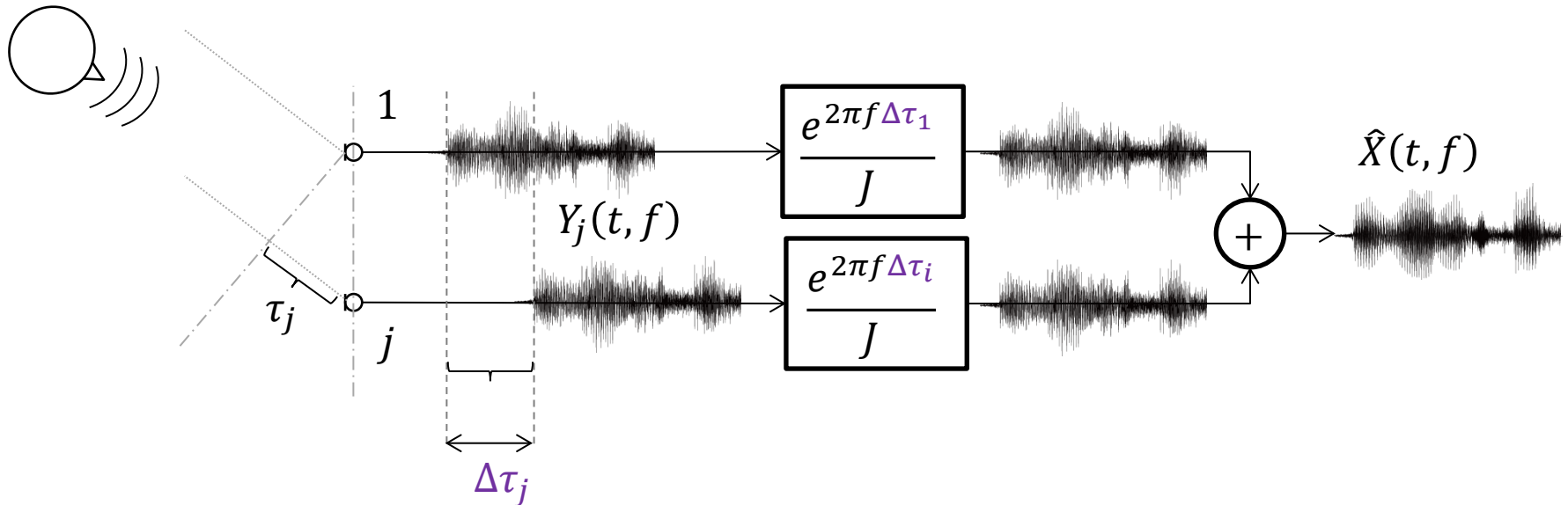


## 2.2.1 Delay and Sum beamformer

# Delay and sum (DS) beamformer

(Van Veen'88)

- Align the microphone signals in time
  - Emphasize signals coming from the target direction
  - Destructive summation for signals coming from the other directions

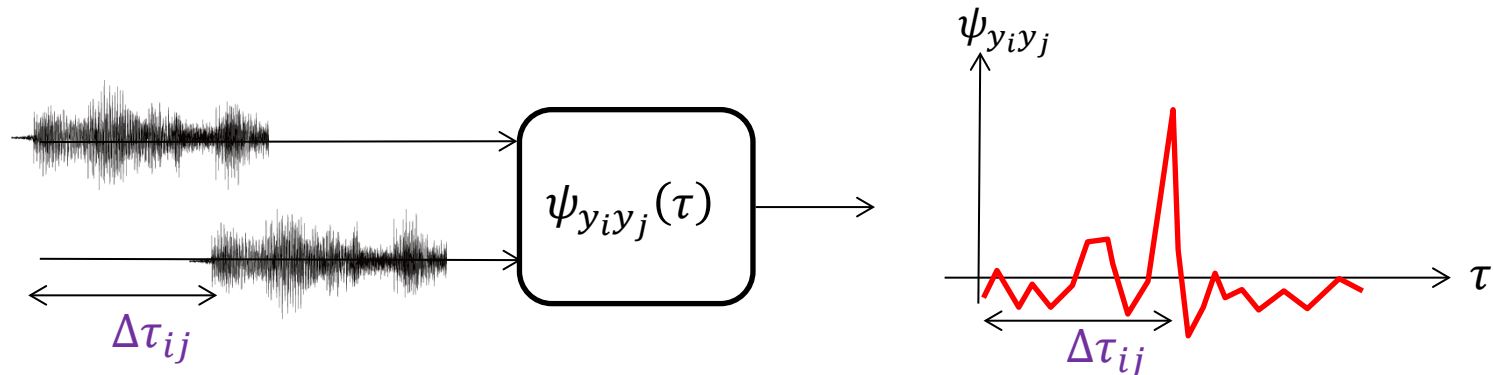


- Requires estimation of TDOAs  $\Delta \tau_j$

# TDOA estimation

- Signal cross correlation peaks when signals are aligned in time

$$\Delta\tau_{ij} = \arg \max_{\tau} \psi_{y_i y_j}(\tau)$$
$$\psi_{y_i y_j}(\tau) = E\{y_i(t)y_j(t + \tau)\}$$



- The cross correlation is sensitive to noise and reverberation
  - Usually use GCC-PHAT\* coefficients that are more robust to reverberation

$$\psi_{y_i y_j}^{PHAT}(\tau) = IFFT \left( \frac{Y_i(f)Y_j^*(f)}{|Y_i(f)Y_j^*(f)|} \right) \quad (\text{Knapp'76, Brutti'08})$$

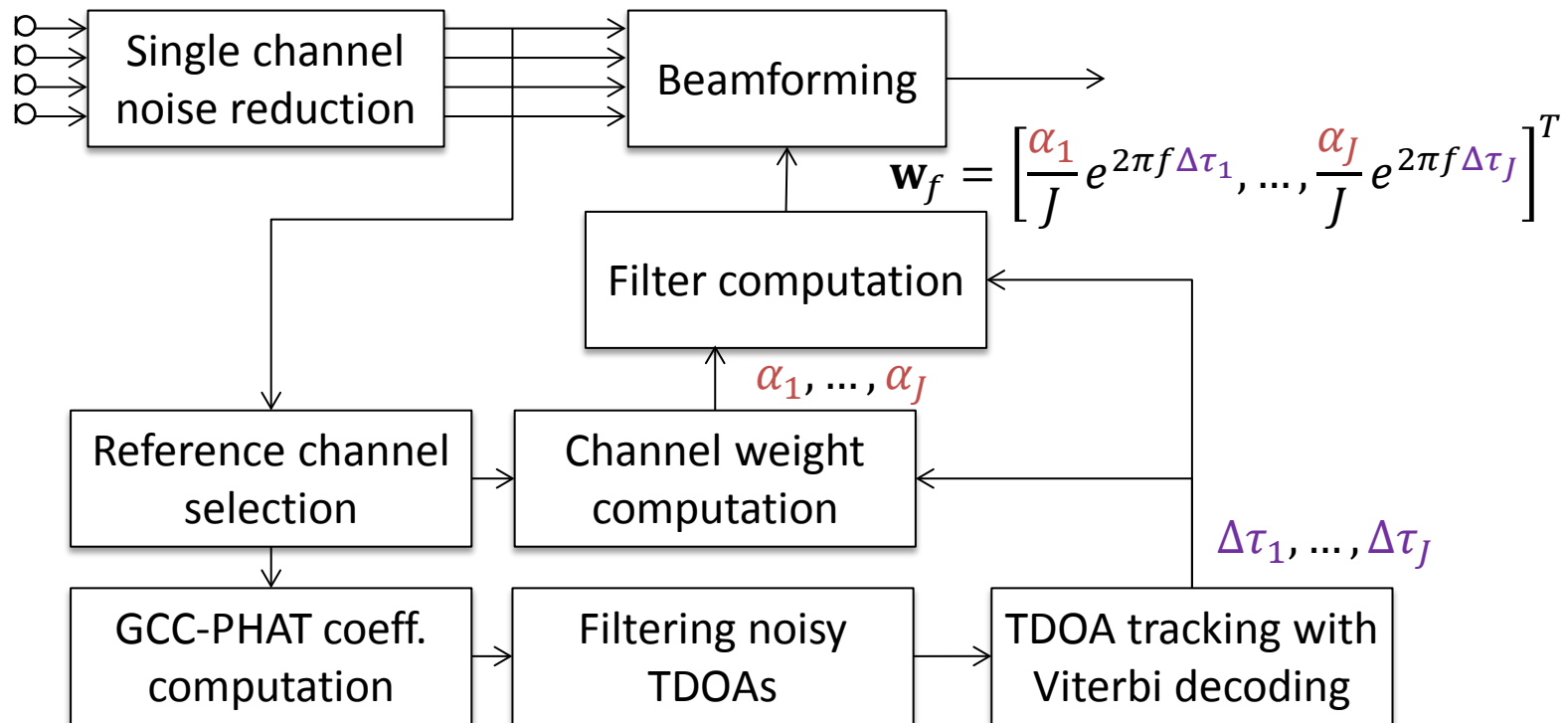
\*Generalized Cross Correlation with Phase Transform (GCC-PHAT)

# BeamformIt – a robust implementation of a weighted DS beamformer\*

(Anguera'07)

- BeamformIt:
  - Used in baseline systems for several tasks, AMI, CHiME 3/4

*Toolkit available : [www.xavieranguera.com/beamformit](http://www.xavieranguera.com/beamformit)*



\* Also sometimes called filter-and-sum beamformer

## 2.2.2 MVDR beamformer



# Minimum variance distortionless response (MVDR\*) beamformer

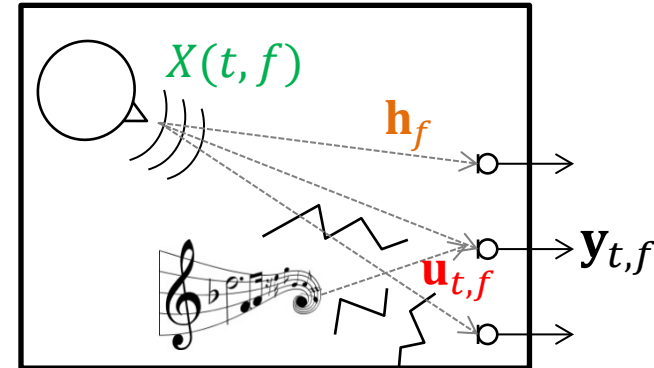
- Beamformer output:

$$\hat{X}(t, f) = \mathbf{w}_f^H \mathbf{y}_{t,f} = \mathbf{w}_f^H (\mathbf{h}_f X(t, f)) + \mathbf{w}_f^H \mathbf{u}_{t,f}$$

Speech  $X(t, f)$  is unchanged  
(distortionless):  $\mathbf{w}_f^H \mathbf{h}_f = 1$

Minimize noise at the  
output of the beamformer

$$\Rightarrow \hat{X}(t, f) = X(t, f) + \mathbf{w}_f^H \mathbf{u}_{t,f}$$



- Filter is obtained by solving the following:

$$\mathbf{w}_f^{MVDR} = \underset{\mathbf{w}_f}{\operatorname{argmin}} E\{|\mathbf{w}_f^H \mathbf{u}_{t,f}|^2\},$$

subject to  $\mathbf{w}_f^H \mathbf{h}_f = 1,$

\* MVDR beamformer is a special case of the more general linearly constrained minimum variance (LCMV) beamformer (Van Veen'88)

# Expression of the MVDR filter

- MVDR filter given by

$$\mathbf{w}_f^{MVDR} = \frac{(\mathbf{R}_f^{noise})^{-1} \mathbf{h}_f}{\mathbf{h}_f^H (\mathbf{R}_f^{noise})^{-1} \mathbf{h}_f}$$

- Where  $\mathbf{R}_f^{noise}$  is the spatial correlation matrix\* of the noise, which measures the correlation among noise signals at the different microphones

$$\mathbf{R}_f^{noise} = \sum_t \mathbf{u}_{t,f} \mathbf{u}_{t,f}^H = \begin{bmatrix} \frac{1}{T} \sum_t U_1(t,f) U_1^*(t,f) & \cdots & \frac{1}{T} \sum_t U_1(t,f) U_J^*(t,f) \\ \vdots & \ddots & \vdots \\ \frac{1}{T} \sum_t U_J(t,f) U_1^*(t,f) & \cdots & \frac{1}{T} \sum_t U_J(t,f) U_J^*(t,f) \end{bmatrix}$$

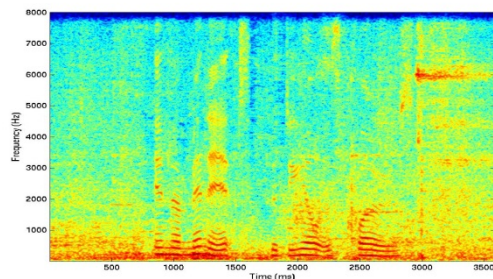
\* The spatial correlation matrix is also called cross spectral density

# Steering vector estimation

The steering vector  $\mathbf{h}_f$  can be obtained as the principal eigenvector of the spatial correlation matrix of the source image signals  $\mathbf{R}_f^{speech}$

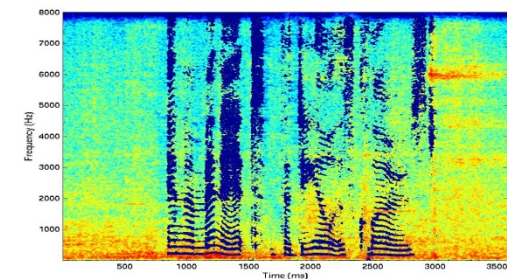
$$\mathbf{h}_f = \mathcal{P}(\mathbf{R}_f^{speech})$$

Microphone signal (speech + noise)



$$\mathbf{R}_f^{obs} = \sum_t \mathbf{y}_{t,f} \mathbf{y}_{t,f}^H$$

Noise estimate



$$\mathbf{R}_f^{noise} = \frac{\sum_t M(t, f) \mathbf{y}_{t,f} \mathbf{y}_{t,f}^H}{\sum_t M(t, f)}$$

Spectral masks

$$M(t, f) = \begin{cases} 1 & \text{if noise} > \text{speech} \\ 0 & \text{otherwise} \end{cases}$$

$$M(t, f) Y_i(t, f)$$

Source image  
spatial correlation matrix

$$\mathbf{R}_f^{speech} = \mathbf{R}_f^{obs} - \mathbf{R}_f^{noise}$$

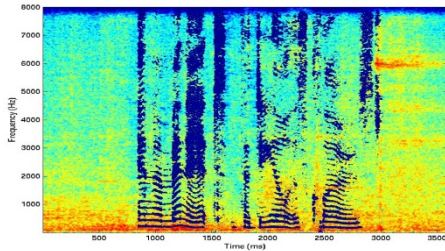
(Souden'13, Higuchi'16,  
Yoshioka'15, Heymann'15)

# Spectral mask estimation

- Clustering of spatial features for mask estimation
  - Source models
    - Watson mixture model (Souden'13)
    - Complex Gaussian mixture model (Higuchi'16)

E-step: update masks

$$M_{t,f} = p(\text{noise} | \mathbf{y}_{t,f}, \mathbf{R}_f^{\text{noise}}, \mathbf{R}_f^{\text{speech}})$$



M-step: update spatial corr. matrix

$M_{t,f}$

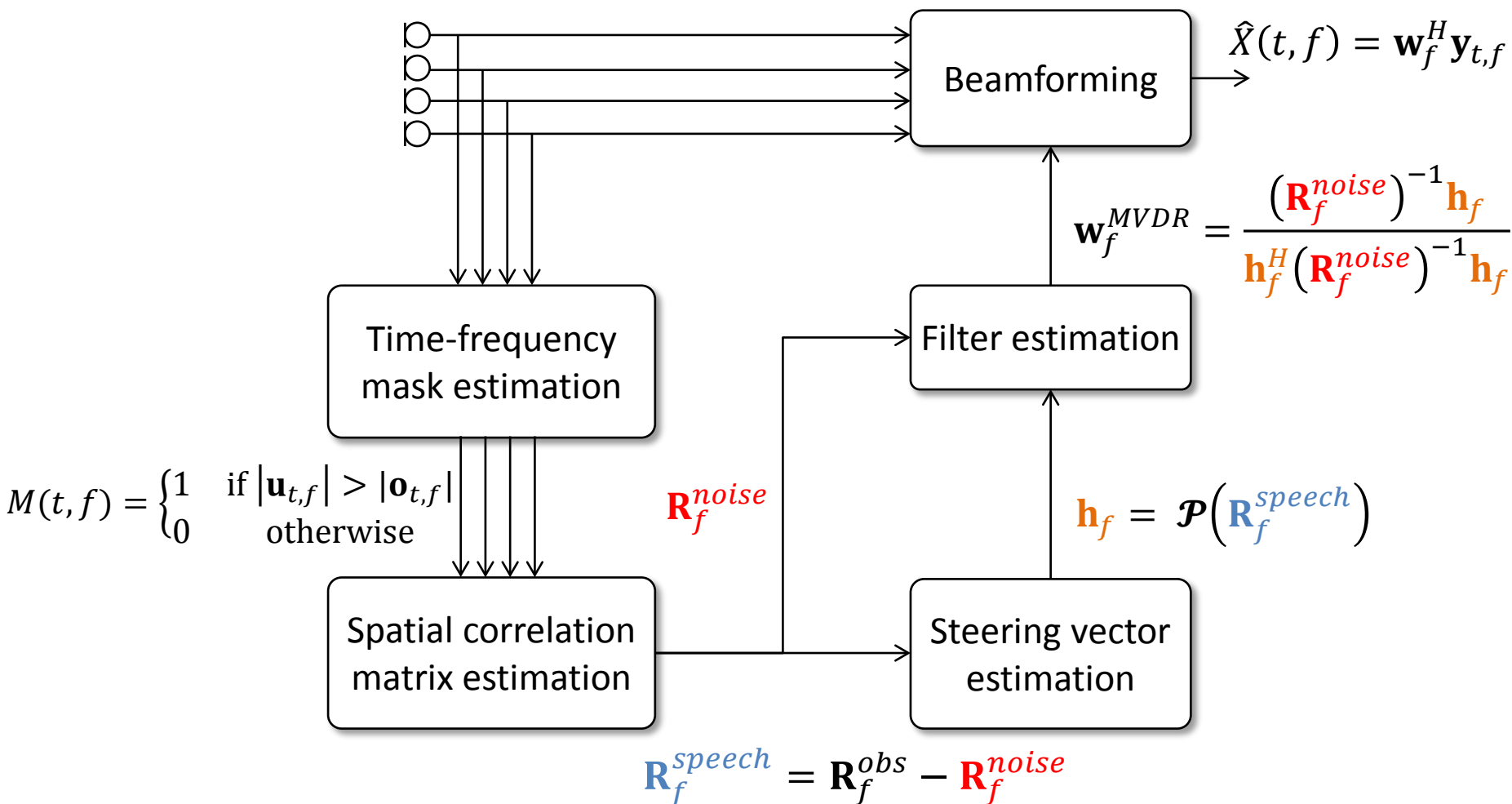


$\mathbf{R}_f^{\text{noise}}$

$$\mathbf{R}_f^{\text{noise}} = \frac{\sum_t M(t, f) \mathbf{y}_{t,f} \mathbf{y}_{t,f}^H}{\sum_t M(t, f)}$$

- Neural network-based approach (Hori'15, Heymann'15)
  - See slides 94-96

# Processing flow of MVDR beamformer



# Other beamformers

- Max-SNR beamformer\* (VanVeen'88, Araki'07, Waritz'07)
  - Optimize the output SNR without the distortionless constraint

$$\mathbf{w}_f^{maxSNR} = \mathcal{P} \left( (\mathbf{R}_f^{noise})^{-1} \mathbf{R}_f^{obs} \right)$$

- Multi-channel Wiener filter (MCWF) (Doclo'02)
  - Preserves spatial information at the output (multi-channel output)

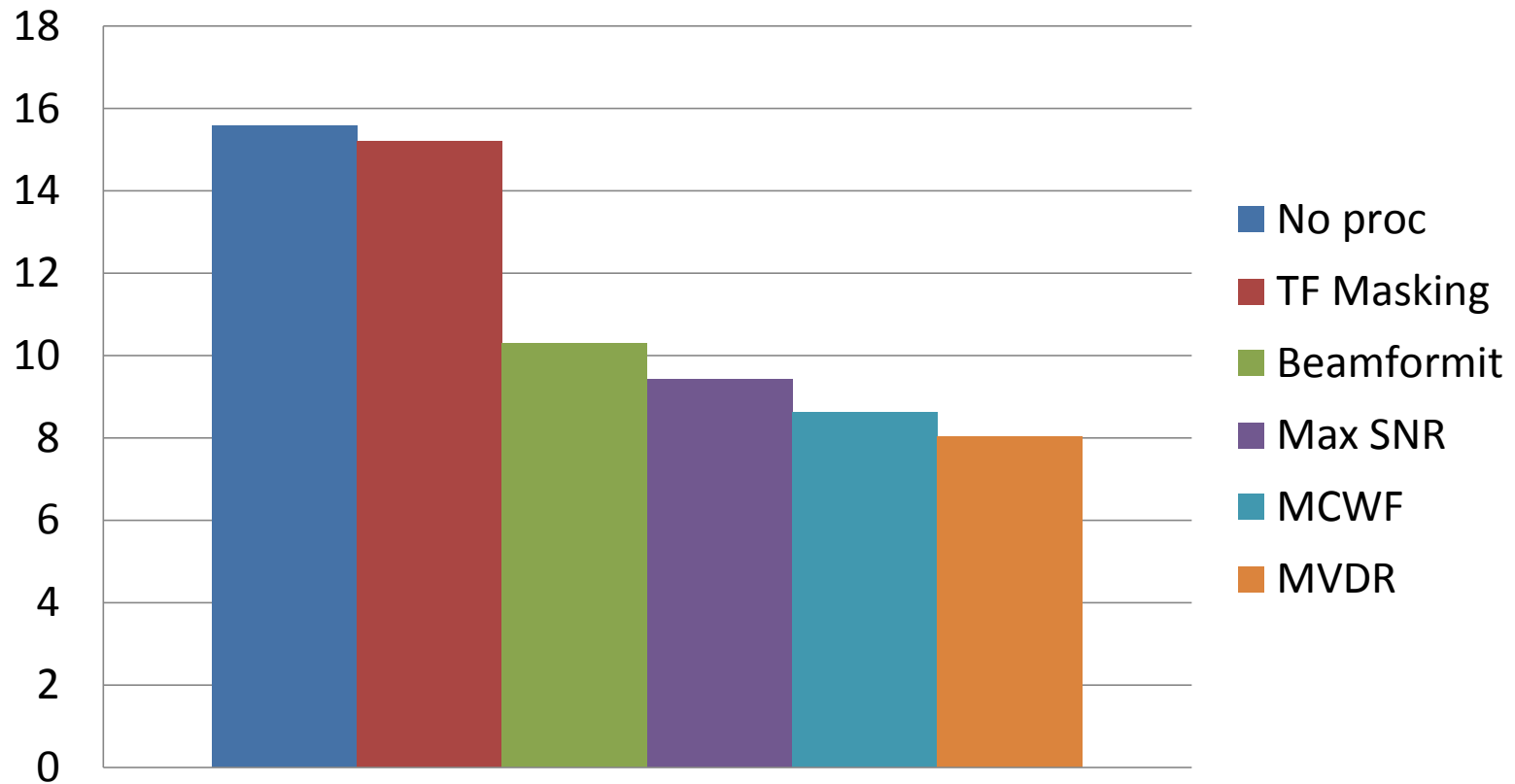
$$\mathbf{w}_f^{MCWF} = (\mathbf{R}_f^{obs})^{-1} \mathbf{R}_f^{speech}$$

→ Max-SNR beamformer and MCWF can also be derived from the spatial correlation matrices

\* Max-SNR beamformer is also called generalized eigenvalue beamformer

## 2.2.3 Experiments

# CHiME 3 results



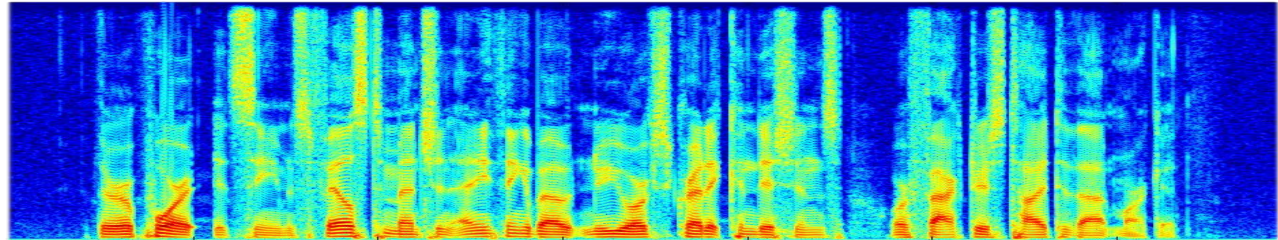
Results for the CHiME 3 task (Real Data, eval set)

- Deep CNN-based acoustic model trained with 6 channel training data
- No speaker adaptation
- Decoding with RNN-LM

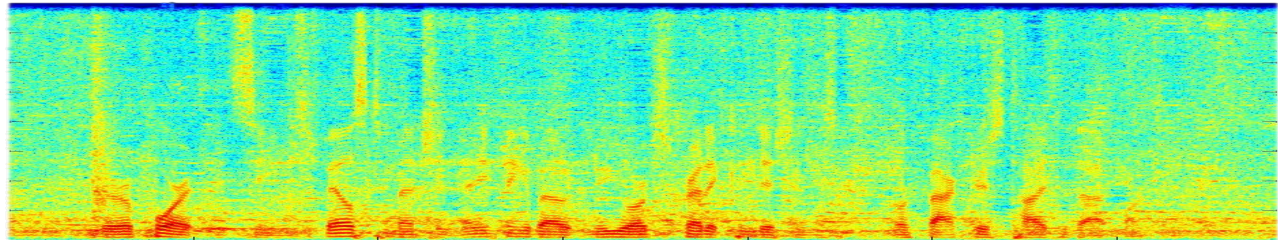


# Sound demo

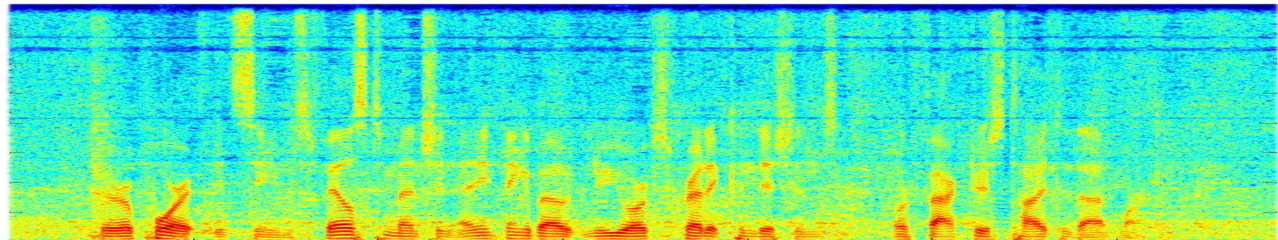
**Clean**



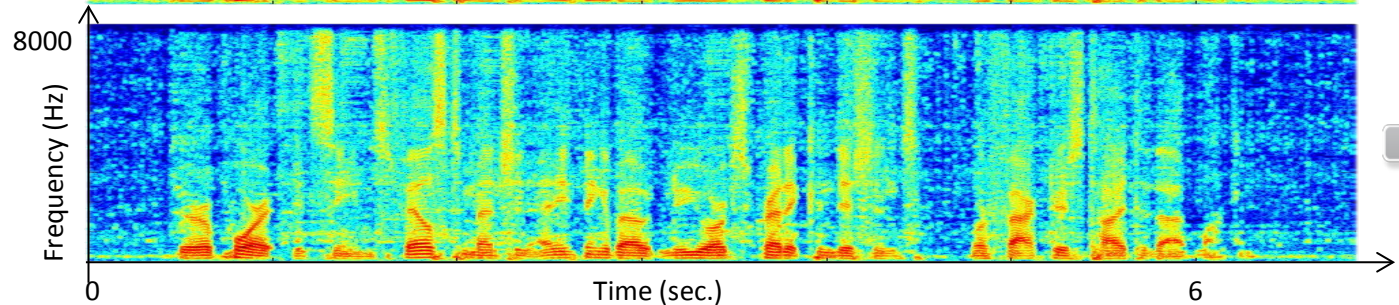
**Observed  
(SimuData)**



**MVDR**



**MASK**



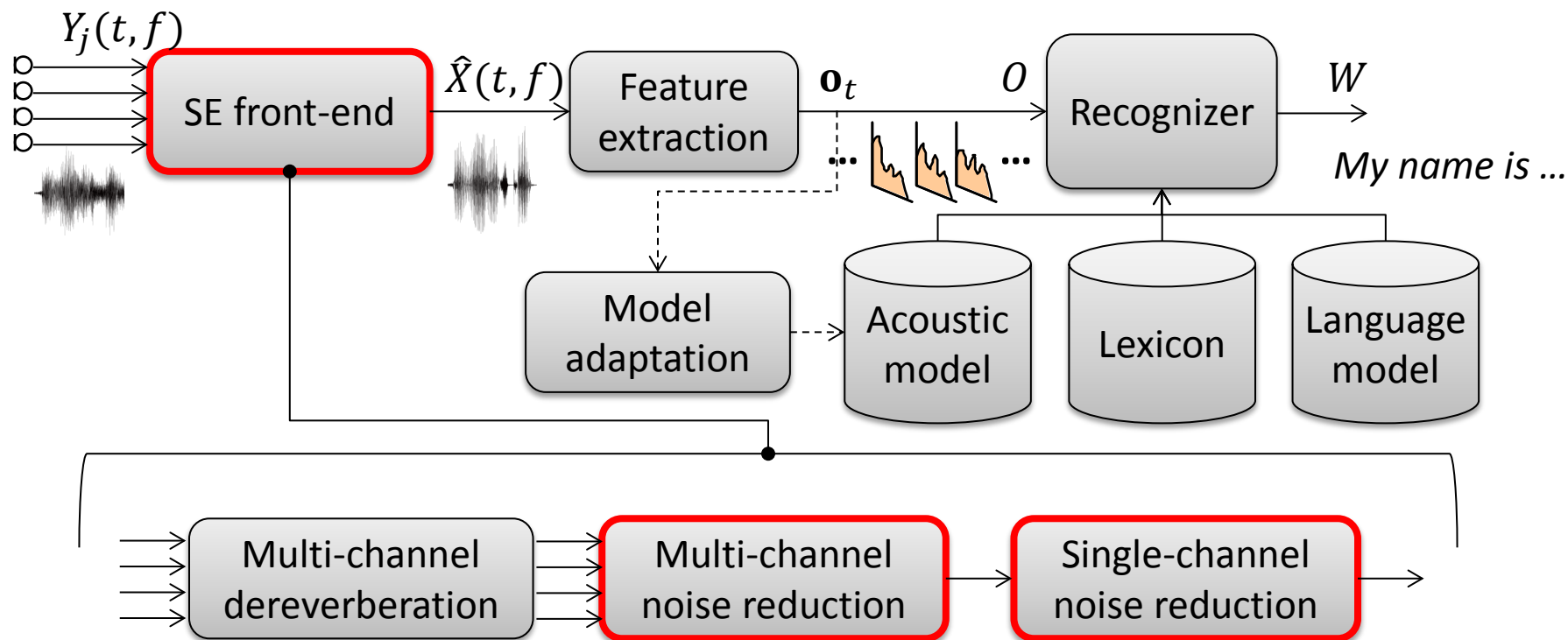
# remarks

- Delay-and-sum beamformer
  - ☺ Simple approach
  - ☹ Relies on correct TDOA estimation
    - Errors in TDOA estimation may result in amplifying noise
  - ☹ Not optimal for noise reduction in general
- Weighted DS beamformer (BeamformIt)
  - ☺ Includes weights to compensate for amplitude differences among the microphone signals
  - ☺ Uses a more robust TDOA estimation than simply GCC-PHAT
    - Still potentially affected by noise and reverberation
  - ☹ Not optimal for noise reduction
- MVDR beamformer
  - ☺ Optimized for noise reduction while preserving speech (distortionless)
    - Extracting spatial information is a key for success
      - From TDOA → Poor performance with noise and reverberation
      - From signal statistics → More robust to noise and reverberation
  - ☹ More involving in terms of computations compared to DS beamformer

# Remarks

- Beamforming can greatly reduce WER even when using a strong ASR back-end
  - Beamforming outperforms TF masking for ASR
    - TF masking removes more noise
    - Linear filtering causes less distortion (especially with the distortionless constraint)
  - This leads to better ASR performance
- Future directions
  - Online extension (source tracking)
  - Multiple speakers

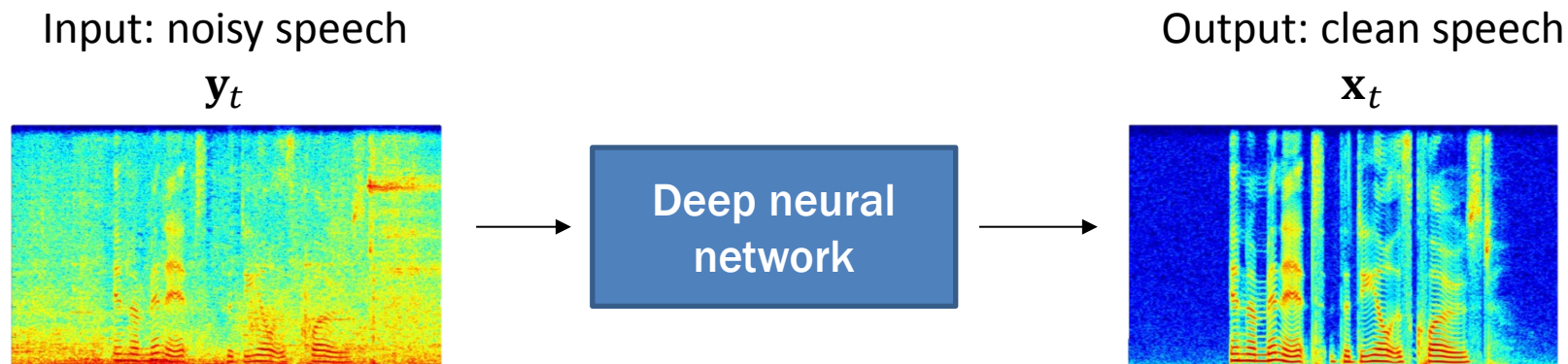
## 2.3 Deep neural network based enhancement





# Deep network based enhancement: Parallel data processing

- Basic architecture: regression problem
  - Train a neural network to map noisy speech to clean speech



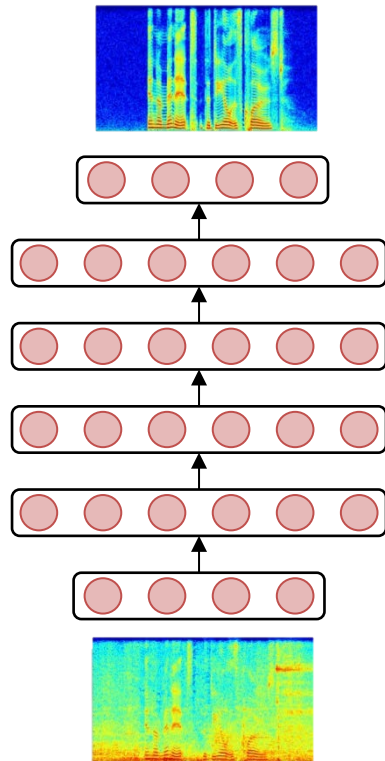
- Many variations investigated in terms of
  - Objective functions
  - Architectures
  - Input/output

## 2.3.1 Objective functions

# Regression based DNN

(Xu'15)

Output: clean speech  
feature  $\mathbf{x}_t$



- Train a DNN to directly predict the clean spectrum from the noisy speech spectrum
- Objective function: minimum mean square error (MMSE) between clean and enhanced signal,

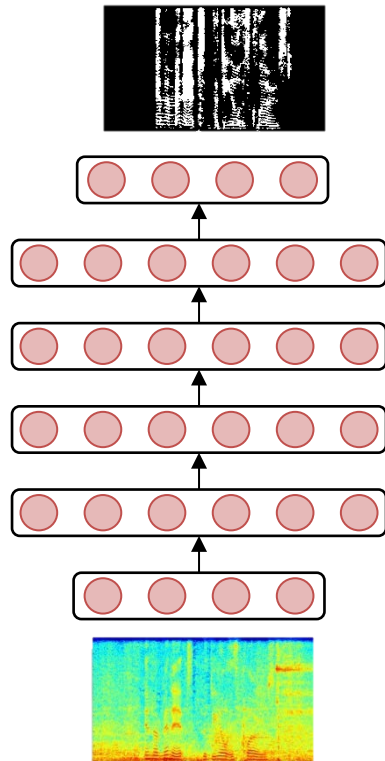
$$J(\theta) = \sum_t |\mathbf{x}_t - \mathbf{h}_t^L(\theta)|^2$$

- $\mathbf{x}_t$  clean speech feature (output)
    - Log power spectrum
  - $\mathbf{y}_t$  noisy speech feature (input)
    - Log power spectrum + Context
  - $\mathbf{h}_t^L$  network output
    - $\mathbf{h}_t^L$  can be unbounded (i.e.,  $\mathbf{h}_t^L \in [-\infty, \infty]$ , which is considered to be difficult
    - Normalize the output by  $[-1, 1]$
    - Use  $\tanh()$  as an activation function
  - $\theta$  network parameters
- When trained with sufficient data, it can be used to enhance speech in unseen noisy conditions

# Mask-estimation based DNN (Cross entropy)

(Narayanan'13, Wang'16)

Output: time-frequency  
mask  $\mathbf{m}_t$



Input: noisy speech  
features  $\mathbf{y}_t$

- Train a DNN to predict the coefficient of an ideal ratio mask (IRM)

$$m_{t,f} = \frac{x_{t,f}}{x_{t,f} + u_{t,f}} = \frac{\text{clean}}{\text{clean} + \text{noise}}$$

- Objective function: cross entropy (CE) between estimated mask and IRM

$$J(\theta) = - \sum_{t,f} m_{t,f} \log(h_{t,k}^L(\theta)) - (1 - m_{t,f}) \log(1 - h_{t,k}^L(\theta))$$

- $\mathbf{h}_t^L$  network output (continuous mask)
  - Bounded with  $m_{t,f}^L \in [0, 1]$ , using a sigmoid function
  - Simplifies learning and tends to perform better than directly estimating clean speech

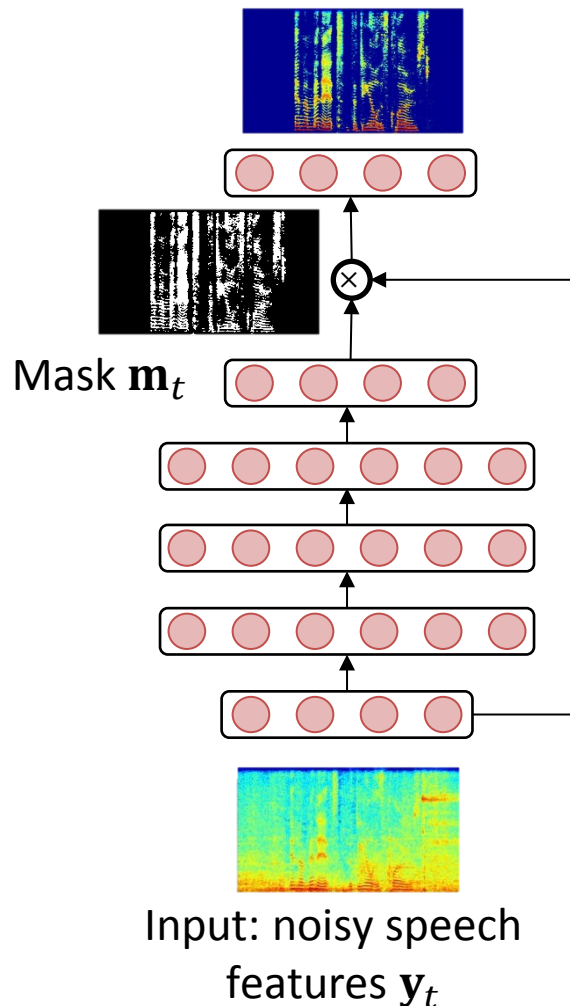
- Enhanced signal obtained as  $\hat{\mathbf{x}}_t = \mathbf{m}_t \circ \mathbf{y}_t$



# Mask estimation based DNN (MMSE)

Output: clean speech feature  $\mathbf{x}_t$

(Weninger '15)



- Train a DNN to predict the coefficient of a time-frequency mask  $\mathbf{m}_t = \mathbf{h}_t^L$ 
  - Do not restrict the output to the IRM
- Objective function: minimum mean square error (MMSE) between clean and enhanced signal,

$$J(\theta) = \sum_t |\mathbf{x}_t - \mathbf{m}_t(\theta) \circ \mathbf{y}_t|^2$$

- $\mathbf{x}_t$  clean speech feature (output)
  - Magnitude spectrum
- $\mathbf{y}_t$  noisy speech feature (input)
  - Log mel filterbank spectrum (as input to the network)
  - Magnitude spectrum to compute the enhanced signal
- $\mathbf{m}_t$  network output (continuous mask)
  - Bounded with  $m_t^L \in [0, 1]$  using a sigmoid function

# Experiments on CHiME 2

Results from (Wang'16)

| Front-end                          | WER    |
|------------------------------------|--------|
| -                                  | 16.2 % |
| Mask-estimation with cross entropy | 14.8 % |

Can be jointly trained with the ASR back-end

→ More details in *3.4 Integration of front-end and back-end with deep networks*

Enhancement DNN

- Predict mask (CE Objective function)
- Features: Log power spectrum

Acoustic model DNN

- Log Mel Filterbanks
- Trained on noisy speech

## 2.3.2 Recurrent architectures

# Exploiting recurrent networks

- Neural network based enhancement
  - Exploits only the context seen within its input features
  - Noise reduction could benefit from exploiting longer context
- Some investigations for RNN-based approaches (Weninger'14, Weninger'15, Erdogan'15, Heymann'15)

# LSTM: Long Short-Term Memory RNN

- Elman RNN

$$\mathbf{h}_t^l = \sigma \left( \mathbf{W}^l \begin{bmatrix} \mathbf{h}_t^{l-1} \\ \mathbf{h}_{t-1}^l \end{bmatrix} + \mathbf{b}^l \right)$$

- Vanishing gradient due to recurrent weights  $\mathbf{W}^l$

- LSTM

- Avoids recurrent weights in the Elman form by introducing gates

$(\mathbf{g}_t^{f,l}, \mathbf{g}_t^{i,l}, \mathbf{g}_t^{o,l})$  and cell states  $\mathbf{c}_t^l$

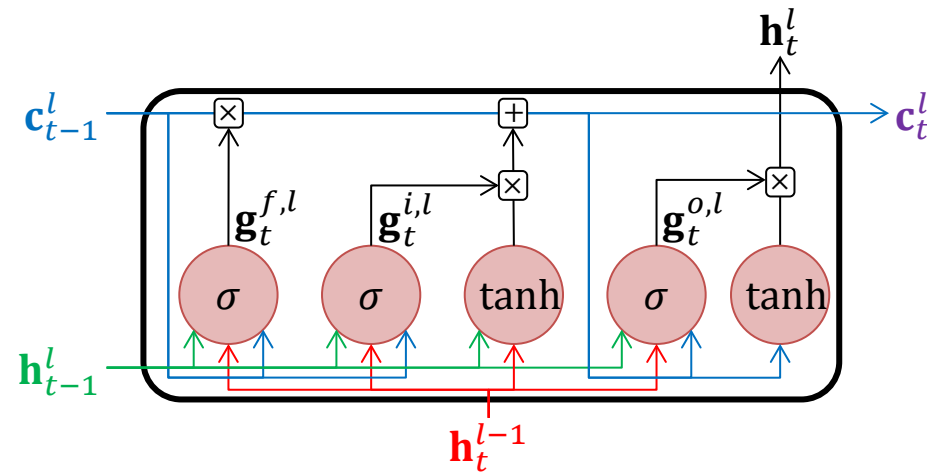
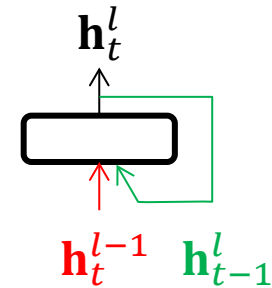
$$\mathbf{h}_t^l = \mathbf{g}_t^{o,l} \circ \tanh(\mathbf{c}_t^l)$$

Cell state:

$$\mathbf{c}_t^l = \mathbf{g}_t^{f,l} \circ \mathbf{c}_{t-1}^l + \mathbf{g}_t^{i,l} \circ \tanh \left( \mathbf{W}^{c,l} \begin{bmatrix} \mathbf{h}_t^{l-1} \\ \mathbf{h}_{t-1}^l \end{bmatrix} + \mathbf{b}^{f,c,l} \right)$$

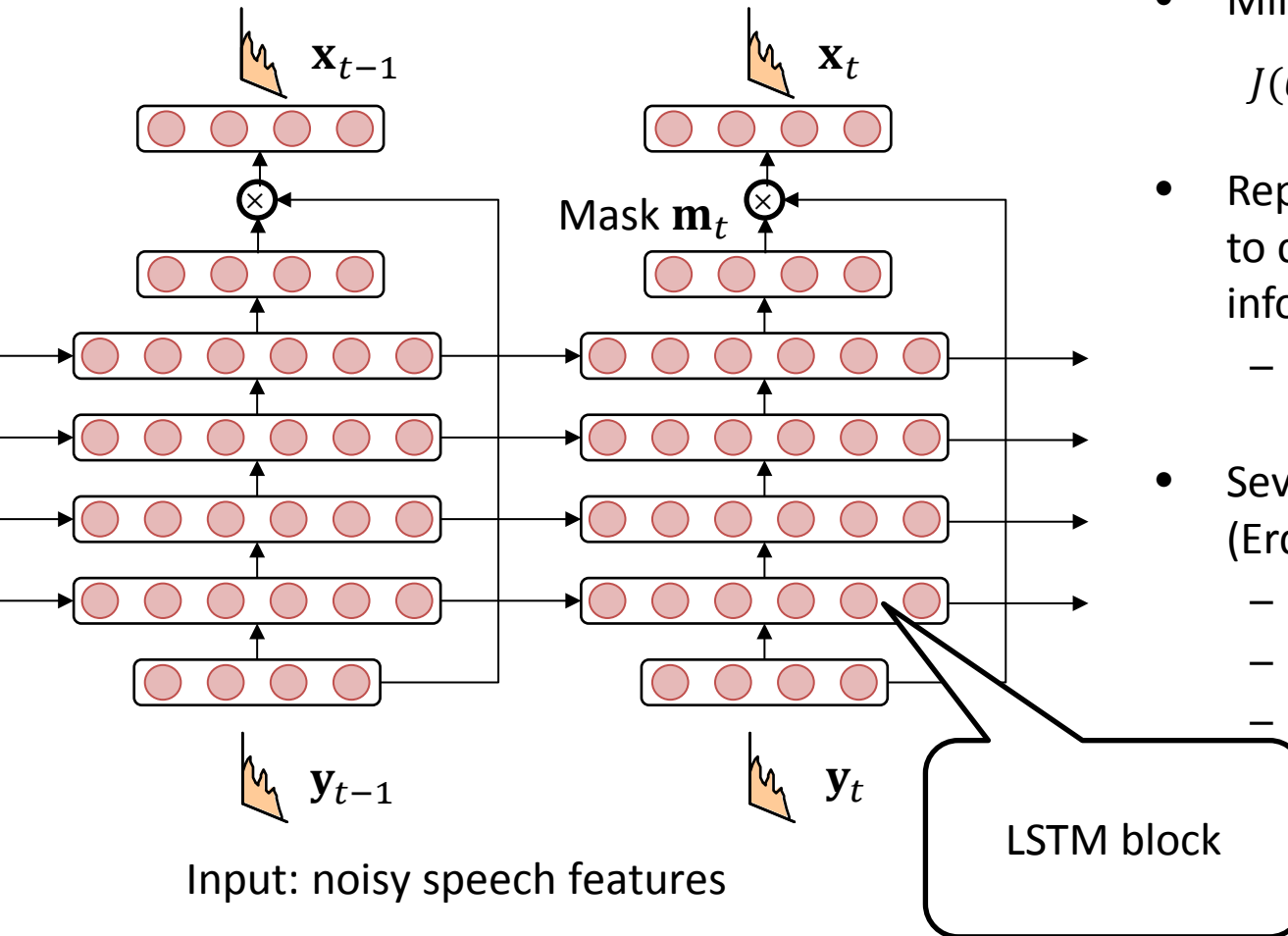
Forget, input and output gates:

$$\mathbf{g}_t^{f,l} = \sigma \left( \mathbf{W}^{f,l} \begin{bmatrix} \mathbf{h}_t^{l-1} \\ \mathbf{h}_{t-1}^l \\ \mathbf{c}_{t-1}^l \end{bmatrix} + \mathbf{b}^{f,l} \right), \mathbf{g}_t^{i,l} = \sigma \left( \mathbf{W}^{i,l} \begin{bmatrix} \mathbf{h}_t^{l-1} \\ \mathbf{h}_{t-1}^l \\ \mathbf{c}_{t-1}^l \end{bmatrix} + \mathbf{b}^{i,l} \right), \mathbf{g}_t^{o,l} = \sigma \left( \mathbf{W}^{o,l} \begin{bmatrix} \mathbf{h}_t^{l-1} \\ \mathbf{h}_{t-1}^l \\ \mathbf{c}_t^l \end{bmatrix} + \mathbf{b}^{o,l} \right)$$



# Mask estimation based LSTM

Output: clean speech feature



- Minimize Mean Square Error

$$J(\theta) = \sum_t |\mathbf{x}_t - \mathbf{m}_t \circ \mathbf{y}_t|^2$$

- Replace DNN with LSTM-RNN to consider long-context information
  - known to be effective for speech modeling
- Several extensions (Erdogan'15)
  - Bidirectional LSTM
  - Phase sensitive objectives
  - Recognition boosted features

# Effect of introducing LSTM

| Front-end              | WER    |
|------------------------|--------|
| -                      | 31.2 % |
| DNN based enhancement  | 29.7 % |
| LSTM based enhancement | 26.1 % |

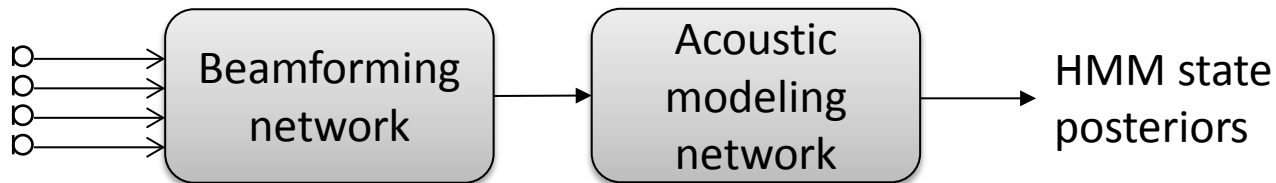
Experiments on CHiME 2 Dev set with DNN back-end

## 2.3.3 Multi-channel extensions



# Multi-channel extensions

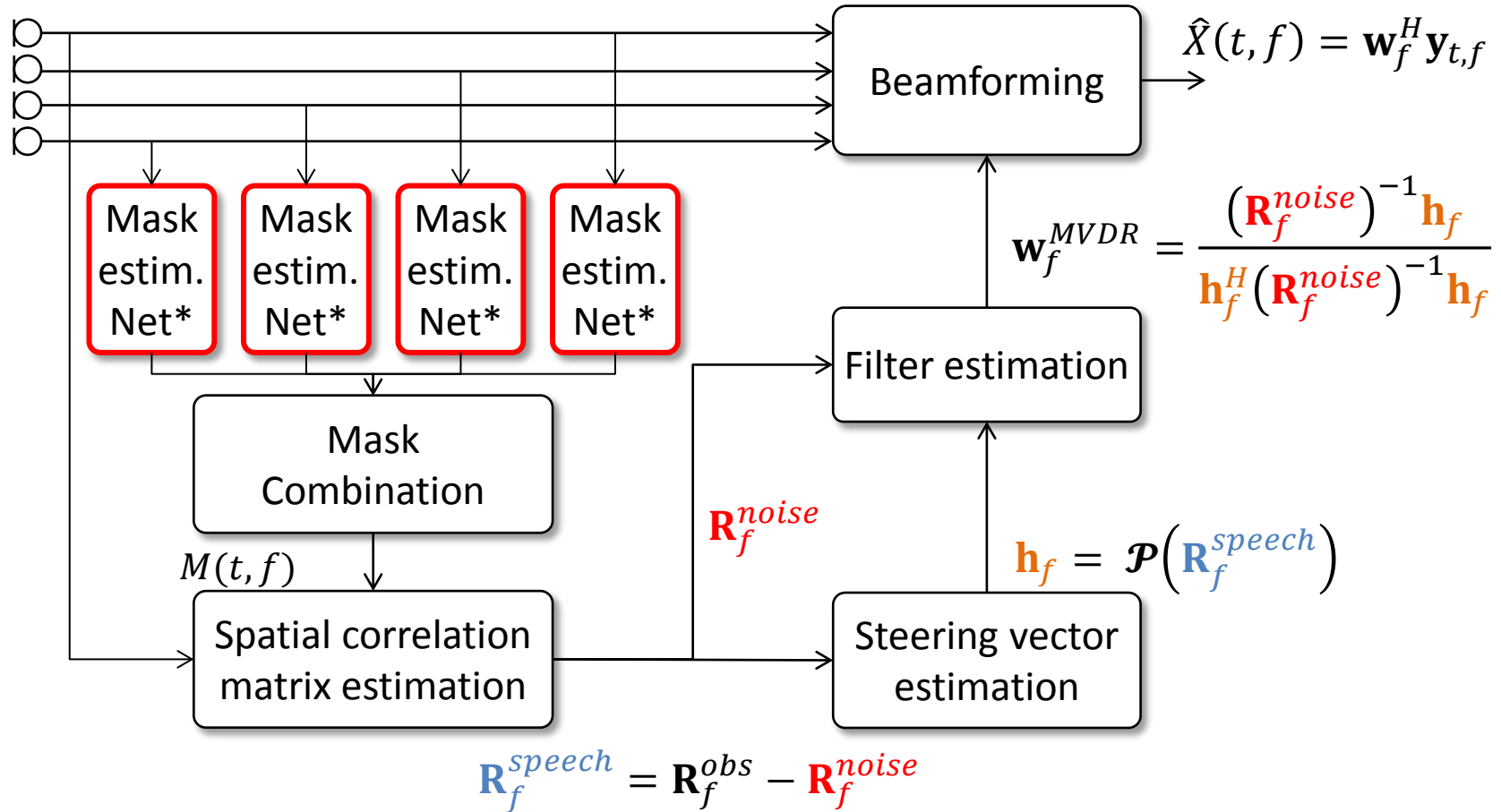
- Estimate mask for noise  $M(t, f)$  using neural network
    - Use the mask to compute the noise spatial correlation matrix that is used to derive the beamformer filters (see slide 74)
- $$\mathbf{R}_f^{NOISE} = \frac{\sum_t M(t, f) \mathbf{y}_{t,f} \mathbf{y}_{t,f}^H}{\sum_t M(t, f)}$$
- Beamforming networks or multi-channel deep networks
    - Design a network to perform beamforming
    - Can be jointly trained with the acoustic model
    - More details in *3.4 Integration of front-end and back-end with deep networks*



# DN-based mask estimation for beamforming

(Heymann'15, Hori'15, Heymann'16)

<http://github.com/fgnt/nn-gev>



\* Masks derived from 1ch signals  $\rightarrow$  does not exploit spatial information for mask estimation

# CHiME 3 investigations

(Heymann'16)

| Front-end                         | WER    |
|-----------------------------------|--------|
| -                                 | 40.2 % |
| BeamformIt                        | 22.7 % |
| DNN mask estimation + MaxSNR BF   | 17.7 % |
| BLSTM mask estimation + MaxSNR BF | 15.4 % |

Avg. results for Real eval sets

ASR back-end

- DNN-based AM
- Retrained on enhanced speech

# Remarks

- Exploit deep-learning for speech enhancement
  - ☺ Possible to train complex non-linear function for regression
  - ☺ Exploits long context, extra input features...
  - ☺ Online mask estimation/enhancement
  - ☺ Offers the possibility for jointly train the front-end and back-end
- Requirements
  - Relatively large amount of training data
  - Noisy/Clean parallel corpus
    - This requirement can be potentially released if SE front-end and acoustic models are jointly trained or when predicting masks (Heymann'16)

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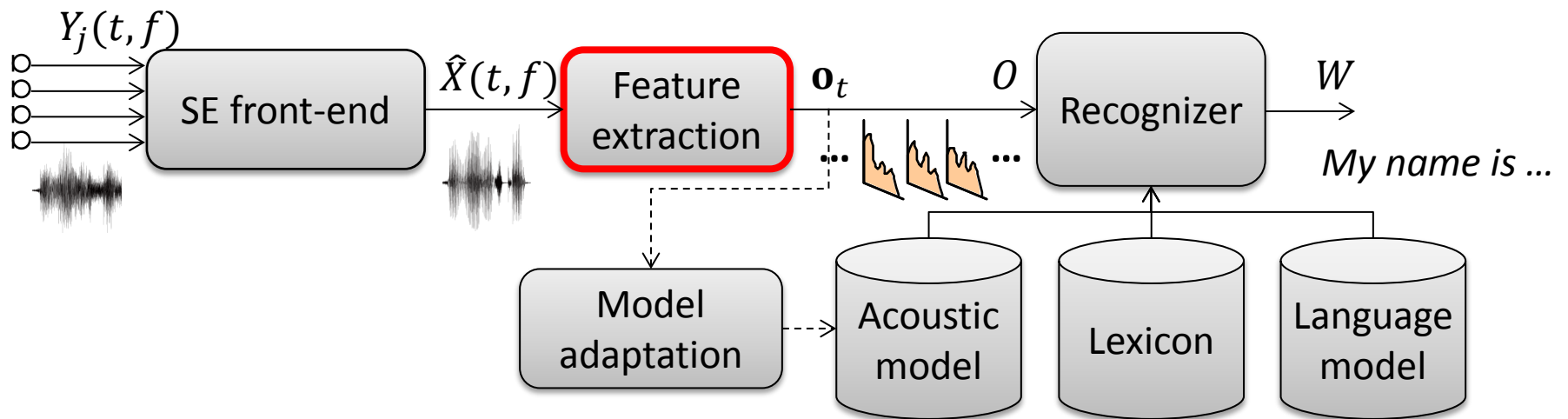
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### 3. Back-end techniques for distant ASR



## 3.1 Feature extraction



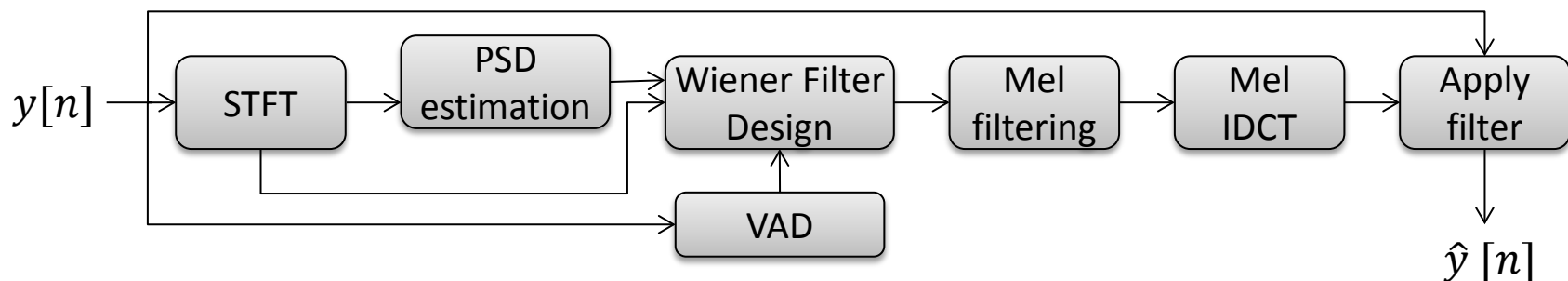
# Feature extraction

- Log mel filterbank



- Spectrum analysis
- Power extraction (disregard phase)
- Emphasize low-frequency power with perceptual knowledge (Mel scale)
- Dynamic range control
- Cepstrum Mean and Variance Normalization (CMVN)

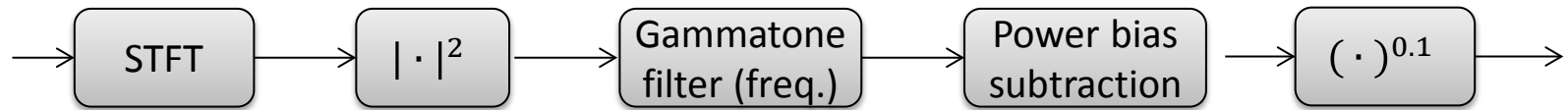
- ETSI Advanced front-end (ETSI707)



- Developed at the Aurora project
- Time domain Wiener-filtering (WF) based noise reduction

# Gammatone Filtering based features

- Human auditory system motivated filter
- Power-Normalized Cepstral Coefficients (PNCC) (Kim'12)



- Replace  $\log(\cdot)$  to power  $(\cdot)^{0.1}$ , frequency-domain Gammatone filtering, Medium-duration Power bias subtraction
- Time-domain Gammatone filtering (e.g., Schuler'09, Mitra'14)
  - Can combine amplitude modulation based features
  - Gammatone filtering and amplitude modulation based features (Damped Oscillator Coefficients (DOC), Modulation of Medium Duration Speech Amplitudes (MMeDuSA)) showed significant improvement for CHiME3 task

|   | MFCC | DOC  | MMeDuSA | (Hori'15) |
|---|------|------|---------|-----------|
| CHiME 3 Real Eval<br>(MVDR enhanced signal) | 8.83 | 5.91 | 6.62    |           |

# (Linear) Feature transformation

- **Linear Discriminant Analysis (LDA)**

- Concatenate contiguous features, i.e.,  $\mathbf{x}_t = [\mathbf{o}_{t-L}^T, \dots, \mathbf{o}_t^T, \dots, \mathbf{o}_{t+L}^T]^T$
- $\hat{\mathbf{o}}_t^{\text{LDA}} = \mathbf{A}^{\text{LDA}} \mathbf{x}_t$
- Estimate a transformation to reduce the dimension with discriminant analysis
  - Capture long-term dependency

- **Semi-Tied Covariance (STC)/Maximum Likelihood Linear Transformation (MLLT)**

- $N(\mathbf{o}_t | \boldsymbol{\mu}_{kl}, \boldsymbol{\Sigma}_{kl}^{\text{diag}}) \rightarrow N(\mathbf{o}_t | \boldsymbol{\mu}_{kl}, \boldsymbol{\Sigma}_{kl}^{\text{full}})$  with the following relationship

$$\boldsymbol{\Sigma}_{kl}^{\text{full}} = \mathbf{A}^{\text{STC}} \boldsymbol{\Sigma}_{kl}^{\text{diag}} (\mathbf{A}^{\text{STC}})^T$$

- Estimate  $\mathbf{A}^{\text{STC}}$  by using maximum likelihood
- During the recognition, we can evaluate the following likelihood function with diagonal covariance and feature transformation

$$N(\hat{\mathbf{o}}_t^{\text{STC}} | \mathbf{A}^{\text{STC}} \boldsymbol{\mu}_{kl}, \boldsymbol{\Sigma}_{kl}^{\text{diag}}), \text{ where } \hat{\mathbf{o}}_t^{\text{STC}} = \mathbf{A}^{\text{STC}} \mathbf{o}_t$$

# (Linear) Feature transformation, Cont'd

- **Feature-space Maximum Likelihood Linear Regression (fMLLR)**

- Affine transformation:  $\hat{\mathbf{o}}_t = \mathbf{A}^{\text{fM}} \mathbf{o}_t + \mathbf{b}^{\text{fM}}$
- Estimate transformation parameter  $\mathbf{A}^{\text{fM}}$  and  $\mathbf{b}^{\text{fM}}$  with maximum likelihood estimation

$$Q(\mathbf{A}^{\text{fM}}, \mathbf{b}) = \sum_{k,t,l} \gamma_{t,k,l} (\log |\mathbf{A}^{\text{fM}}| + \log N(\mathbf{A}^{\text{fM}} \mathbf{o}_t + \mathbf{b}^{\text{fM}} | \boldsymbol{\mu}_{kl}, \boldsymbol{\Sigma}_{kl}))$$

- LDA, STC, fMLLR are cascadelly combined, i.e.,

$$\hat{\mathbf{o}}_t = \mathbf{A}^{\text{fM}} (\mathbf{A}^{\text{STC}} (\mathbf{A}^{\text{LDA}} [\mathbf{o}_{t-L}^T, \dots, \mathbf{o}_t^T, \dots, \mathbf{o}_{t+L}^T]^T)) + \mathbf{b}^{\text{fM}}$$

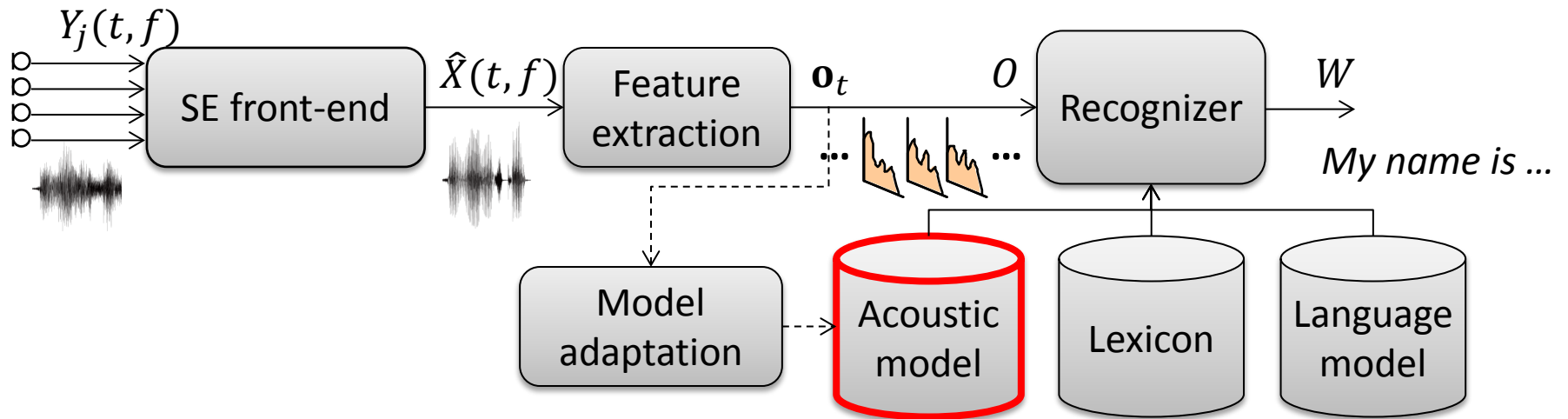
- Effect of feature transformation with distant ASR scenarios GMM

|         | MFCC, $\Delta$ , $\Delta\Delta$ | LDA, STC, fMLLR |
|---------|---------------------------------|-----------------|
| CHiME-2 | 44.04                           | 33.71           |
| REVERB  | 39.56                           | 30.88           |

(Tachioka'13,'14)

- LDA, STC, and fMLLR are cascadelly used, and yield significant improvement
- All are based on **GMM-HMM**, but still applicable to DNN as feature extraction
- MFCC is more appropriate than Filterbank feature, as MFCC matches GMM

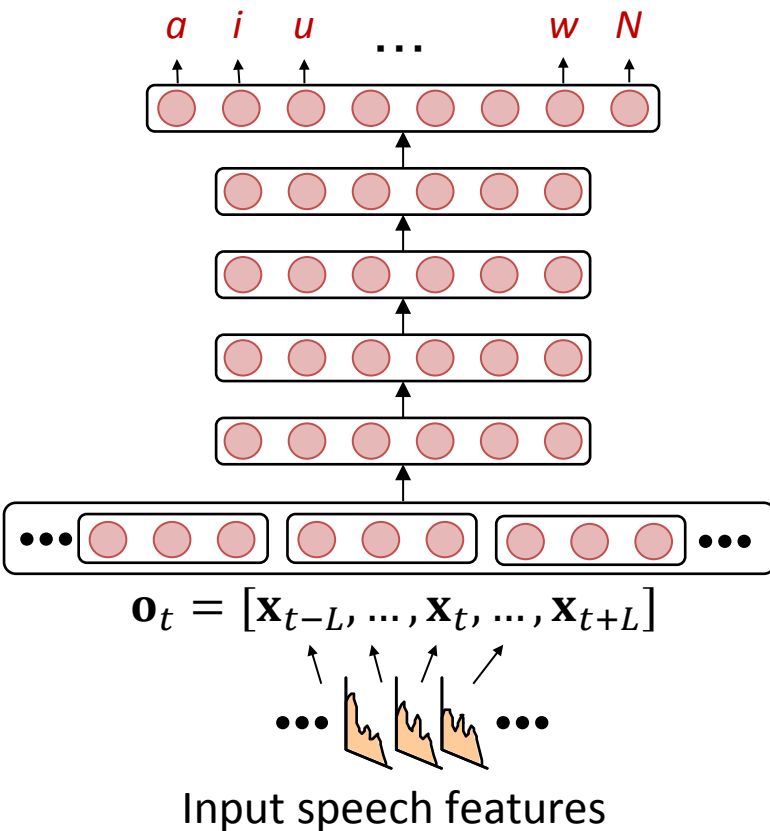
## 3.2 Robust acoustic models



# DNN acoustic model

- Non-linear transformation of (**long**) context features by concatenating contiguous frames

→ Very powerful for noise robust ASR



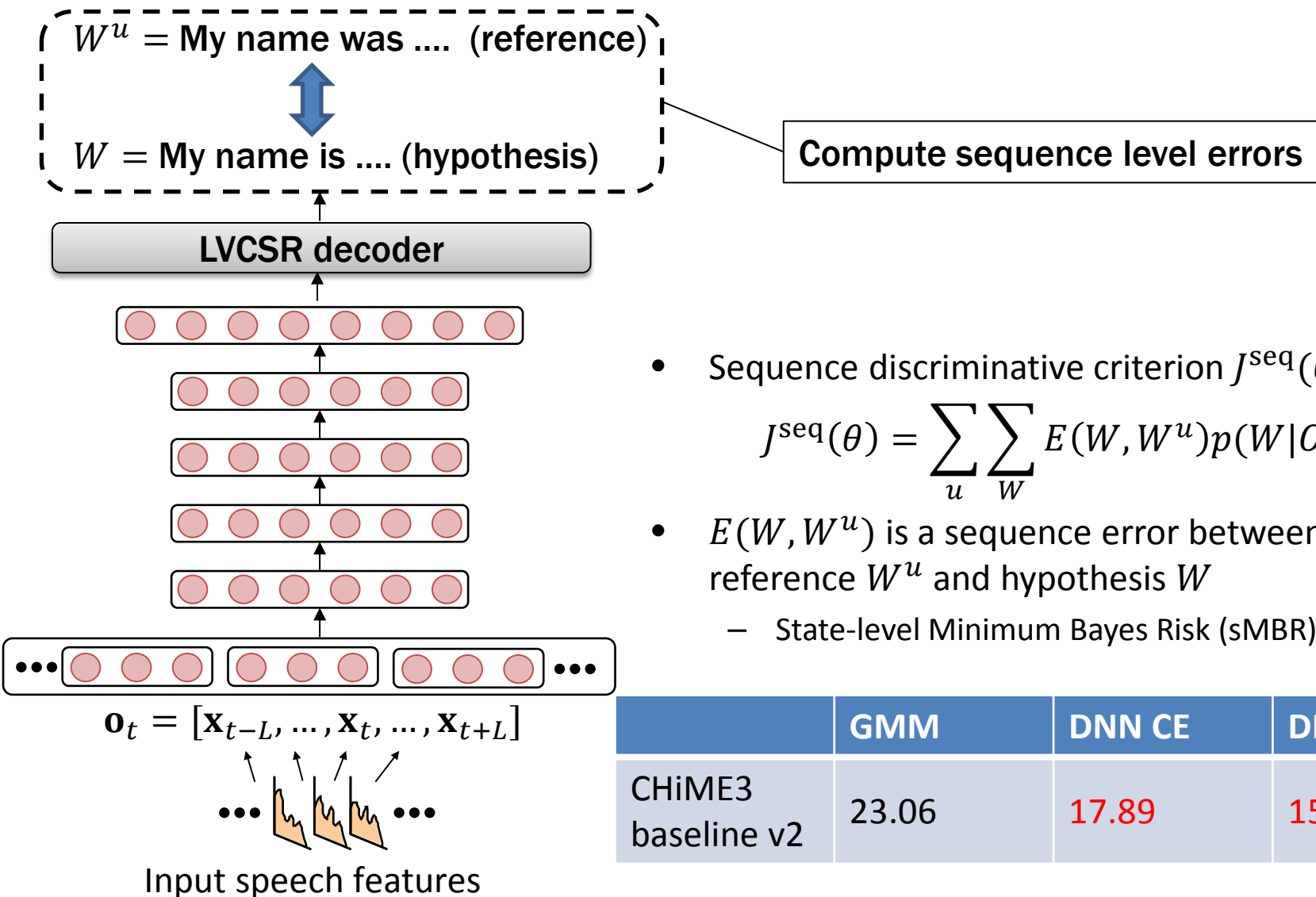
Long context!  
(usually 11 frames)

- Cross entropy criterion  $J^{\text{ce}}(\theta)$

$$J^{\text{ce}}(\theta) = - \sum_t \sum_k \tau_{t,k} \log h_{t,k}^L(\theta)$$

- There are several other **criteria**

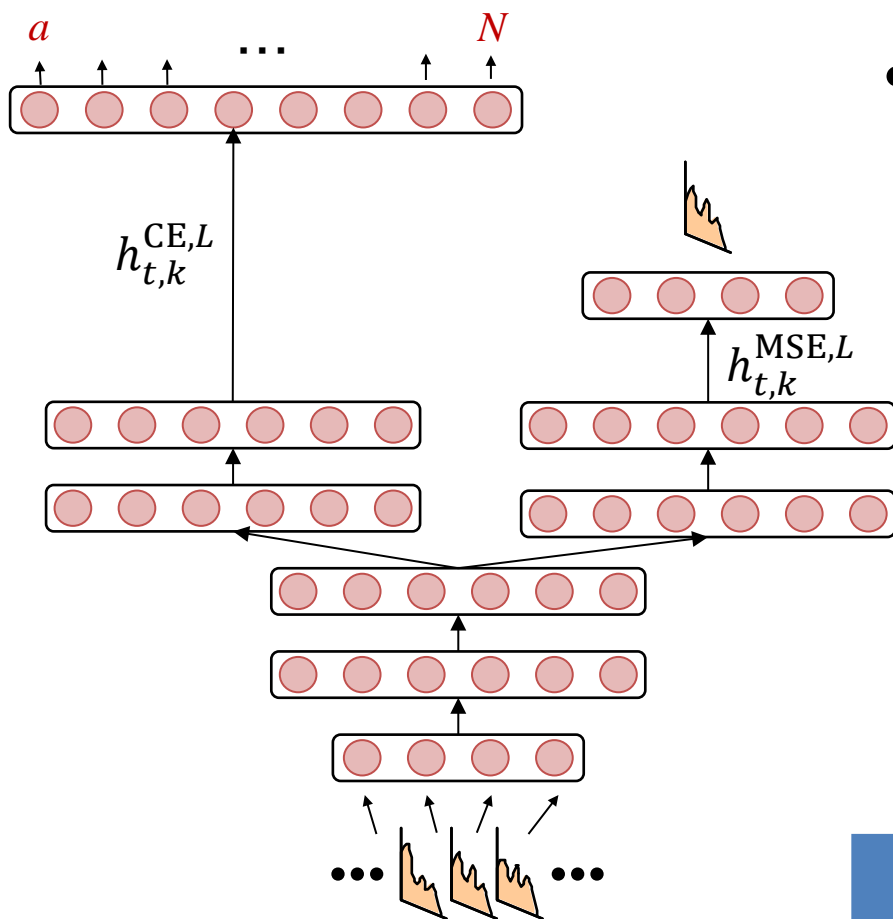
# Sequence discriminative criterion



|                    | GMM   | DNN CE | DNN sMBR |
|--------------------|-------|--------|----------|
| CHiME3 baseline v2 | 23.06 | 17.89  | 15.88    |



# Multi-task objectives



- Use both MMSE and CE criteria

- $X$  as clean speech target

- $T$  as transcription

$$J(\theta) = \rho J^{\text{CE}}(T; \theta) + (1 - \rho) J^{\text{MSE}}(X; \theta)$$

$$= -\rho \sum_{t,k} \tau_{t,k} \log h_{t,k}^{\text{CE},L} + (1 - \rho) \sum_{t,d} |x_{t,d} - h_{t,d}^{\text{MSE},L}|^2$$

- Network tries to solve both enhancement and recognition

- $\rho$  controls the balance between the two criteria

(Giri'15)

|                 | CE    | Multi-task<br>$\rho = 0.91$ |
|-----------------|-------|-----------------------------|
| REVERB RealData | 32.12 | 31.97                       |

# Toward further long context

Time Delayed Neural Network (TDNN)

Convolutional Neural Network (CNN)

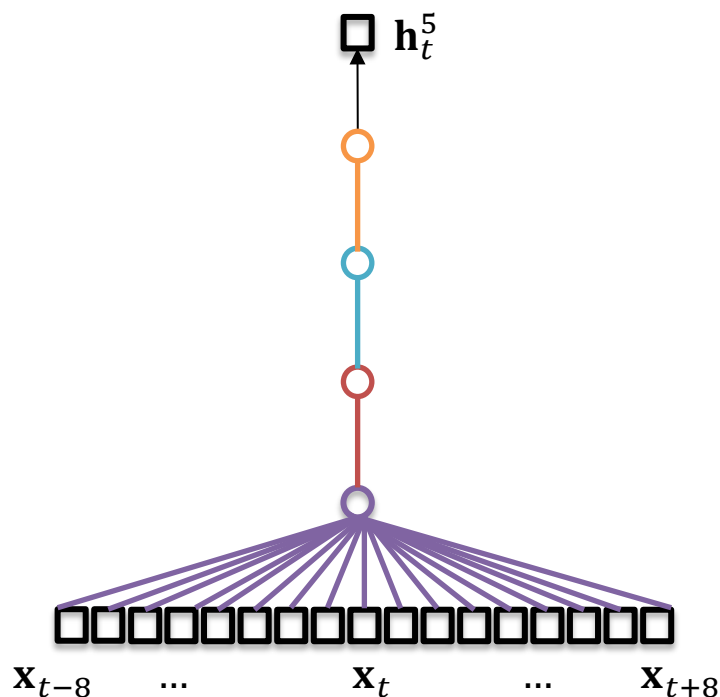
Recurrent Neural Network (RNN)

- Long Short-Term Memory (LSTM)

# Time delayed neural network (TDNN)

(Waibel'89, Peddinti'15)

- Deal with “very” long context (e.g., 17 frames)



- Difficult to train the first layer matrix due to vanishing gradient

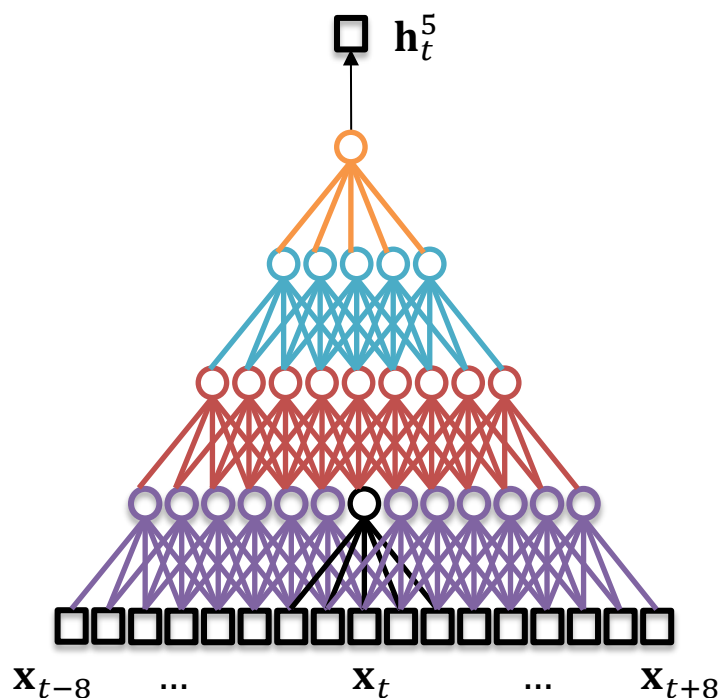
# Time delayed neural network (TDNN)

(Waibel'89, Peddinti'15)

- Original TDNN
  - Consider short context (e.g.,  $[-2, 2]$ ), but expand context at each layer

$$\mathbf{h}_t^1 = \sigma(\mathbf{A}^1[\mathbf{x}_{t-2}, \mathbf{x}_{t-1}, \mathbf{x}_t, \mathbf{x}_{t+1}, \mathbf{x}_{t+2}] + \mathbf{b}^1)$$
$$\mathbf{h}_t^2 = \sigma(\mathbf{A}^2[\mathbf{h}_{t-2}^1, \mathbf{h}_{t-1}^1, \mathbf{h}_t^1, \mathbf{h}_{t+1}^1, \mathbf{h}_{t+2}^1] + \mathbf{b}^2)$$
$$\mathbf{h}_t^3 = \dots$$

Very large computational cost



# Time delayed neural network (TDNN)

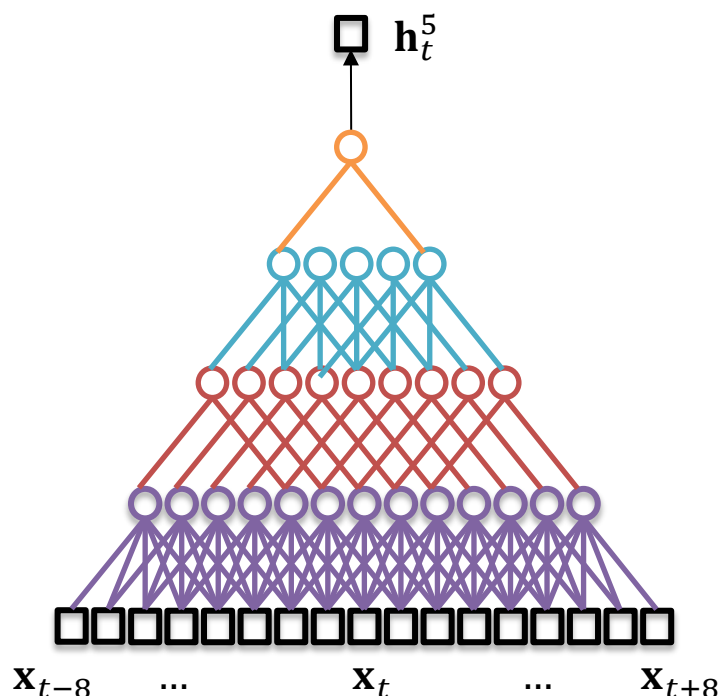
(Waibel'89, Peddinti'15)

- Original TDNN
  - Consider short context (e.g., [-2, 2]), but expand context at each layer

$$\mathbf{h}_t^1 = \sigma(\mathbf{A}^1[\mathbf{x}_{t-2}, \mathbf{x}_{t-1}, \mathbf{x}_t, \mathbf{x}_{t+1}, \mathbf{x}_{t+2}] + \mathbf{b}^1)$$
$$\mathbf{h}_t^2 = \sigma(\mathbf{A}^2[\mathbf{h}_{t-2}^1, \mathbf{h}_{t-1}^1, \mathbf{h}_t^1, \mathbf{h}_{t+1}^1, \mathbf{h}_{t+2}^1] + \mathbf{b}^2)$$
$$\mathbf{h}_t^3 = \dots$$

Very large computational cost

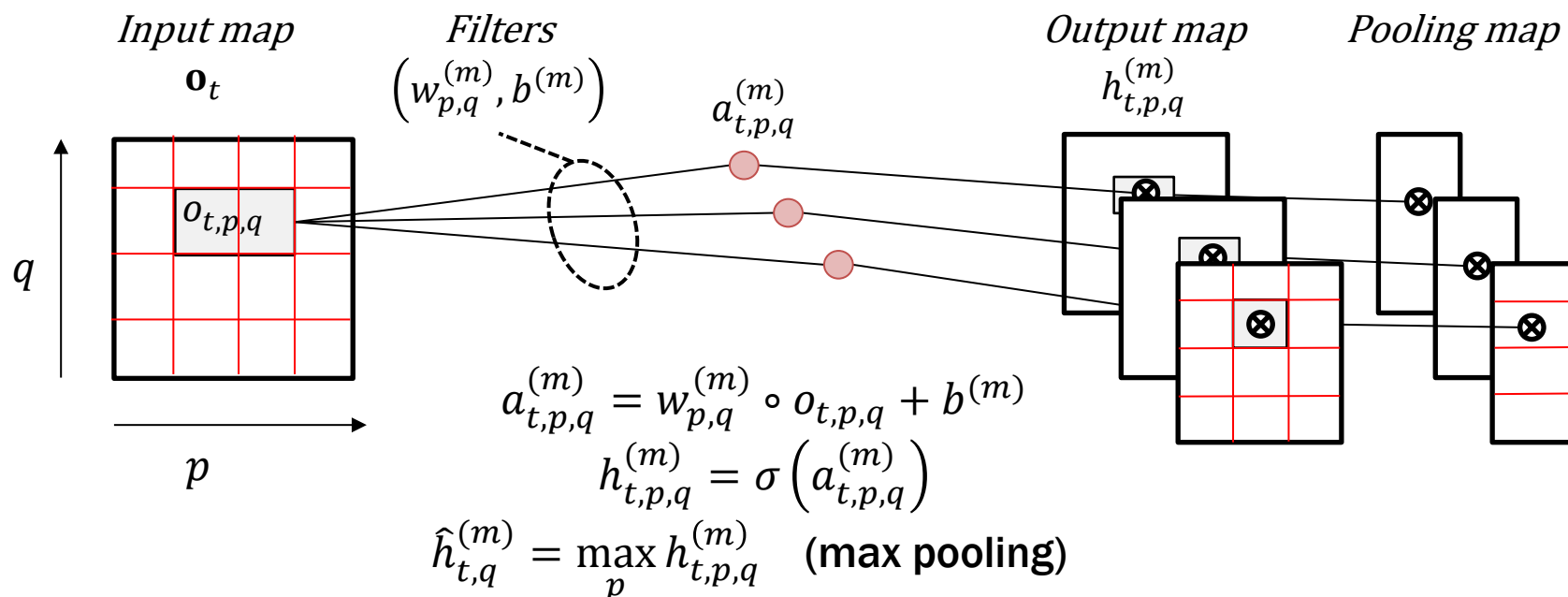
- Subsampled TDNN (Peddinti'15)
    - Subsample frames in the context expansion
- $$\mathbf{h}_t^2 = \sigma(\mathbf{A}^2[\mathbf{h}_{t-2}^1, \mathbf{h}_{t+2}^1] + \mathbf{b}^2)$$
- Efficiently compute long context network



|        | DNN  | TDNN |
|--------|------|------|
| ASpIRE | 33.1 | 30.8 |
| AMI    | 53.4 | 50.7 |

# Convolutional Neural Network (CNN)

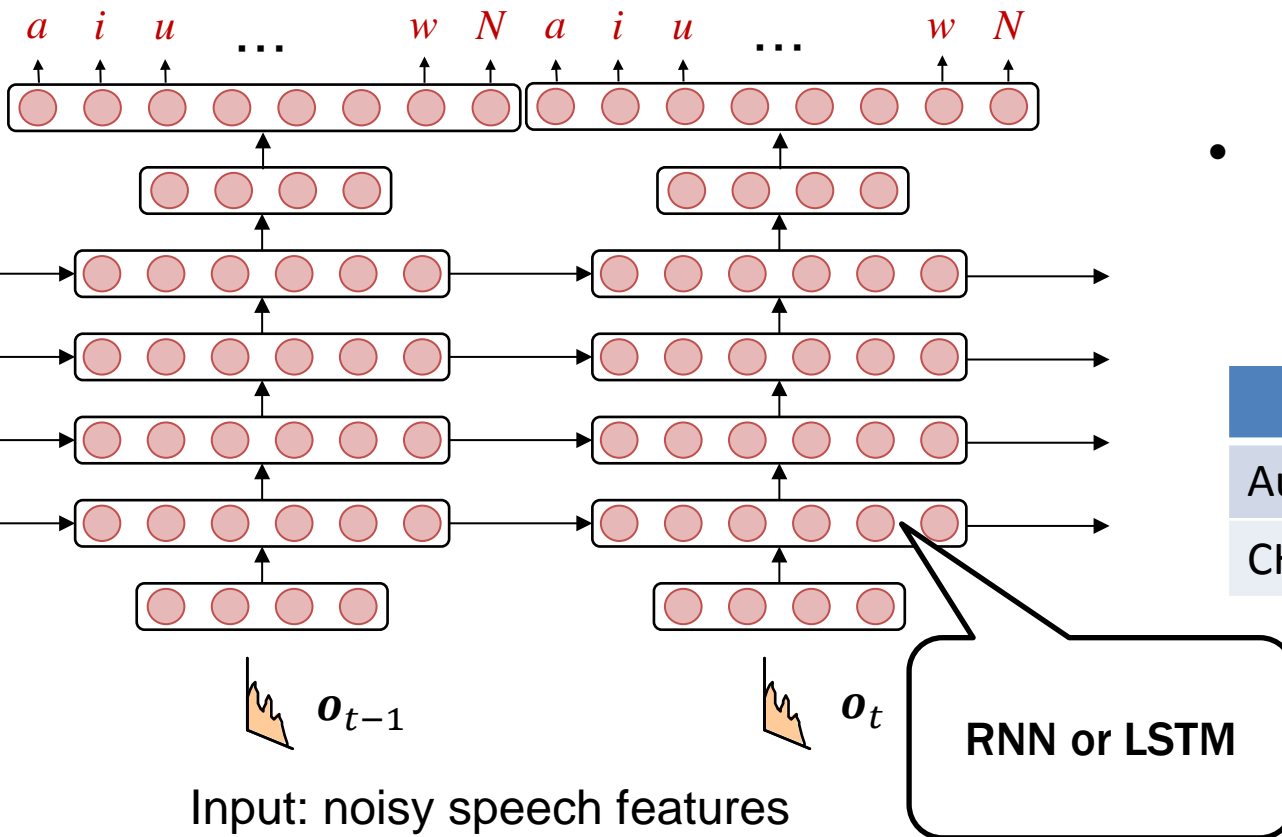
- Represents the input as time-frequency feature map  $o_{t,p,q}$  (we can also use multiple maps one for static, delta and delta-delta features), where  $p$  and  $q$  are indexes along the time and frequency axes of the feature maps



- Time-dimensional feature maps can capture long context information  
REVERB: **23.5** (DNN)  $\rightarrow$  **22.4** (CNN-DNN) (Yoshioka'15a)

# RNN/LSTM acoustic model

Output HMM state



- RNN can also capture the long-term distortion effect due to reverberation and noise
- RNN/LSTM can be applied as an acoustic model for noise robust ASR (Weng'14, Weninger'14)

|         | DNN   | RNN   |
|---------|-------|-------|
| Aurora4 | 13.33 | 12.74 |
| CHiME2  | 29.89 | 27.70 |

# Practical issues

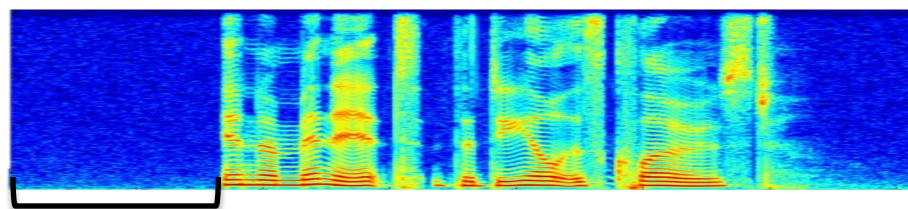


# The importance of the alignments

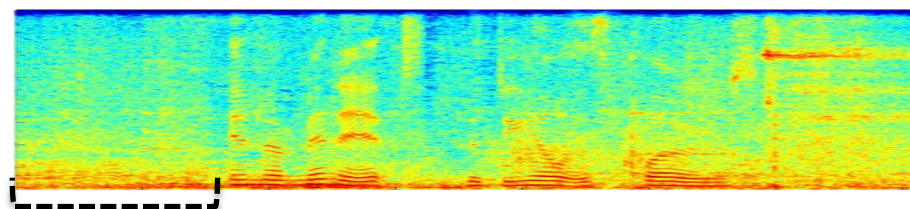
- DNN CE training needs **frame-level** label  $\tau_{t,k}$  obtained by Viterbi algorithm

$$J^{\text{CE}}(\theta) = - \sum_t \sum_k \tau_{t,k} \log h_{t,k}^L$$

- However, it is very difficult to obtain precise label  $\tau_{t,k}$  for noisy speech



sil



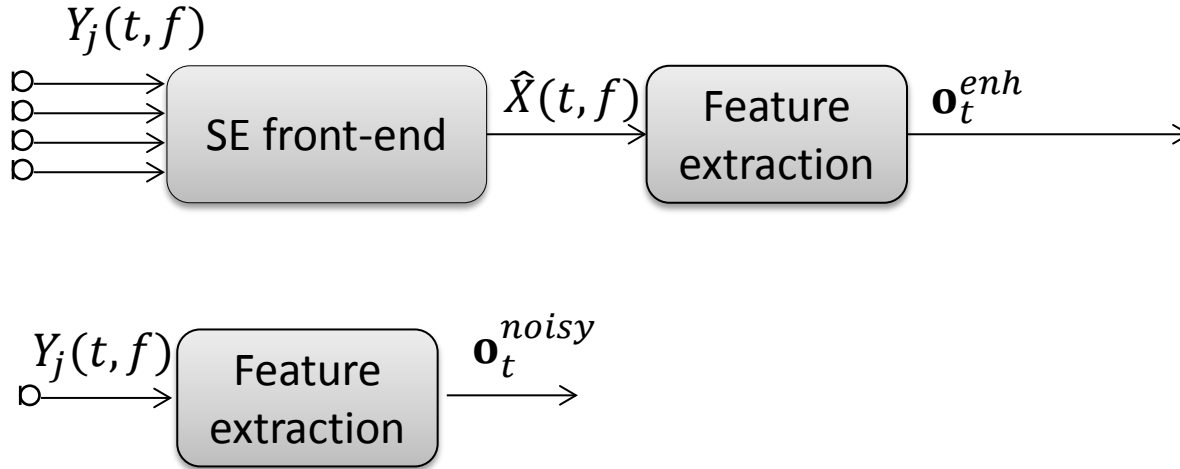
sil?

- How to deal with the issue?
  - Re-alignment after we obtain DNN several times
  - Sequence discriminative training can mitigate this issue (however, since we use CE as an initial model, it is difficult to recover this degradation)
  - Parallel clean data alignment if available

|        | Noisy alignment | Clean alignment |
|--------|-----------------|-----------------|
| CHiME2 | 29.89           | 24.75           |

(Weng'14)

# Degradation due to enhanced features

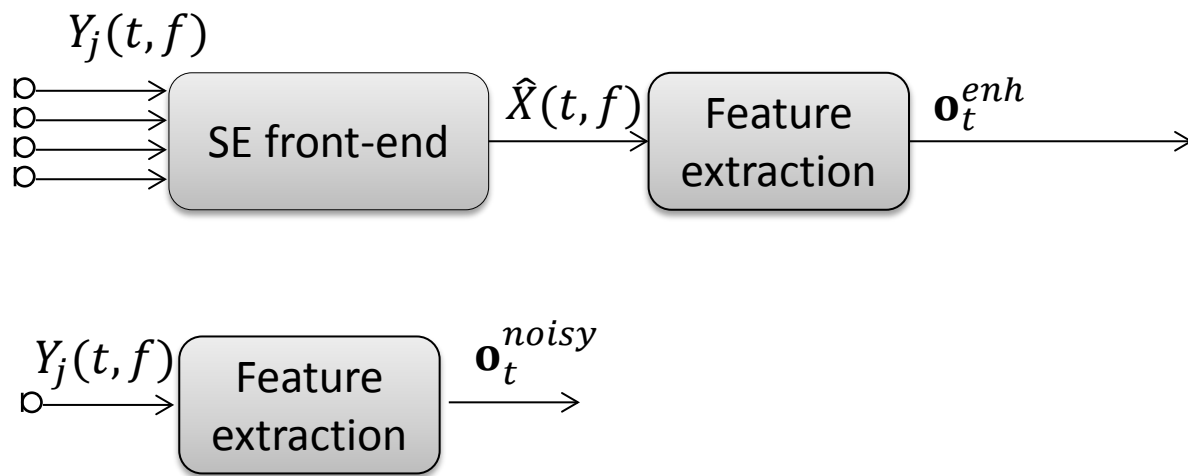


- Which features we should use for training acoustic models?
  - Noisy features:  $\mathbf{o}_t^{noisy} = \text{FE}(Y)$
  - Enhanced features:  $\mathbf{o}_t^{enh} = \text{FE}(\hat{X})$

| Training                      | Testing                       | WER (%) |
|-------------------------------|-------------------------------|---------|
| Noisy $\mathbf{o}_t^{noisy}$  | Noisy $\mathbf{o}_t^{noisy}$  | 23.66   |
| Noisy $\mathbf{o}_t^{noisy}$  | Enhanced $\mathbf{o}_t^{enh}$ | 14.86   |
| Enhanced $\mathbf{o}_t^{enh}$ | Enhanced $\mathbf{o}_t^{enh}$ | ????    |

CHiME 3  
Real Eval

# Degradation due to enhanced features



- Which features we should use for training acoustic models?

- Noisy features:  $\mathbf{o}_t^{noisy} = \text{FE}(Y)$
- Enhanced features:  $\mathbf{o}_t^{enh} = \text{FE}(\hat{X})$

|                      | Training                      | Testing                       | WER (%) |
|----------------------|-------------------------------|-------------------------------|---------|
| CHiME 3<br>Real Eval | Noisy $\mathbf{o}_t^{noisy}$  | Noisy $\mathbf{o}_t^{noisy}$  | 23.66   |
|                      | Noisy $\mathbf{o}_t^{noisy}$  | Enhanced $\mathbf{o}_t^{enh}$ | 14.86   |
|                      | Enhanced $\mathbf{o}_t^{enh}$ | Enhanced $\mathbf{o}_t^{enh}$ | 16.17   |

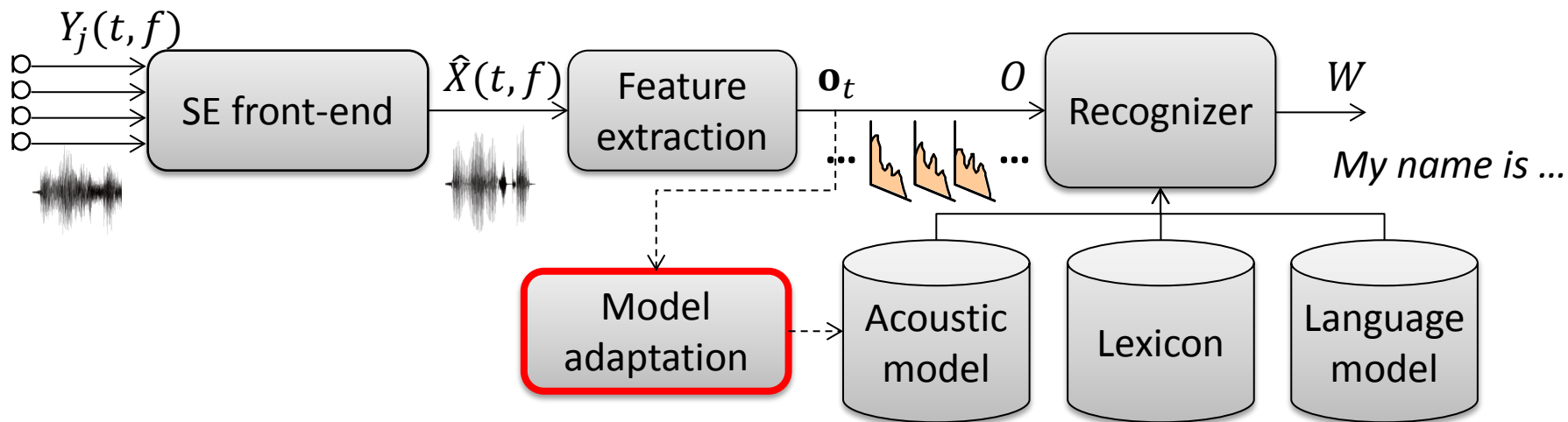
Re-training with enhanced features degrades the ASR performance!!

- Noisy data training are robust for distorted speech (?)

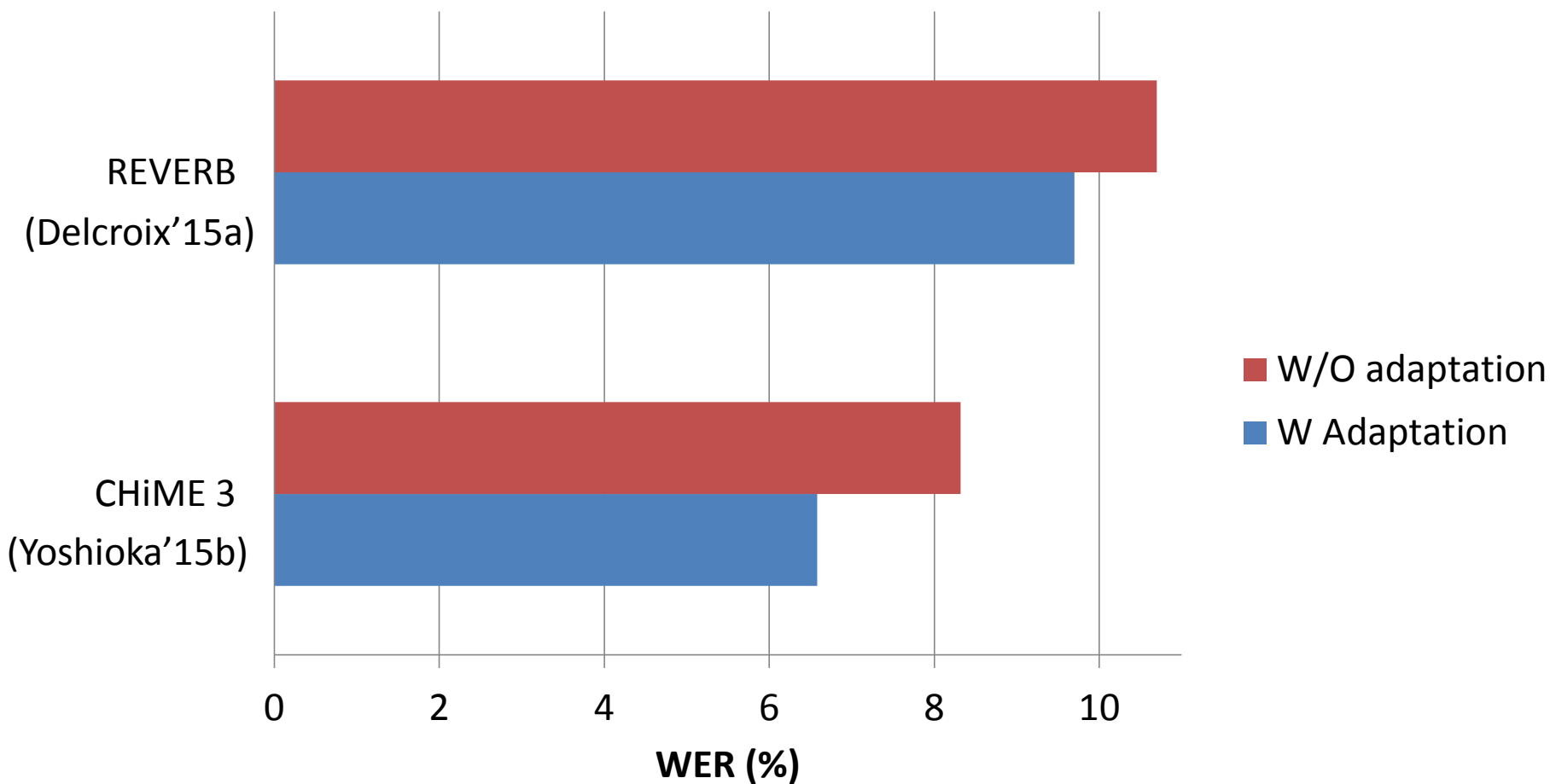
# Remarks

- Noise robust feature and linear feature transformation are effective
  - Effective for both GMM and DNN acoustic modeling
- Deep learning is effective for noise robust ASR
  - DNN with sequence discriminative training is still powerful
  - RNN, TDNN, and CNN can capture the long-term dependency of speech, and are more effective when dealing with reverberation and complex noise
- We can basically use standard acoustic modeling techniques even for distant ASR scenarios
- However, need special cares for
  - Alignments
  - Re-training with enhanced features

## 3.3 Acoustic model adaptation

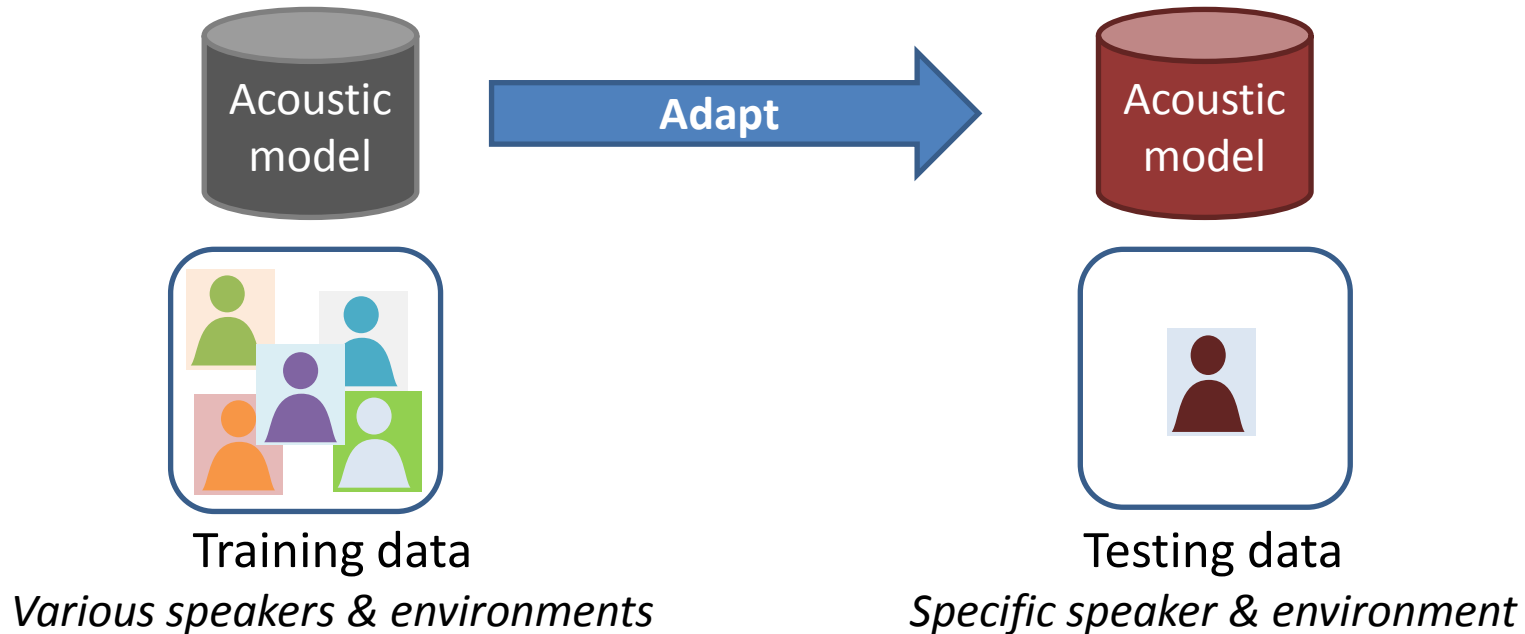


# Importance of acoustic model adaptation



# Acoustic model adaptation

- DNN is very powerful so why do we need adaptation?



- Unseen test condition due to limited amount of training data
- Model trained on large amount of data may be good on average but not optimal for a specific condition

# Supervised/Unsupervised adaptation

- Supervised adaptation
  - *We know what was spoken*
  - There are transcriptions associated with adaptation data
- Unsupervised adaptation
  - *We do not know what was spoken*
  - There are no transcriptions



# Supervised/Unsupervised adaptation

- Supervised adaptation
  - *We know what was spoken*
  - There are transcriptions associated with adaptation data
- **Unsupervised adaptation**
  - *We do not know what was spoken*
  - **There are no transcriptions**

# DNN adaptation techniques

- **Model adaptation**

- Retraining
- Linear transformation of input or hidden layers (fDLR, LIN, LHN, LHUC)
- Adaptive training (Cluster/Speaker adaptive training)

- **Auxiliary features**

- Auxiliary features
  - Noise aware training
  - Speaker aware training
  - Context adaptive DNN

# DNN adaptation techniques

- **Model adaptation**

- Retraining
- Linear transformation of input or hidden layers (fDLR, LIN, LHN, LHUC)
- Adaptive training (Cluster/Speaker adaptive training)

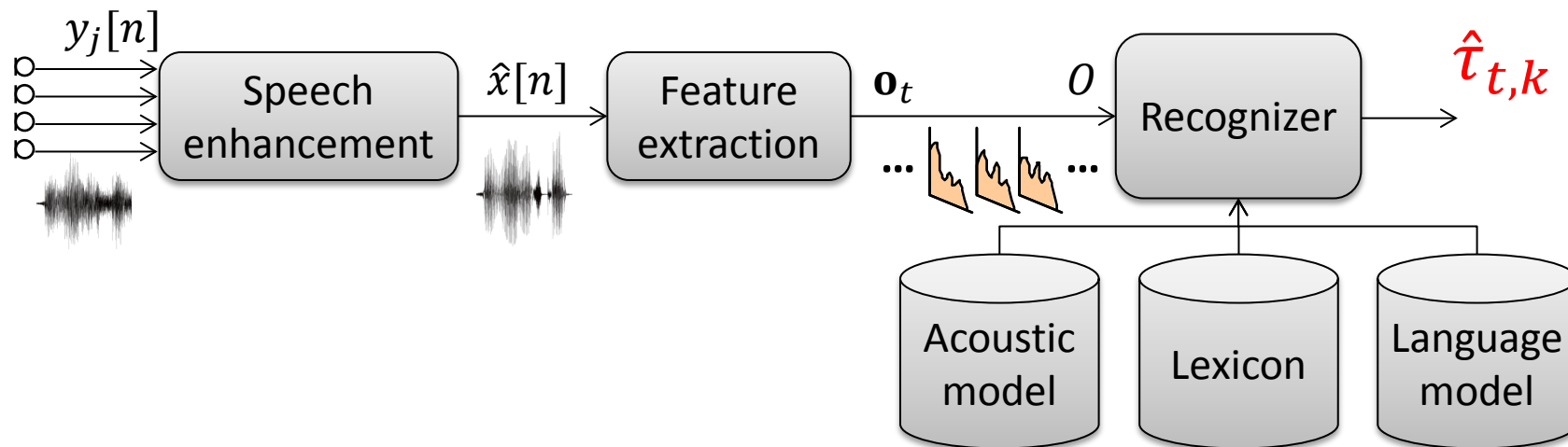
- **Auxiliary features**

- Auxiliary features
  - Noise aware training
  - Speaker aware training
  - Context adaptive DNN

# Unsupervised labels estimation

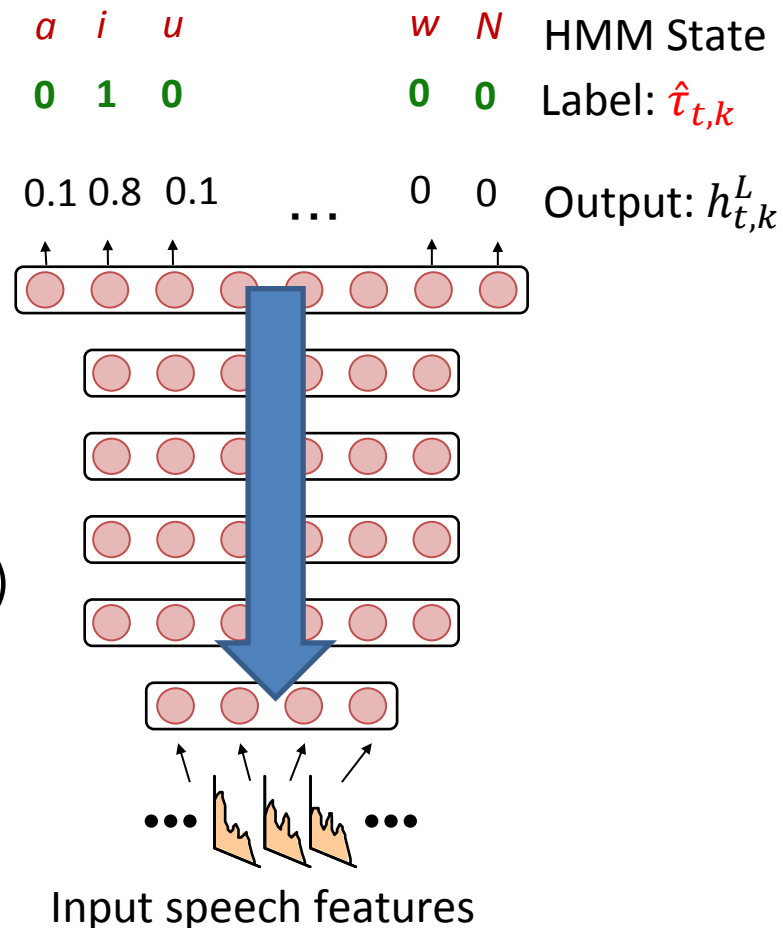
- 1<sup>st</sup> pass
  - Decode adaptation data with an existing ASR system
  - Obtain estimated labels,  $\hat{\tau}_{t,k}$

Adaptation  
speech data



# Retraining

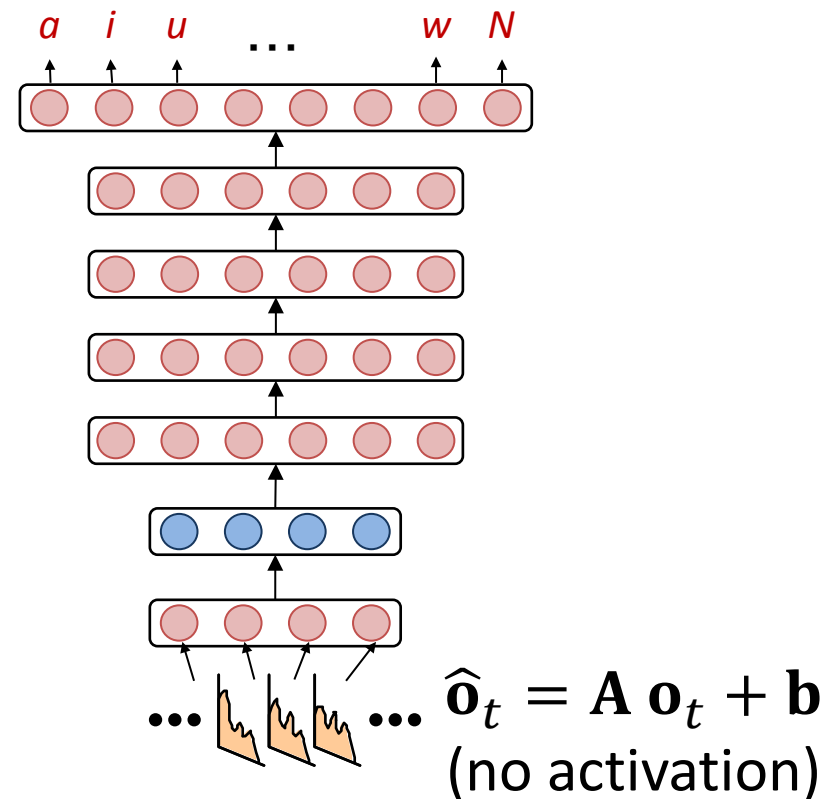
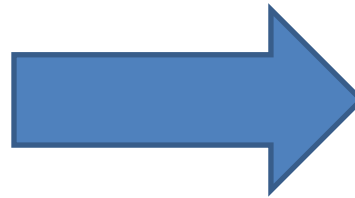
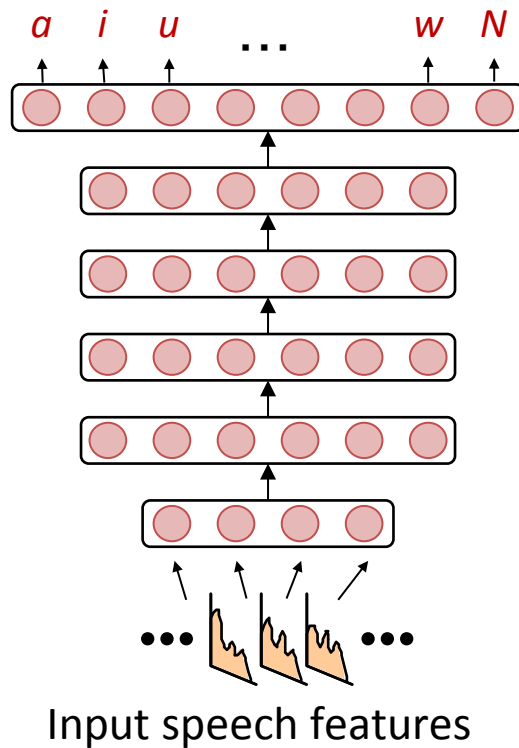
- Retrain/adapt acoustic model parameters given the estimated labels with error backpropagation (Liao'13)
- Prevent modifying too much the model
  - Small learning rate
  - Small number of epochs (early stopping)
  - Regularization (e.g. L2 prior norm (Liao'13), KL (Yu'13))
- For large amount of adaptation data, retraining all or part of the DNN (e.g. lower layers)



# Linear input network (LIN)

(Neto'95)

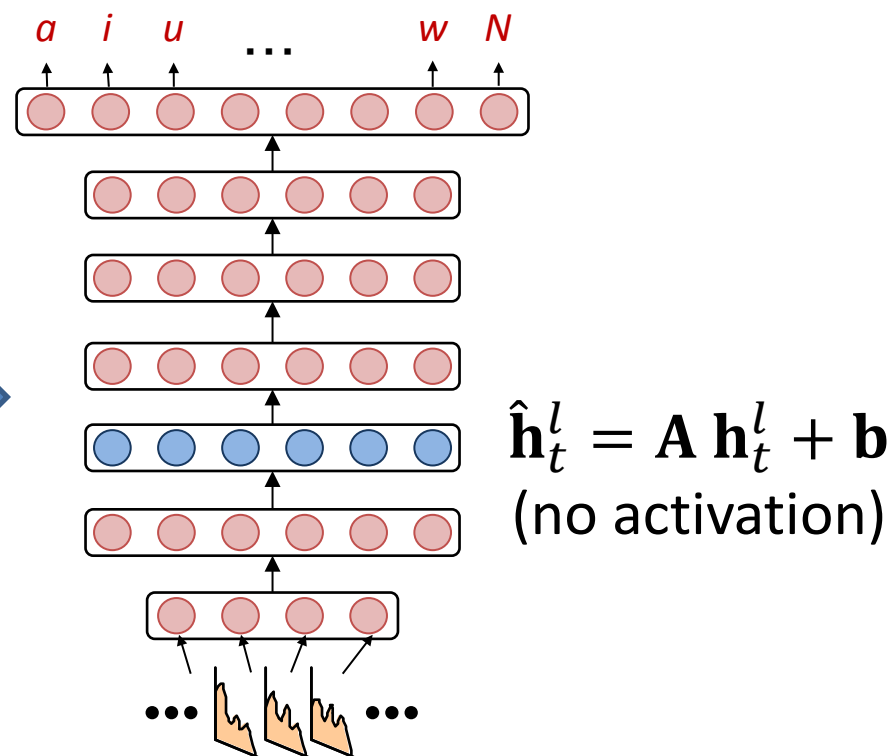
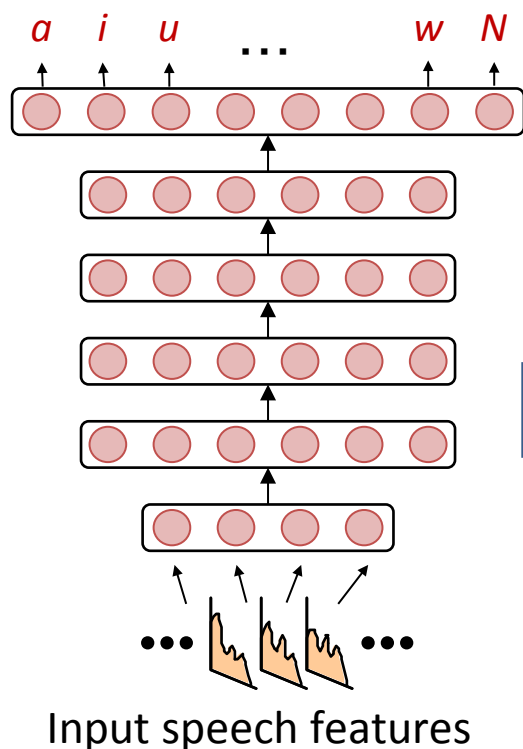
- Add a linear layer that transforms the input features
- Learn the transform with error backpropagation



# Linear hidden network (LHN)

(Gemello'06)

- Insert a linear transformation layer inside the network

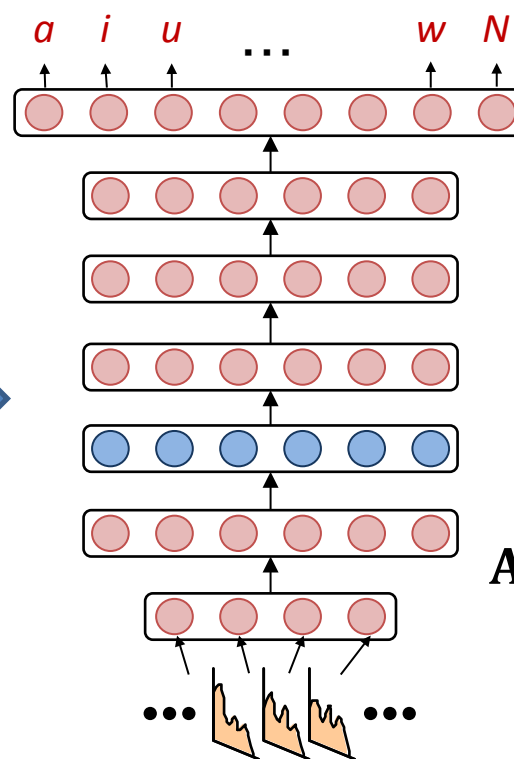
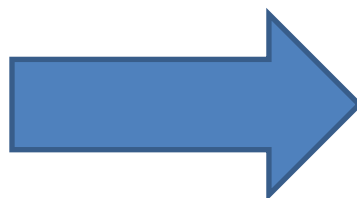
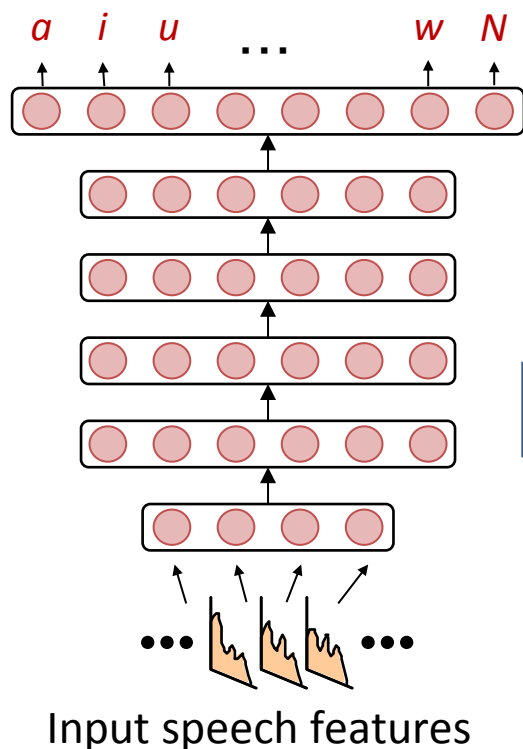


# Learning hidden unit contribution (LHUC)

(Swietojanski '14b)

- Similar to LHN but with diagonal matrix

→ Fewer parameters



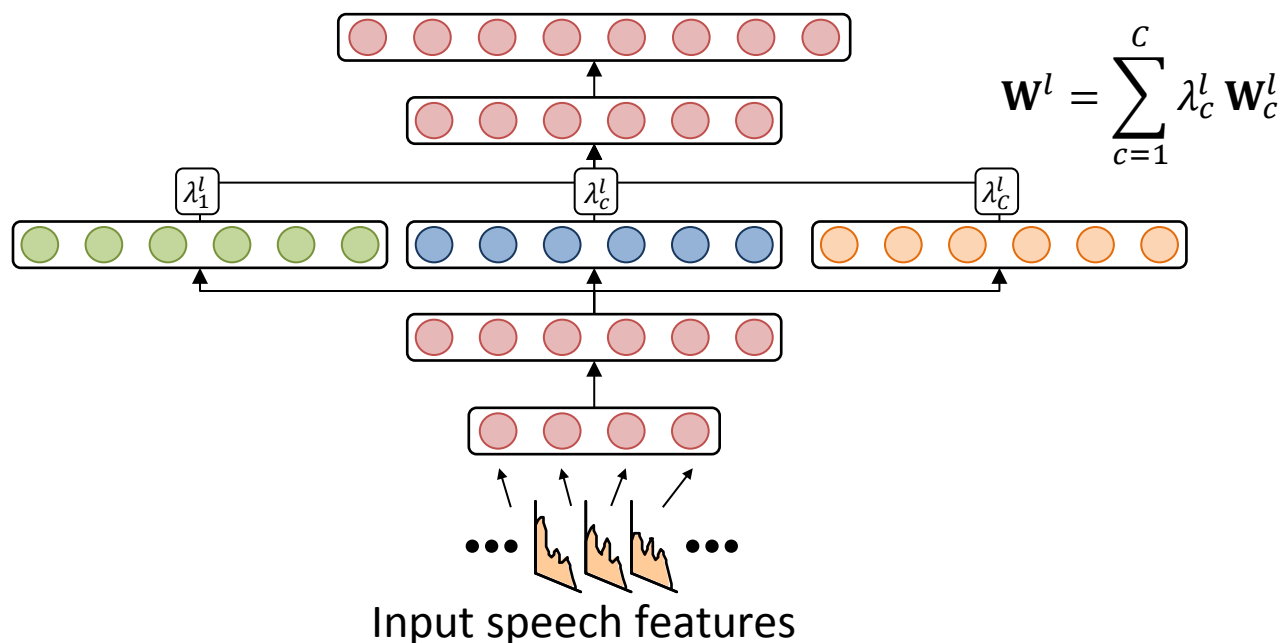
$$\hat{\mathbf{h}}_t^l = \mathbf{A} \mathbf{h}_t^l$$

$$\mathbf{A} = \begin{bmatrix} a_1 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & a_N \end{bmatrix}$$



# Speaker/Cluster adaptive training

- Parameters of one or several layers are made dependent on conditions (speaker or noise)
  - During adaptation, adapt only the parameters of this layer (speaker adaptive training) (Ochiai'14)
  - Use the trained set of parameters as basis ( $\mathbf{W}_c^l, c = 1, \dots, C$ ) and only adapt weights of these basis  $\lambda_c^l$  (Cluster adaptive training) (Tan'15, Chunyang'15)



# Room adaptation for REVERB (RealData)

Results from (Delcroix'15a)

| Adap | WER (%) |
|------|---------|
| -    | 24.1    |
| 1st  | 21.7    |
| All  | 22.1    |
| LIN  | 22.1    |

Speech processed with WPE (1ch)

Amount of adaptation data ~9 min

Back-end:

- DNN with 7 hidden layers
- Trigram LM

# Model adaptation

- 😊 Can adapt to conditions unseen during training
- ☹️ Computationally expensive + processing delay  
*Requires 2 decoding step*
- ☹️ Data demanding  
*Relatively large amount of adaptation data needed*

# DNN adaptation techniques

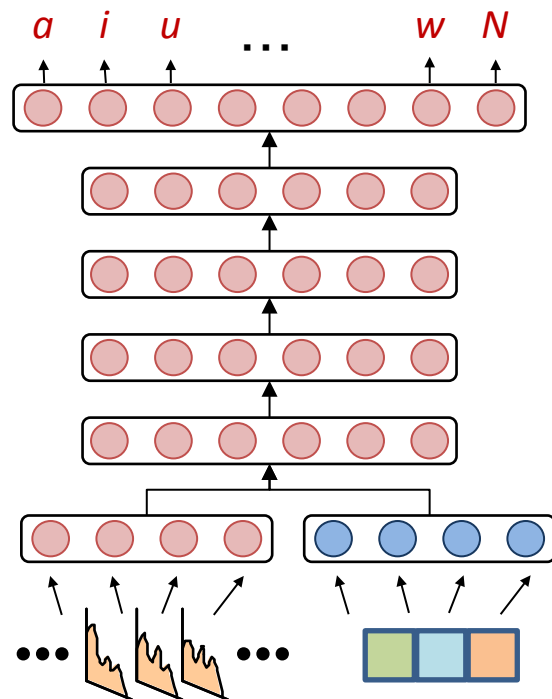
- Model adaptation

- Retraining
- Linear transformation of input or hidden layers (fDLR, LIN, LHN, LHUC)
- Adaptive training (Cluster/Speaker adaptive training)

- Auxiliary features

- Auxiliary features
  - Noise aware training
  - Speaker aware training
  - Context adaptive DNN

# Auxiliary features based adaptation



- Exploit auxiliary information about speaker or noise
- Simple way:
  - Concatenate auxiliary features to input features
- Weights for auxiliary features learned during training

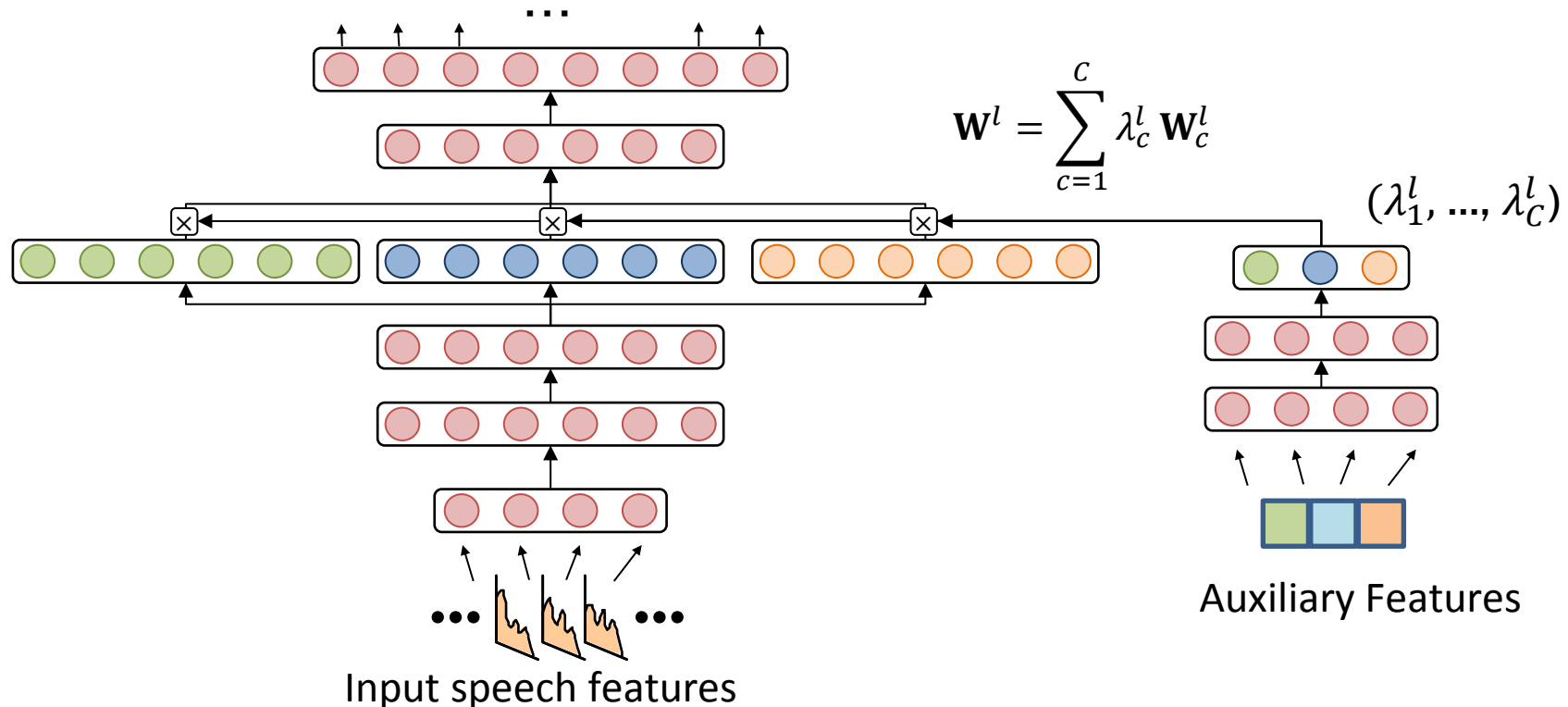
Auxiliary Features represents e.g.,

- Speaker aware (i-vector, Bottleneck feat.) (Saon'13)
- Noise aware (noise estimate) (Seltzer'13)
- Room aware (RT60, Distance, ...) (Giri'15)

# Context adaptive DNN

(Delcroix'15b, '16a, '16b)

- Similar to cluster adaptive training but the class weights  $\lambda_c^l$  are derived from an auxiliary network that input auxiliary features
- The joint optimization of context classes, class weights and DNN parameters enables class weights and class definitions optimized for ASR



# Speaker adaptation

Results from (Kundu'15)

| Auxiliary feature     | AURORA 4 | REVERB |
|-----------------------|----------|--------|
| -                     | 9.6 %    | 20.1 % |
| i-vector              | 9.0 %    | 18.2 % |
| Speaker ID Bottleneck | 9.3 %    | 17.4 % |

- Speaker i-vectors or bottleneck features have shown to improve performance for many tasks
- Other features such as noise or room parameters have also been shown to improve performance

# Auxiliary features-based adaptation

## 😊 Rapid adaptation

*Auxiliary features can be computed per utterance (~10 sec. or less)*

## 😊 Computationally friendly

*No need for the extra decoding step*

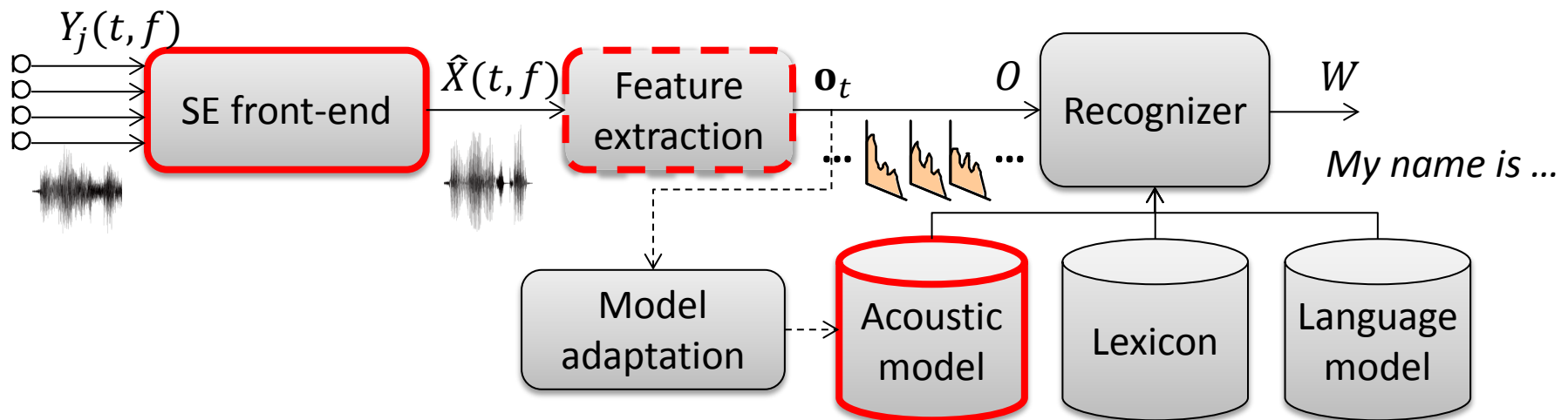
*(Single pass unsupervised adaptation)*

## ☹ Does not extend to unseen conditions

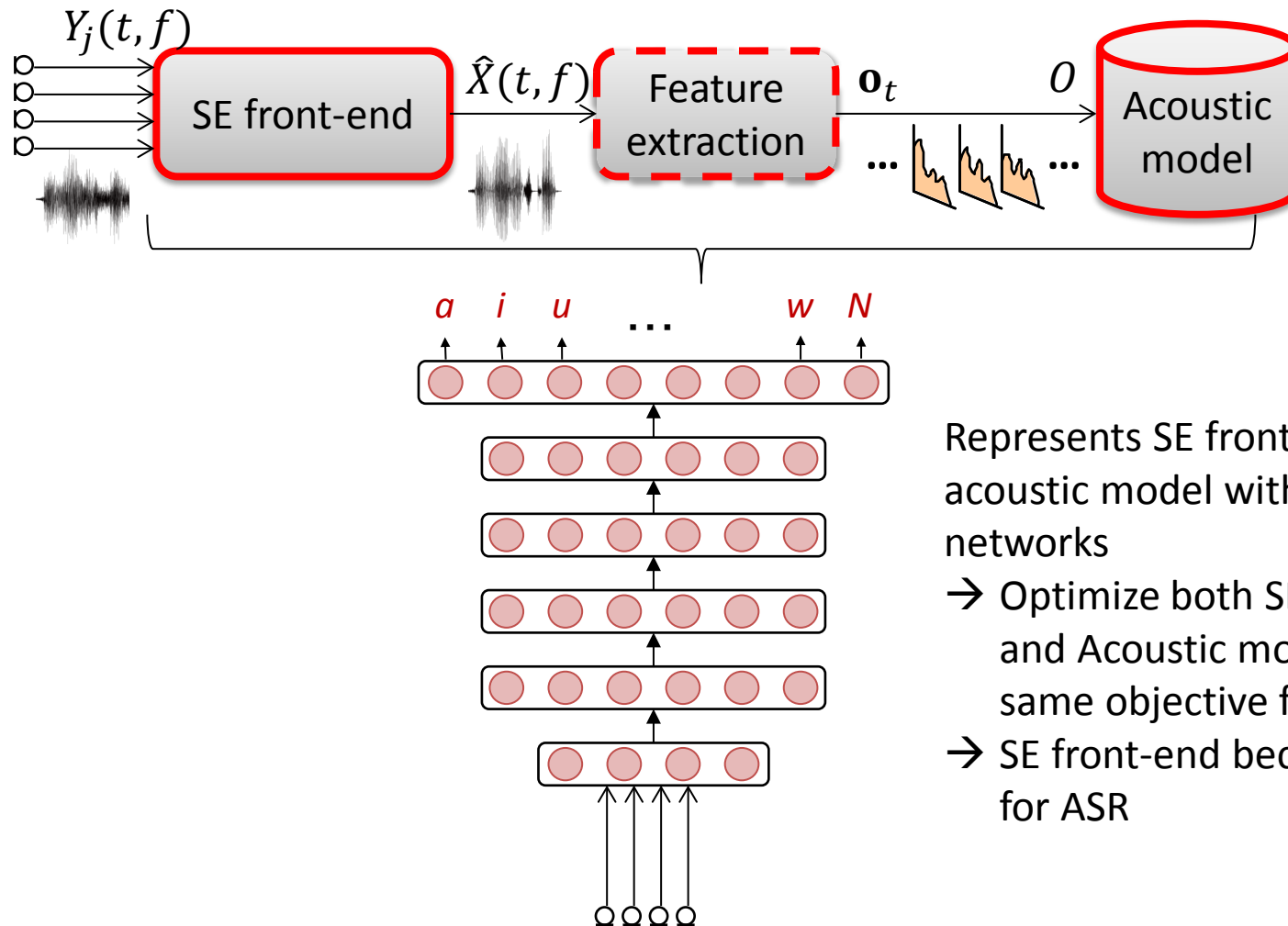
*Requires training data covering all test cases*



## 3.4 Integration of front-end and back-end with deep networks



# Front-end and back-end integration

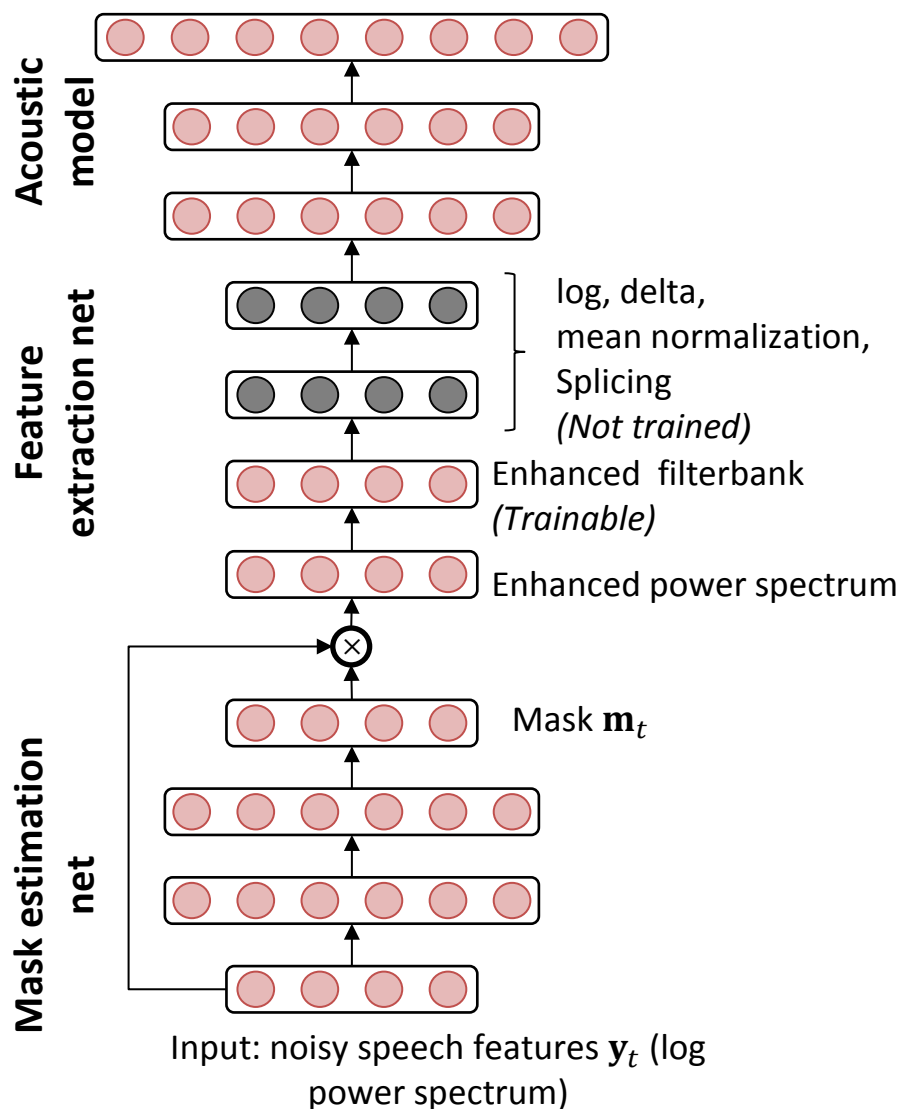


Represents SE front-end and acoustic model with neural networks

- Optimize both SE front-end and Acoustic model using the same objective function
- SE front-end becomes optimal for ASR

# Single channel integrated system

(Wang'16)



- DNN-based SE front-end and ASR back-end can be connected to form a large network
  - Can be optimized for ASR objective function (Cross entropy or SMBR)
- Initialize each component independently
  - Requires parallel corpus for initialization

# Experiments on CHiME 2

Results from (Wang'16)

| System  | CE            | sMBR          |
|---|---------------|---------------|
| Baseline (No SE front-end)                                  | 16.2 %        | 13.9 %        |
| Mask estimation using CE                                    | 14.8 %        | 13.4 %        |
| Mask estimation + retraining                                | 15.5 %        | 13.9 %        |
| <b>Joint training of mask estimation and acoustic model</b> | <b>14.0 %</b> | <b>12.1 %</b> |
| Large DNN-based acoustic model                              | 15.2 %        | -             |

Enhancement DNN

- Predict mask (CE Objective function)
- Features: Log power spectrum

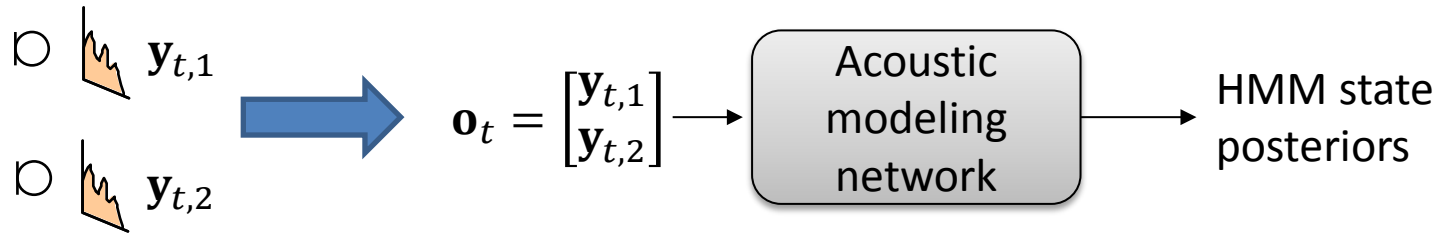
Acoustic model DNN

- Log Mel Filterbanks
- Trained on noisy speech with cross entropy (CE) or sMBR objective function

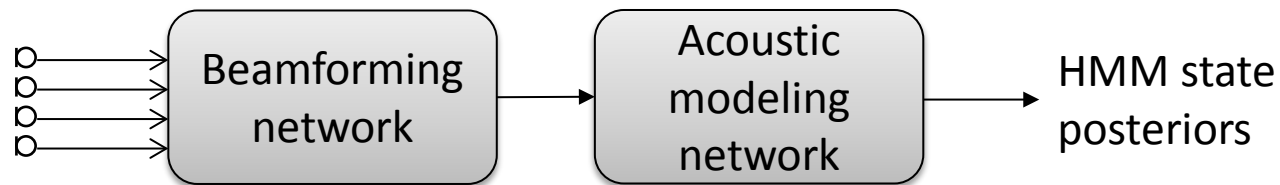
# Multi-channel approaches

# Multi-channel approaches

- Multi-channel input to the acoustic model



- Beamforming network

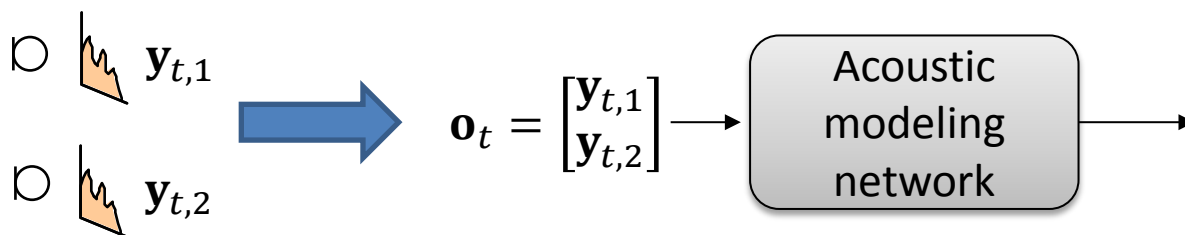


1. Directly enhance signal using CNN-based beamforming network (**Filter learning**)
2. DNN outputs beamforming filters (**Filter prediction**)

# Multi-channel input acoustic model

(Marino'11, Swietojanski'13 , Liu'14, Swietojanski'14a)

- Concatenate speech features (e.g. log mel filterbank) for each channel at the input of the acoustic model



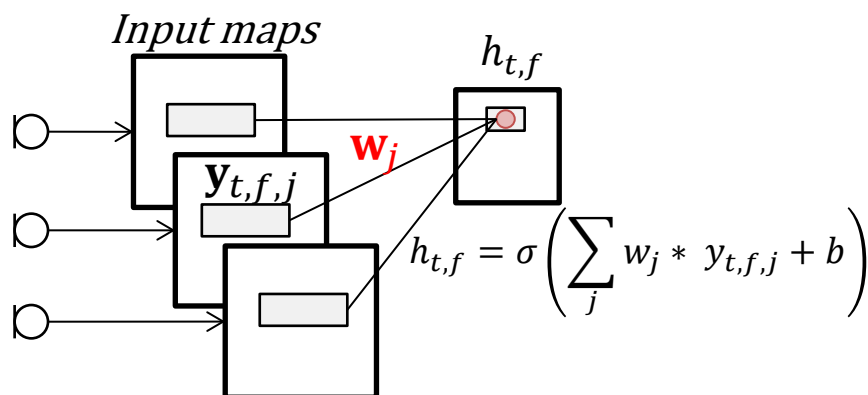
- With fully connected networks (Swietojanski'13 , Liu'14)
- With CNNs (Swietojanski'14a)
- Without phase difference: lack of special information

# CNN-based multi-channel input (feature domain)

(Swietojanski'14a)

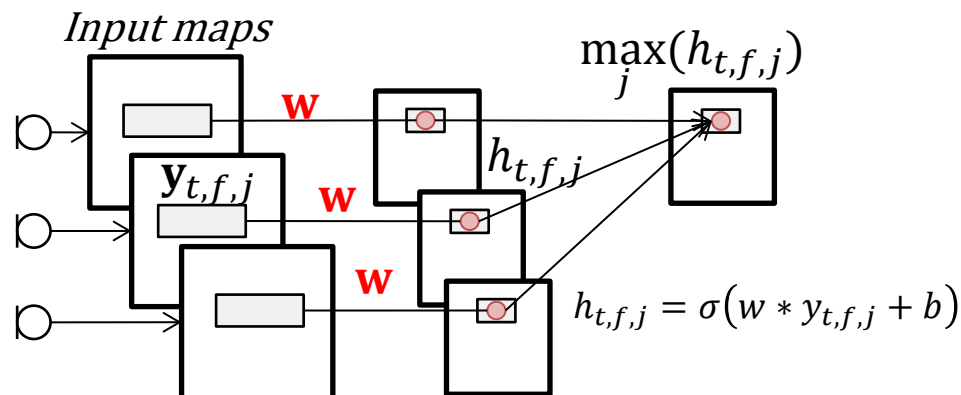
- Each channel considered as a different feature map input to a CNN acoustic model

## Conventional CNN



- Process each channel with **different filters  $w_j$**
- Sum across channels
- Similar to beamforming but
  - Filter shared across time-frequency bins
  - Input does not include phase information

## Channel wise convolution



- Process each channel with **same filter  $w$**
- Max pooling across channels
- Select the “most reliable” channel for each time-frequency bin
  - Applicable to different microphone configuration



# Results for AMI corpus

Results from (Swietojanski'14a)

|   | DNN    | CNN           |
|---|--------|---------------|
| Single distant mic  | 53.1 % | 51.3 %        |
| Multi-channel input (4ch)                                     | 51.2 % | 50.4 %        |
| <b>Multi-channel input (4ch)<br/>channel-wise convolution</b> | -      | <b>49.4 %</b> |
| BeamformIt (8ch)  | 49.5 % | 46.8 %        |

- Inputting multi-channel improves over single-channel input
- Beamforming seems to perform better possibly because it exploits phase difference across channels

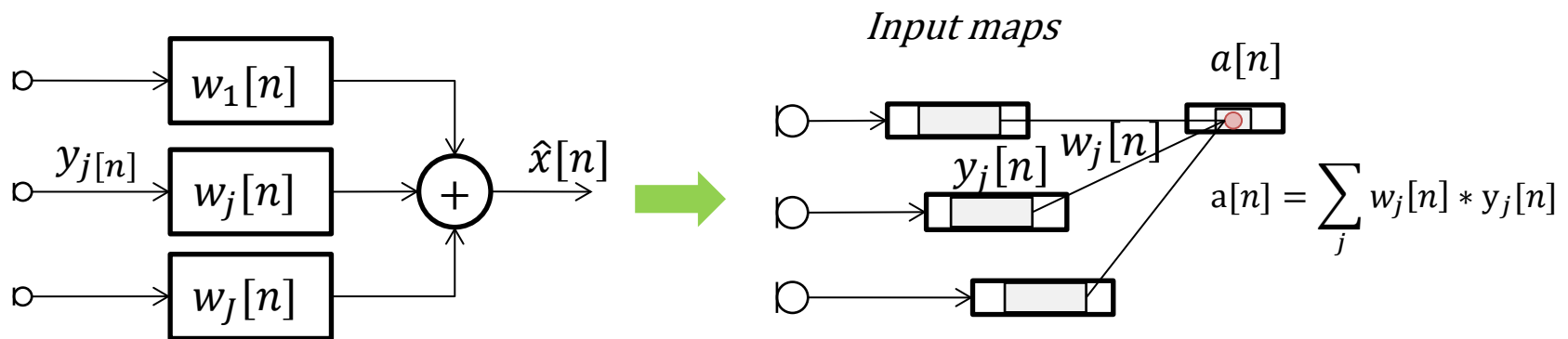
Back-end configuration:

- 1 CNN layer followed by 5 fully connected layers
- Input feature 40 log mel filterbank + delta + delta-delta

# Filter learning-based Beamforming network (time domain)

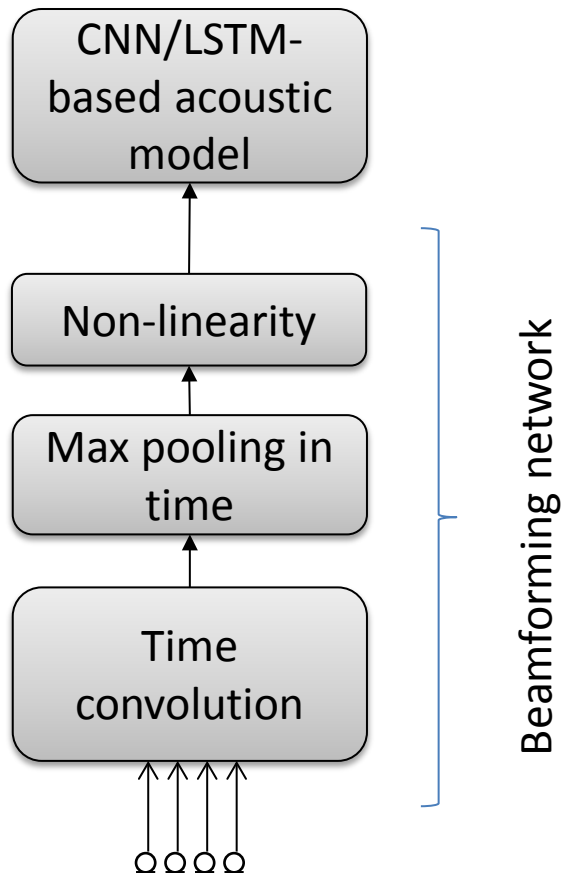
(Hoshen'15, Sainath'16)

- Beamforming can be expressed as a convolutional layer in the time domain (raw signals)



- Joint optimization is possible
  - Time domain  $\rightarrow$  Can exploit phase information
  - Fixed beamforming filter is learned** from corpus
  - By having multiple output maps, we can obtain a set of fixed beamformers steering at different directions  $w_j[n] \rightarrow w_j^{(m)}[n]$

# Filter learning-based Beamforming network architecture



- Beamforming and acoustic modeling can be expressed as a single neural network
  - **Joint training** becomes possible
- Beamforming network
  - Performs beamforming + implicit filterbank extraction
  - Max pooling in time and non-linearity removes phase information and mimic filterbank extraction

# Results on a large corpus

Results from (Sainath'16)

|                            | CE     | sMBR   |
|----------------------------|--------|--------|
| Raw signal (1ch)           | 23.5 % | 19.3 % |
| Oracle delay and sum (8ch) | 22.4 % | 18.8 % |
| Beamforming network (8ch)  | 20.6 % | 17.2 % |
| 8ch log mel input          | 21.7 % | -      |

Google internal data

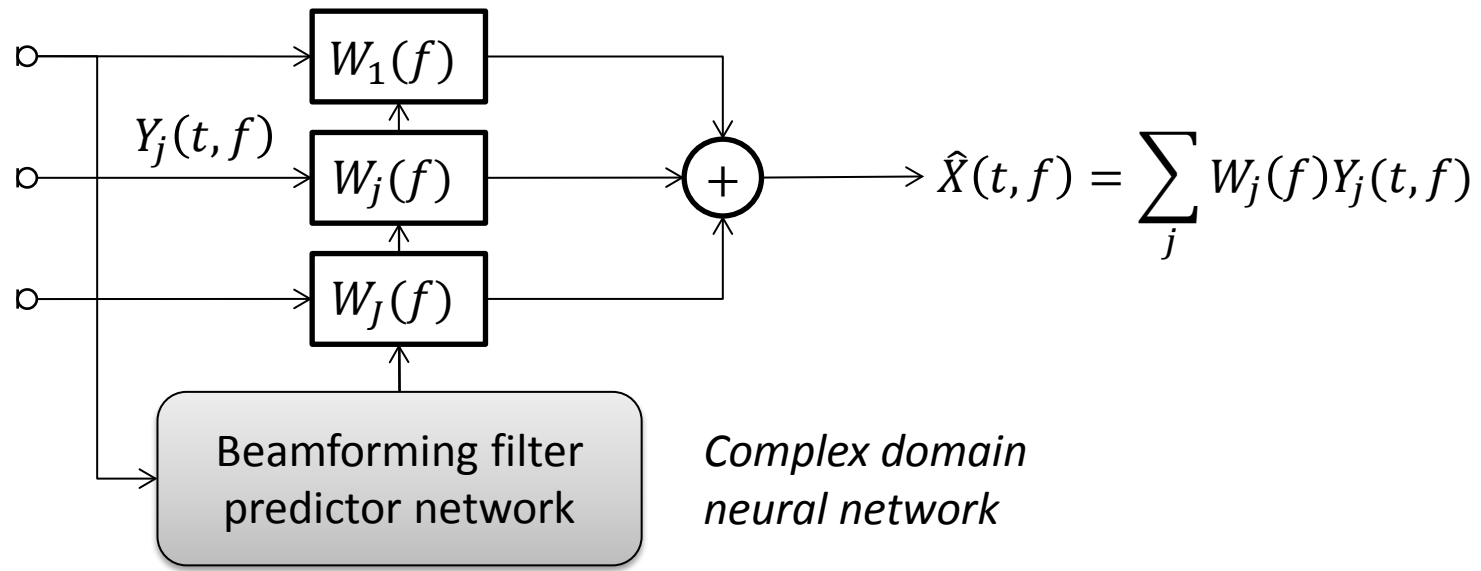
2000 h of training data with simulated distant speech

# Filter prediction-based beamforming network

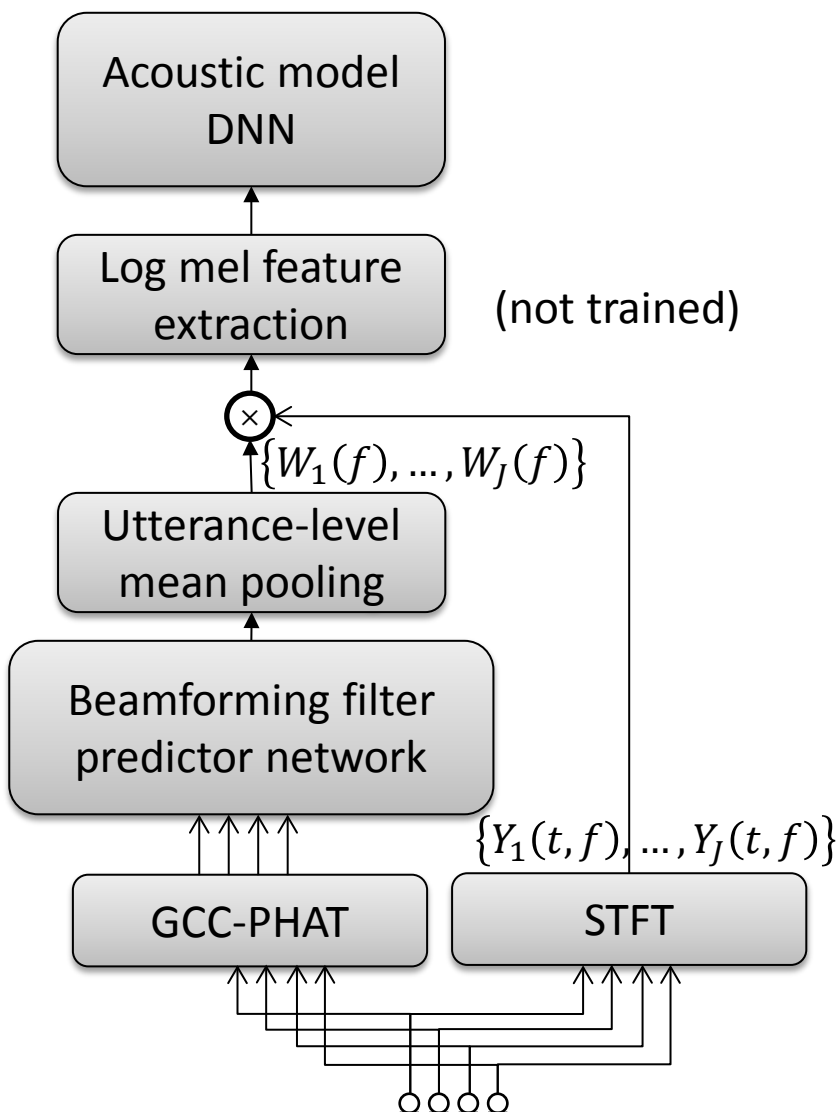
- Design a neural network to **predict** the beamforming filter coefficients given the input microphone signals

→ Adaptive to the input signal

- Time domain implementation (Li'16)
- STFT domain implementation (Xiao'16)



# Filter prediction-based beamforming network (Xiao'16)



- Beamforming and acoustic modeling can be expressed as a single neural network
- **Joint training** becomes possible
- Mimic Log Mel Filterbank
- Utterance-level mean pooling
  - Time-independent linear filter  $W_j(f)$
- Need careful training procedure
  - Train network, which predict Beamforming filter independently
    - Requires simulated data to have ground truth of the beamformer filter
  - Train acoustic model DNN independently on 1ch data
  - Refine with joint-optimization

# Results on the AMI corpus

Results from (Xiao'16)

|  | WER           |
|--|---------------|
| Single distant mic (1ch)                   | 53.8 %        |
| BeamformIt (8ch)                           | 47.9 %        |
| Beamforming filter predictor network (8ch) | 47.2 %        |
| <b>+ Joint training (8ch)</b>              | <b>44.7 %</b> |

Back-end configuration:

- Acoustic model (6 layer fully connected)
- Training criterion: Cross entropy

# Remarks

- Integration of SE front-end and ASR back-end becomes possible when all components are using neural networks
- **Joint** optimization improves performance
- For multi-channel, including phase information using raw signals or STFT domain features appears more promising
  - There may be issues for unseen condition or unseen microphone configurations
- Filter learning or filter prediction



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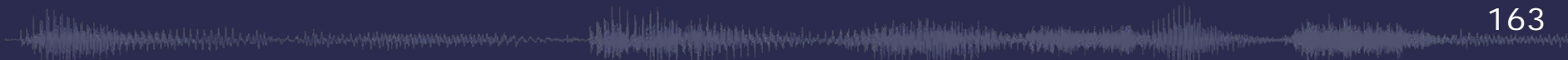
## 4. Building robust ASR systems

## 4.1 Overview of some successful systems at CHiME and REVERB

# REVERB CHALLENGE

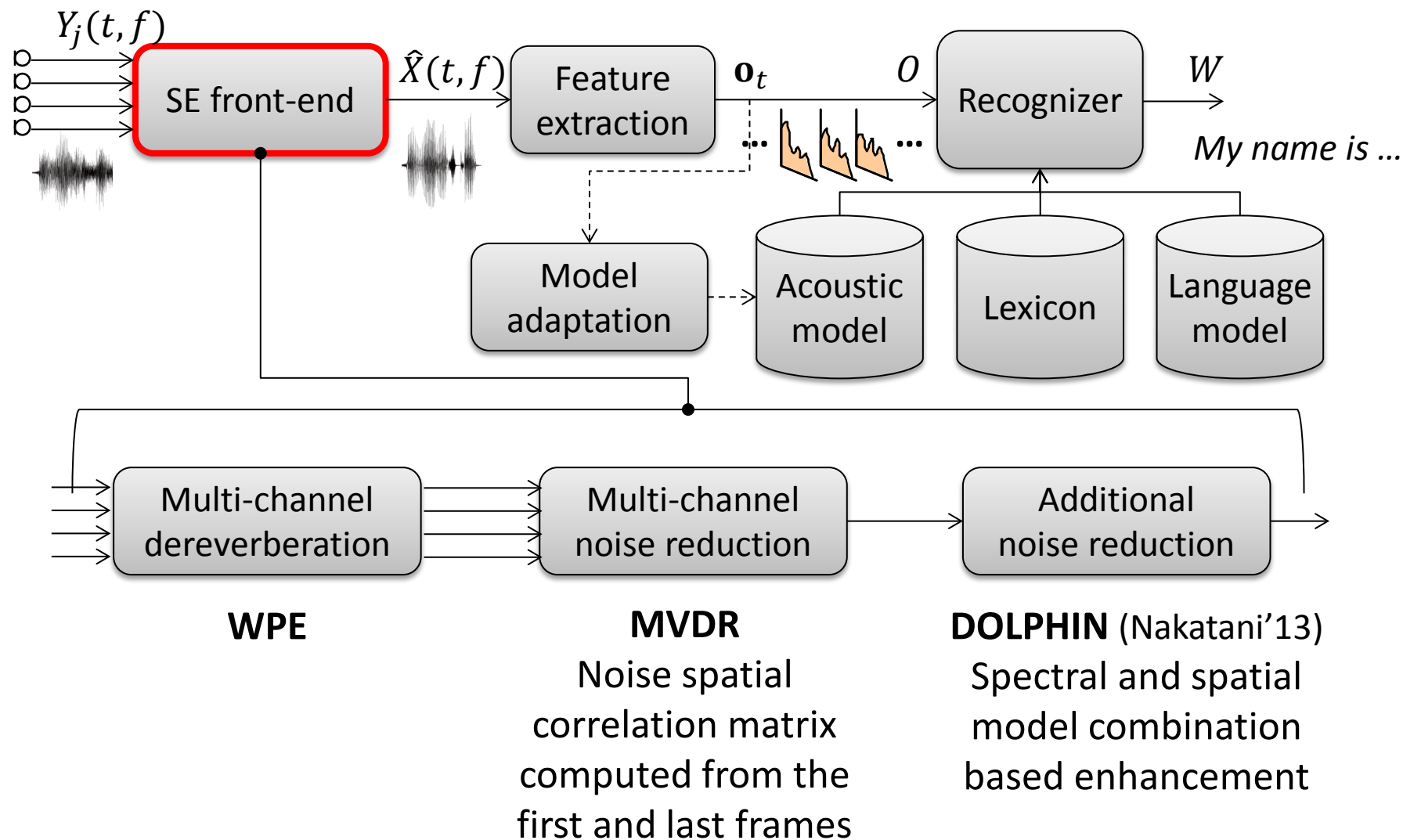


REVERB: NTT system



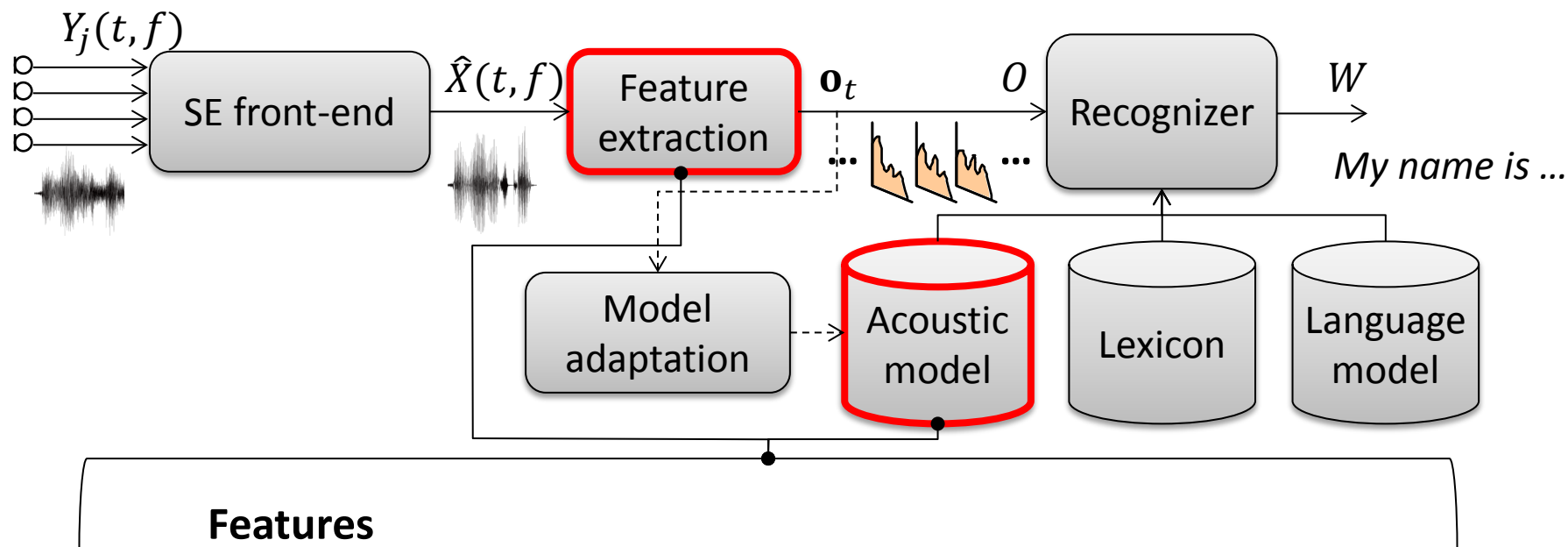
# REVERB challenge system

(Delcroix'15)



# REVERB challenge system

(Delcroix'15)



## Features

- 40 Log mel filter-bank coefficients +  $\Delta$  +  $\Delta\Delta$  (120)
- 5 left+5 right context (11 frames)

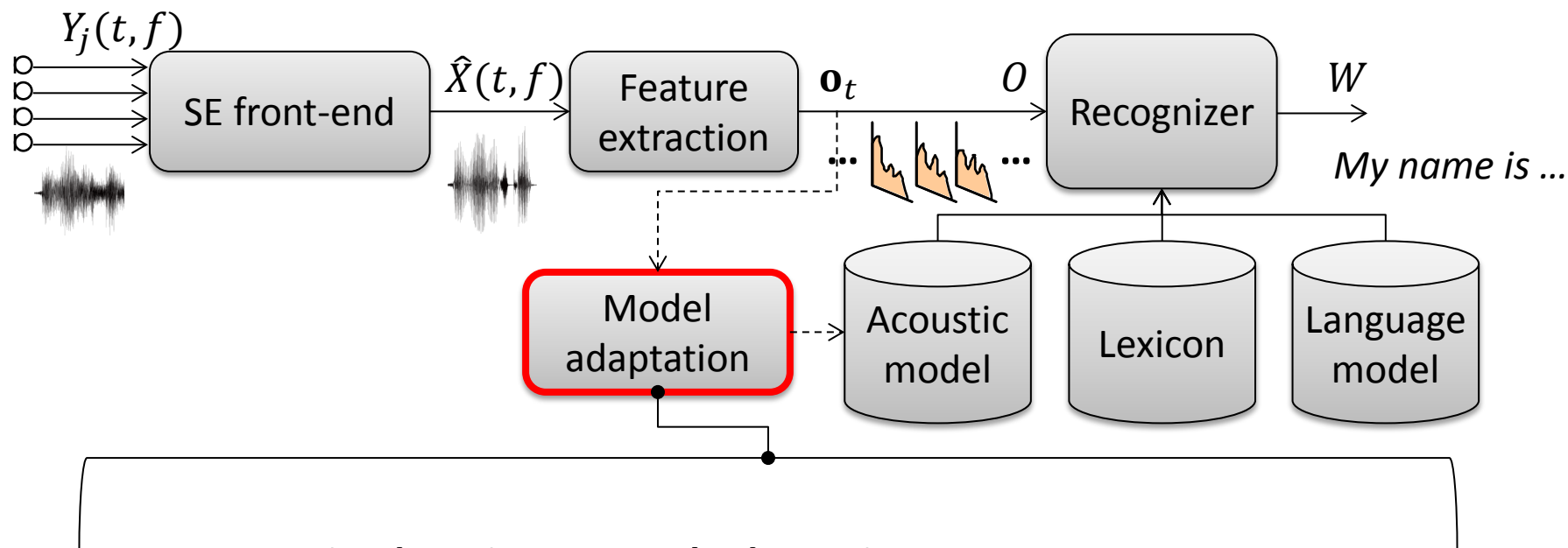
## Acoustic model

- DNN-HMM (7 hidden layers)
- RBM pre-training
- Training with data augmentation without SE front-end



# REVERB challenge system

(Delcroix'15)

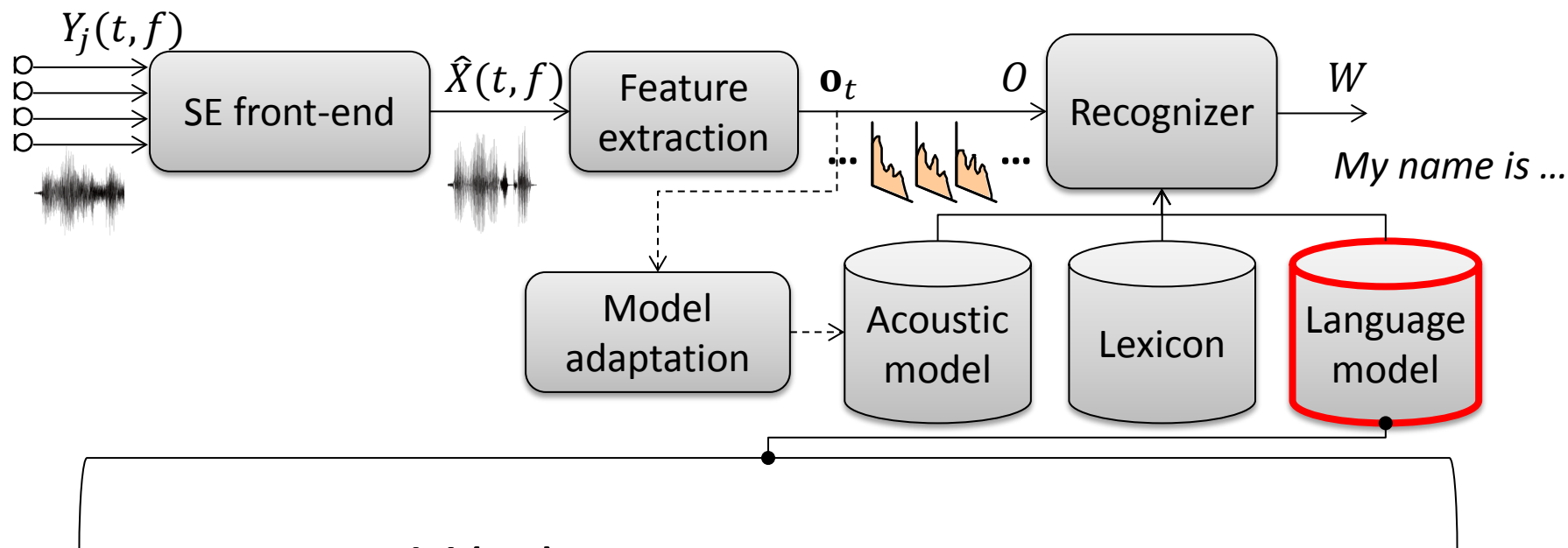


## Unsupervised environmental adaptation

- Retrain 1<sup>st</sup> layer of DNN-HMM w/ small learning rate using
- Labels obtained from a 1<sup>st</sup> recognition pass

# REVERB challenge system

(Delcroix'15)



## Language model (LM)

- Recurrent neural net (RNN) based LM w/ on-the-fly rescoring (Hori'14)

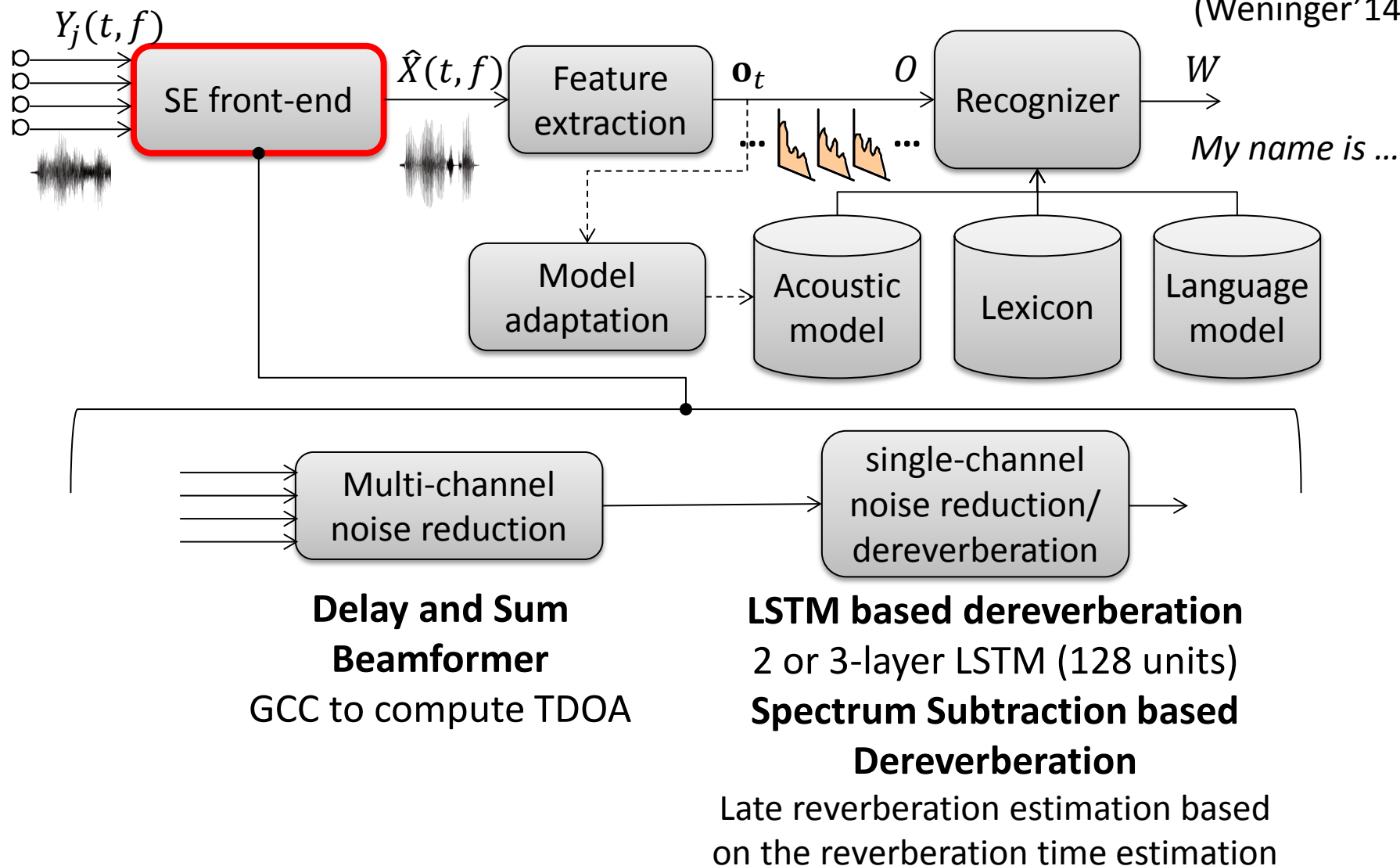
# REVERB CHALLENGE



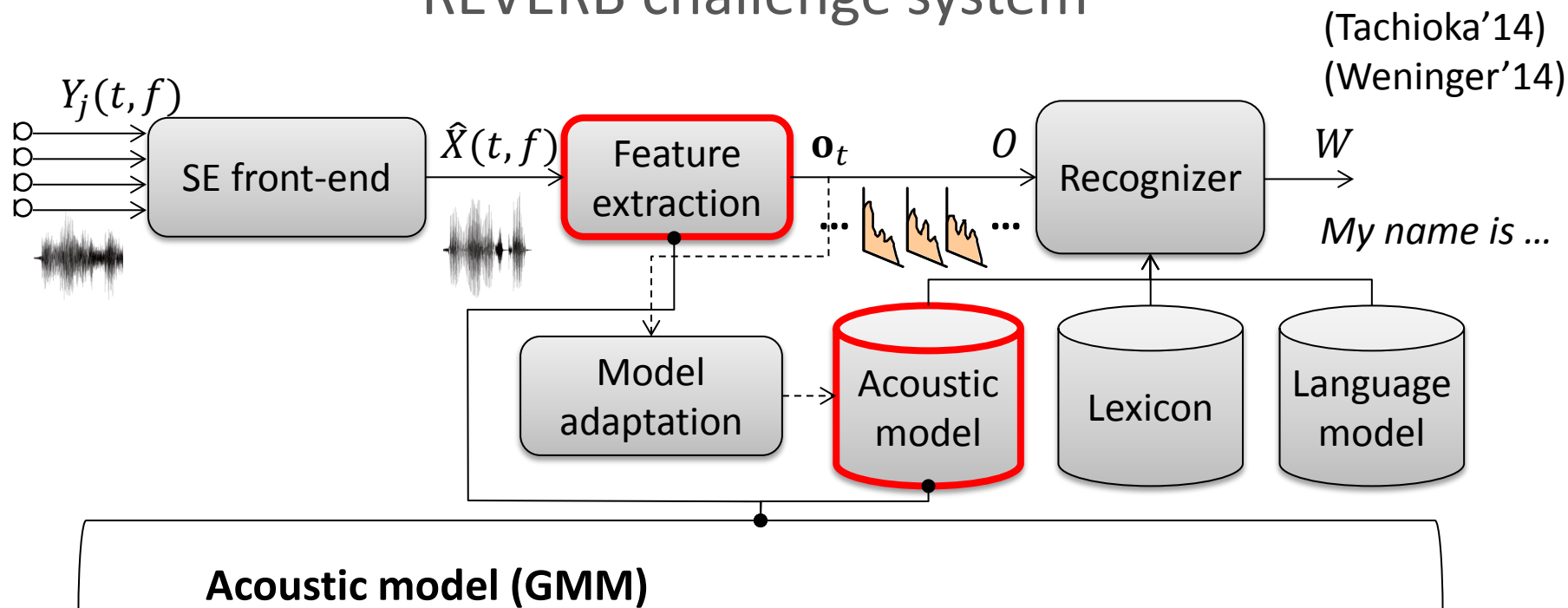
REVERB: MERL/MELCO/TUM system

# REVERB challenge system

(Tachioka'14)  
(Weninger'14)



# REVERB challenge system



## Acoustic model (GMM)

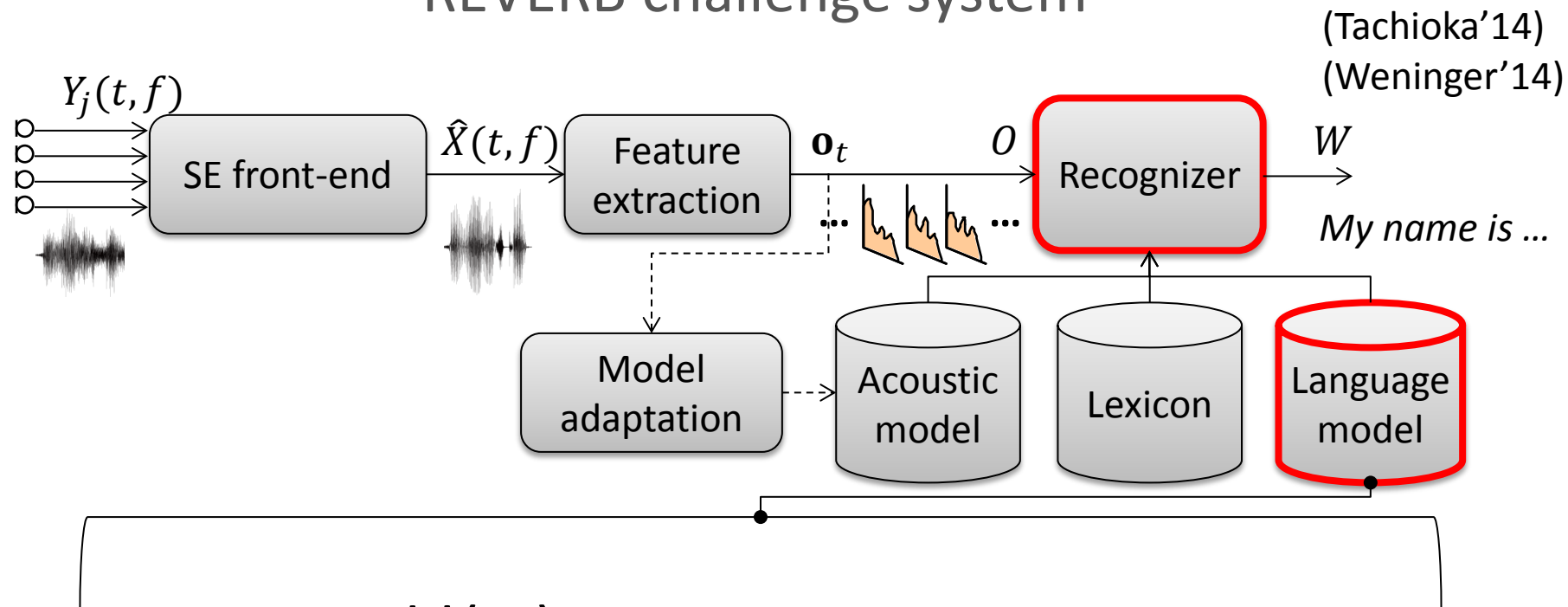
- 40 MFCC/PLP, LDA, MLLT, and fMLLR
- Feature-space MMI, boosted MMI

## Acoustic model (LSTM)

- LSTM output corresponds to 23 Log mel filter-bank coefficients
- 3-layer LSTM (50 units)

## Multi-Stream integration

# REVERB challenge system



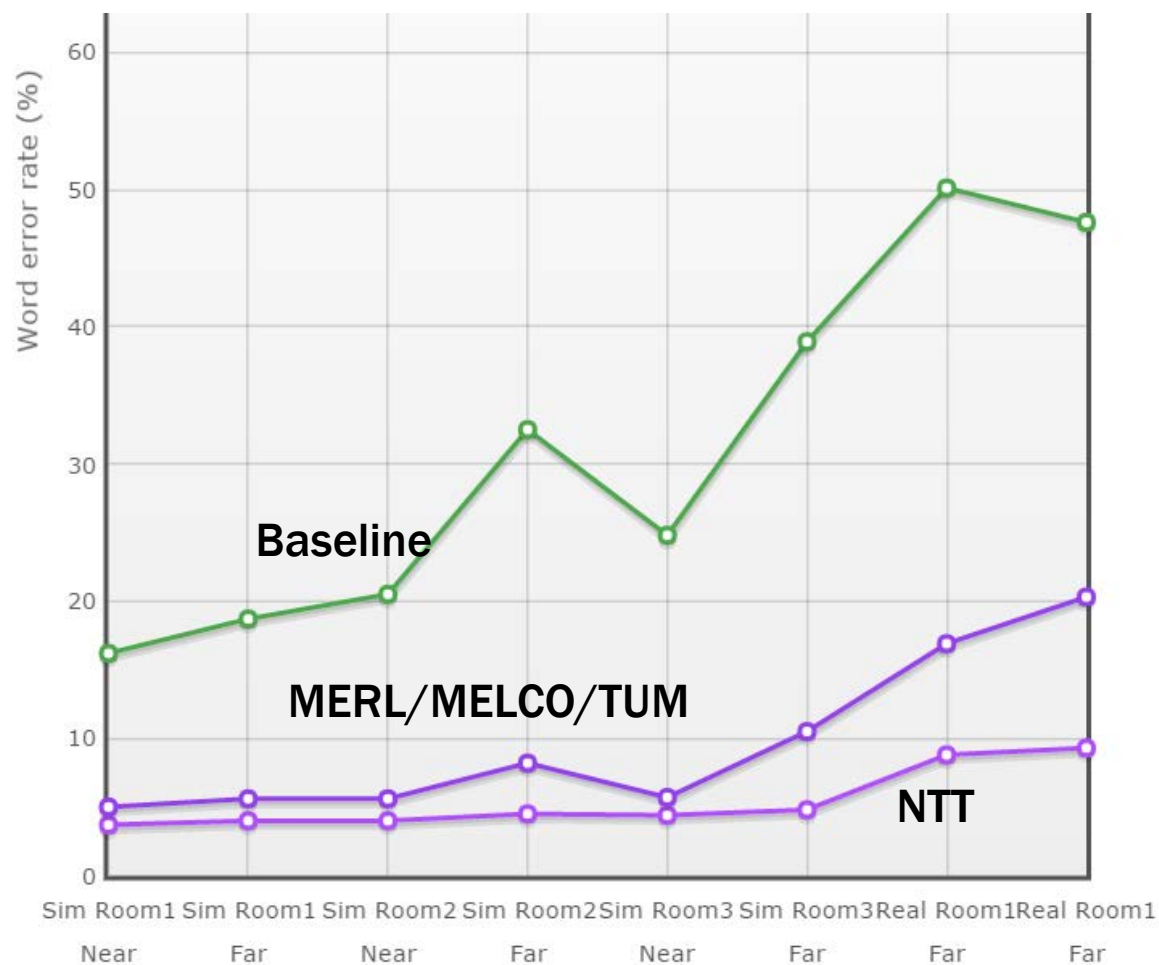
## Language model (LM)

- 3-gram LM

## Minimum Bayes Risk decoding

## System combination

# Results of top 2 systems



- Two systems significantly improve the performance from the baseline

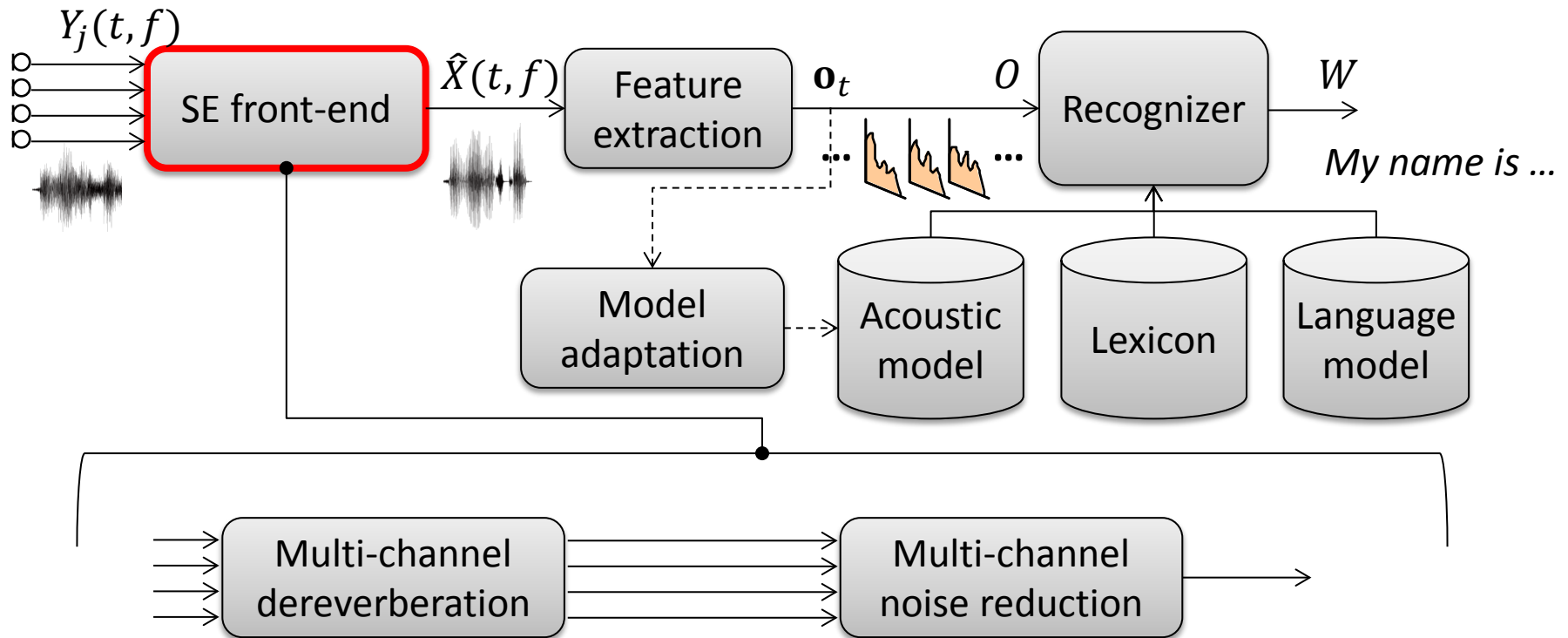


## CHiME 3: NTT system



# CHiME3 challenge system

(Yoshioka'15)



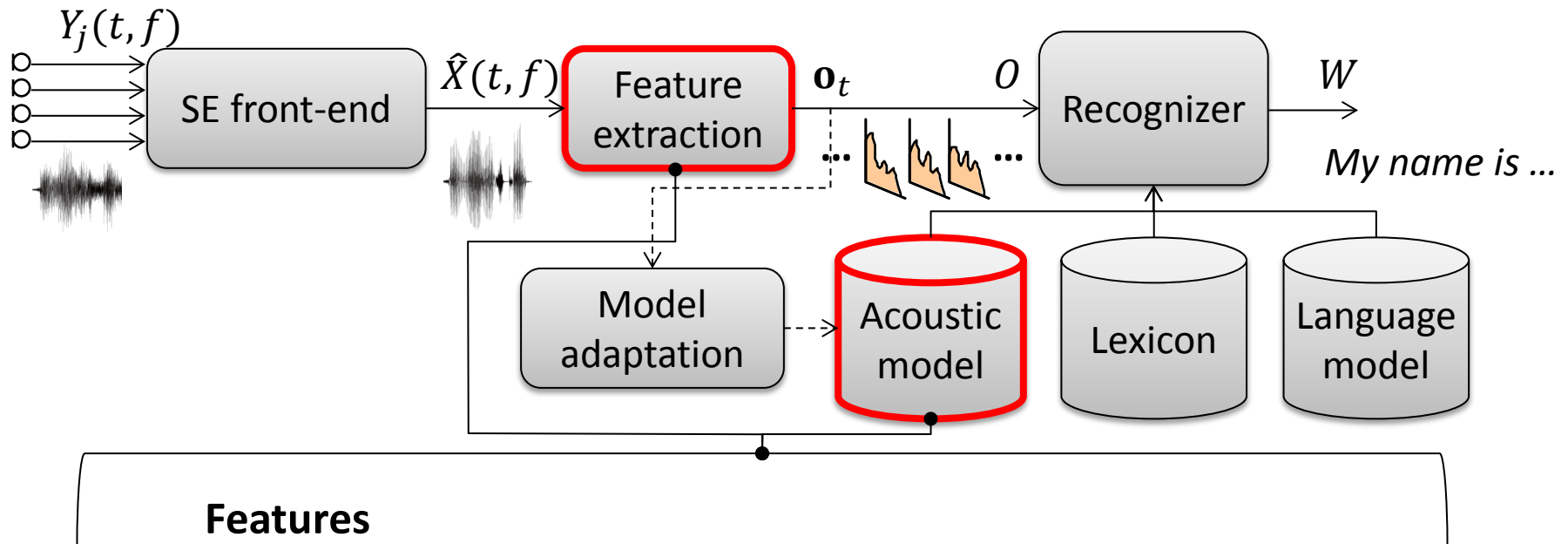
**WPE**

**MVDR** (Higuchi'16)

Spatial correlation matrix  
derived from **time-frequency**  
**mask** obtained by Clustering  
of spatial features

# CHiME3 challenge system

(Yoshioka'15)



## Features

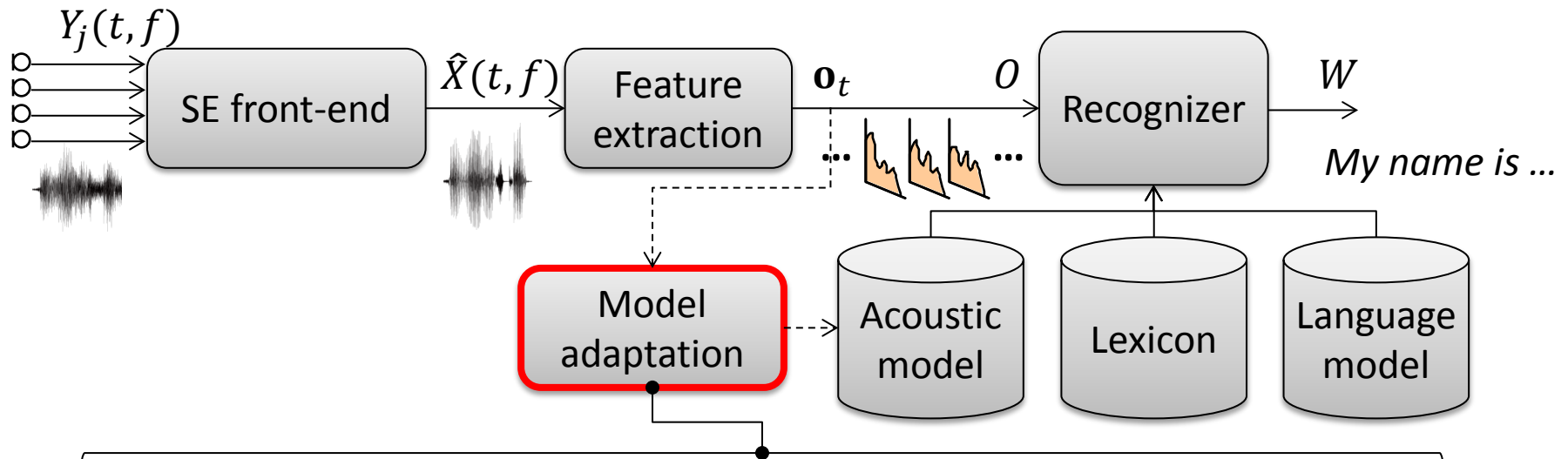
- 40 Log mel filter-bank coefficients +  $\Delta$  +  $\Delta\Delta$  (120)
- 5 left+5 right context (11 frames)

## Acoustic model

- Deep CNN using Network-in-Network
- Multi-channel training data (treat each channel training utterance as a separate training sample)
- Training without SE front-end

# CHiME3 challenge system

(Yoshioka'15)

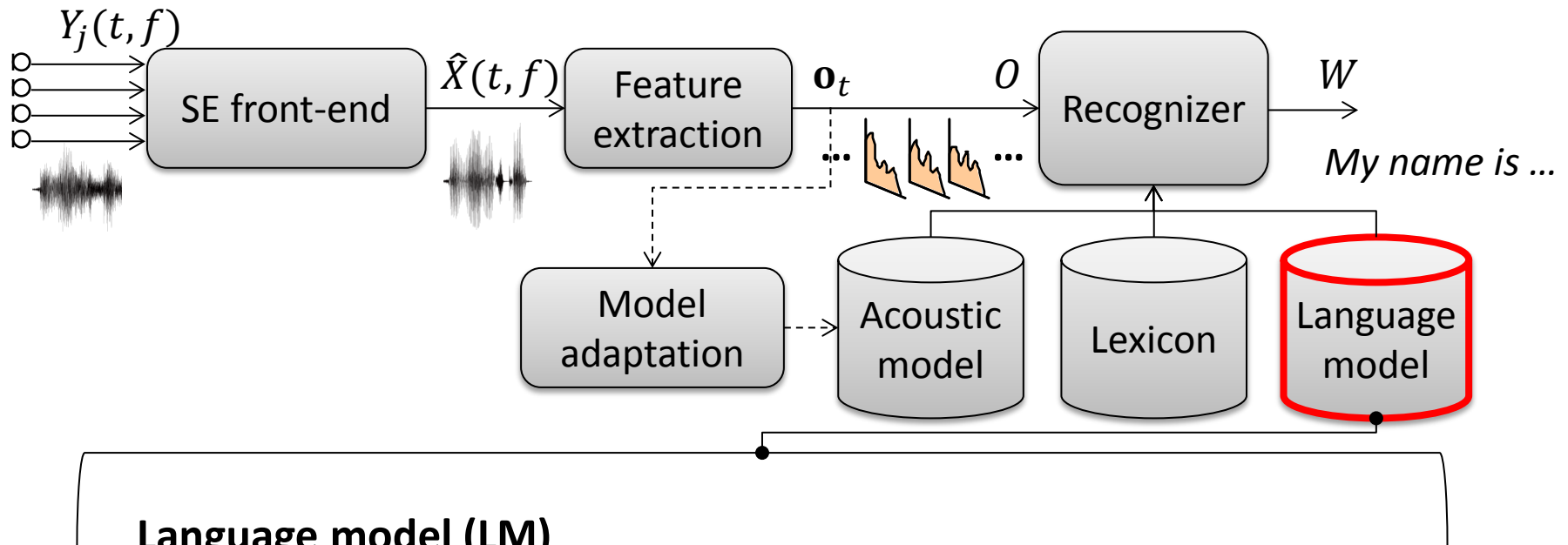


## Unsupervised speaker adaptation

- Retrain all layers of CNN-HMM
- Labels obtained from a 1<sup>st</sup> recognition pass with DNN based system → cross adaptation (system combination)

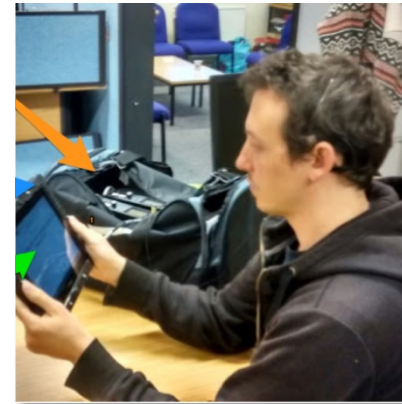
# CHiME3 challenge system

(Yoshioka'15)



## Language model (LM)

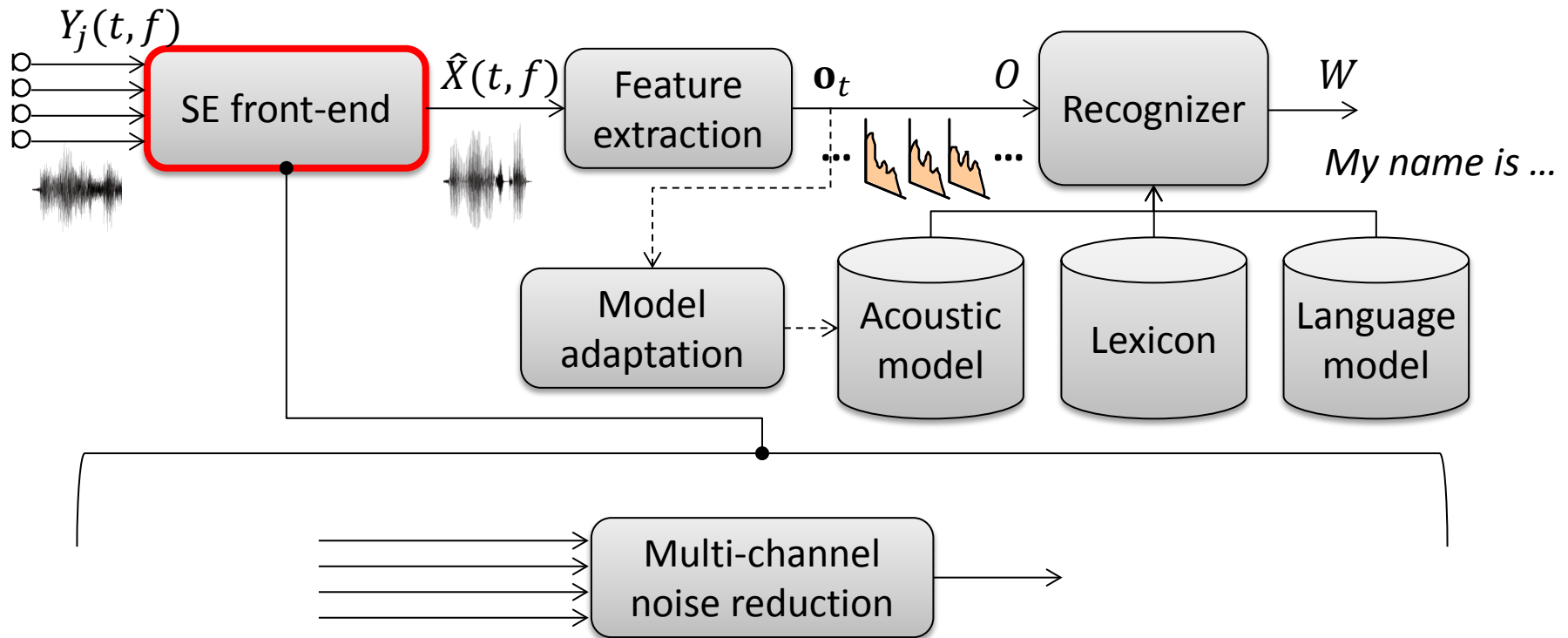
- Recurrent neural net (RNN) based LM w/ on-the-fly rescoring (Hori'14)



## CHiME 3: MERL-SRI system

# CHiME3 challenge system

(Hori'15)



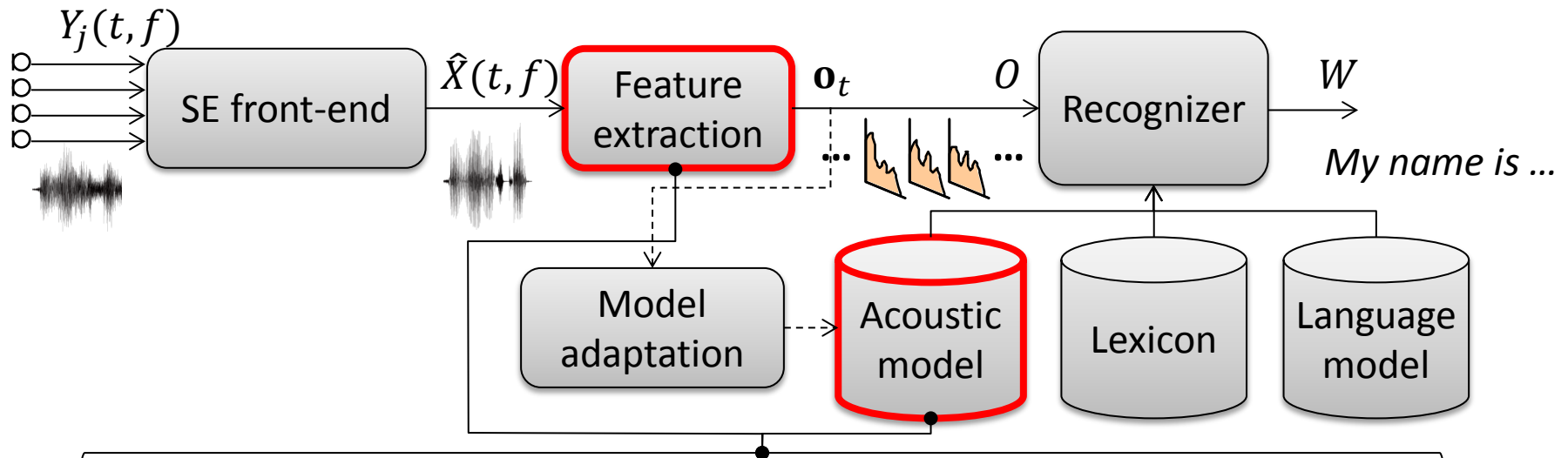
**BeamformIt** (Anguera'07)

**LSTM Mask-based MVDR** (Erdogan'16)

Both methods are integrated at  
system combination

# CHiME3 challenge system

(Hori'15)



**Features** (3 type features. Integrated at system combination)

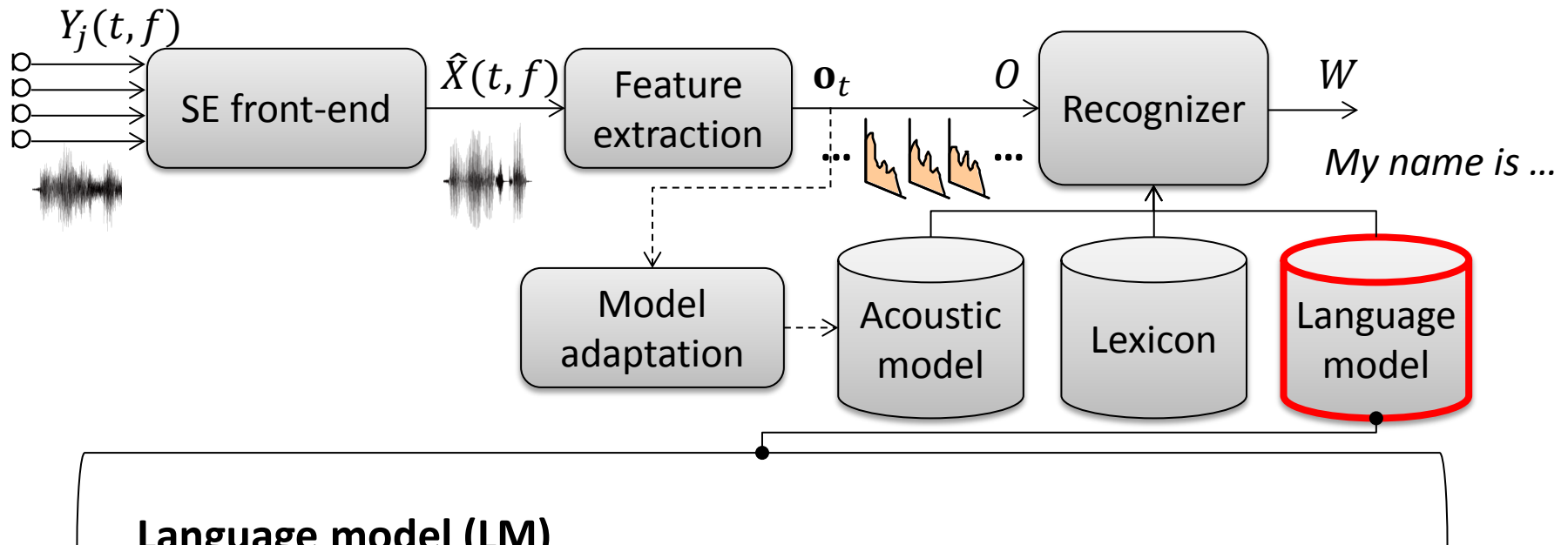
- 1) 40 Log mel filter-bank coefficients
- 2) Damped oscillator coefficients (DOC) (Mitra'14a)
- 3) Modulation of medium duration speech amplitudes (MMeDuSA) (Mitra'14b)
  - 5 left+5 right context (11 frames)
  - LDA, MLLT, fMLLR feature transformation

**Acoustic model**

- DNN with sMBR training
- Training with SE front-end

# CHiME3 challenge system

(Hori'15)



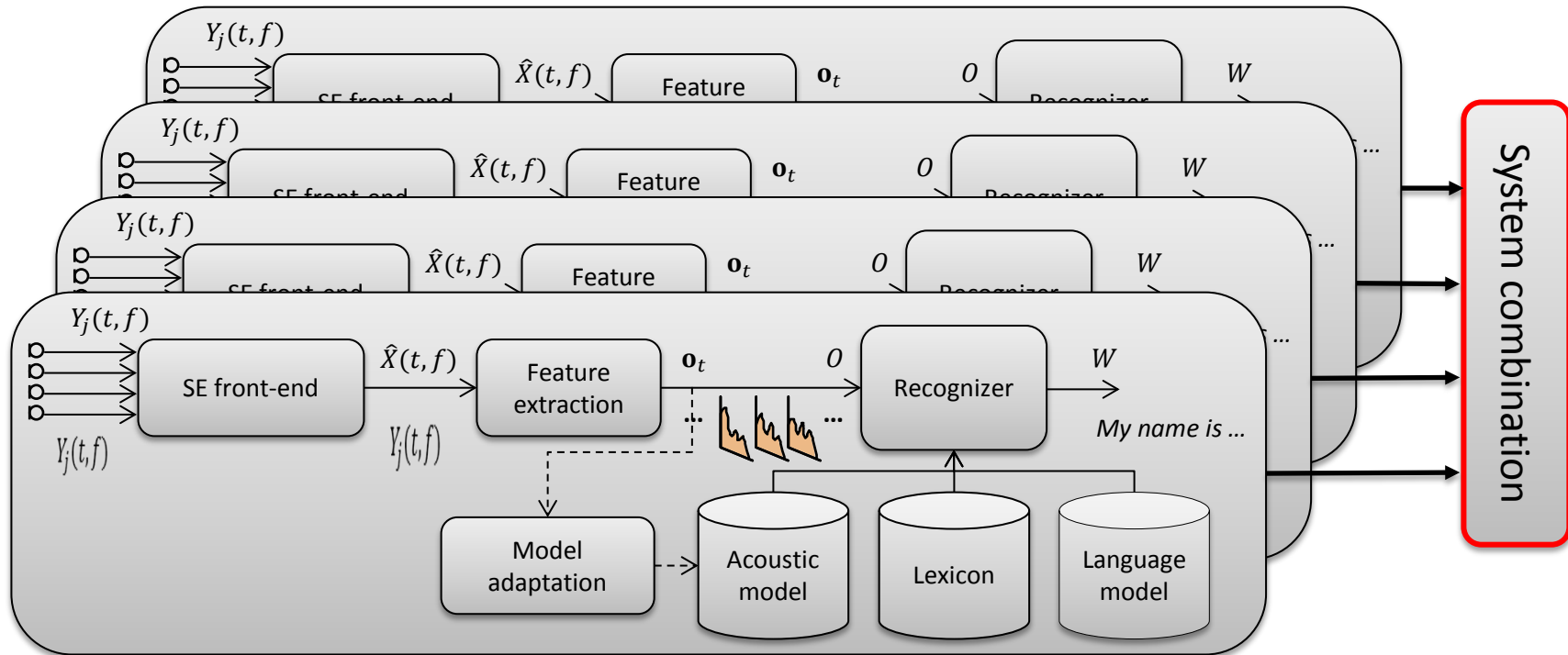
## Language model (LM)

- Recurrent neural net (RNN) based LM



# CHiME3 challenge system

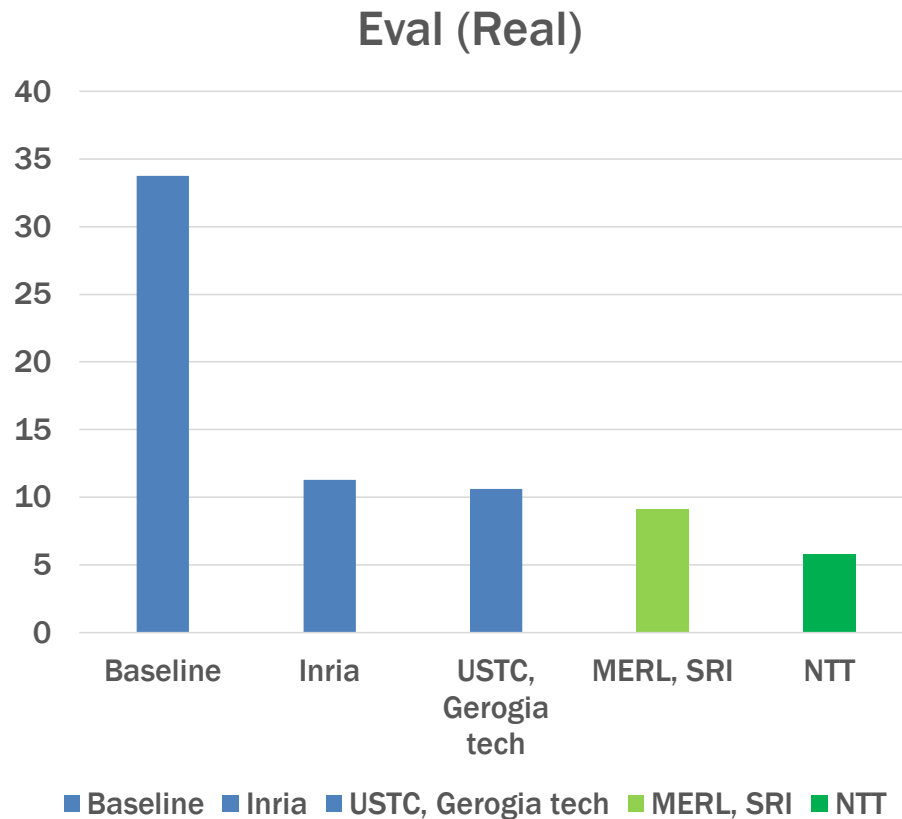
(Hori'15)



## System combination

- 1) BeamformIt + Log mel filter-bank
- 2) BeamformIt + DOC
- 3) BeamformIt + MMeDuSA
- 4) Make-based MVDR + Log mel filter-bank

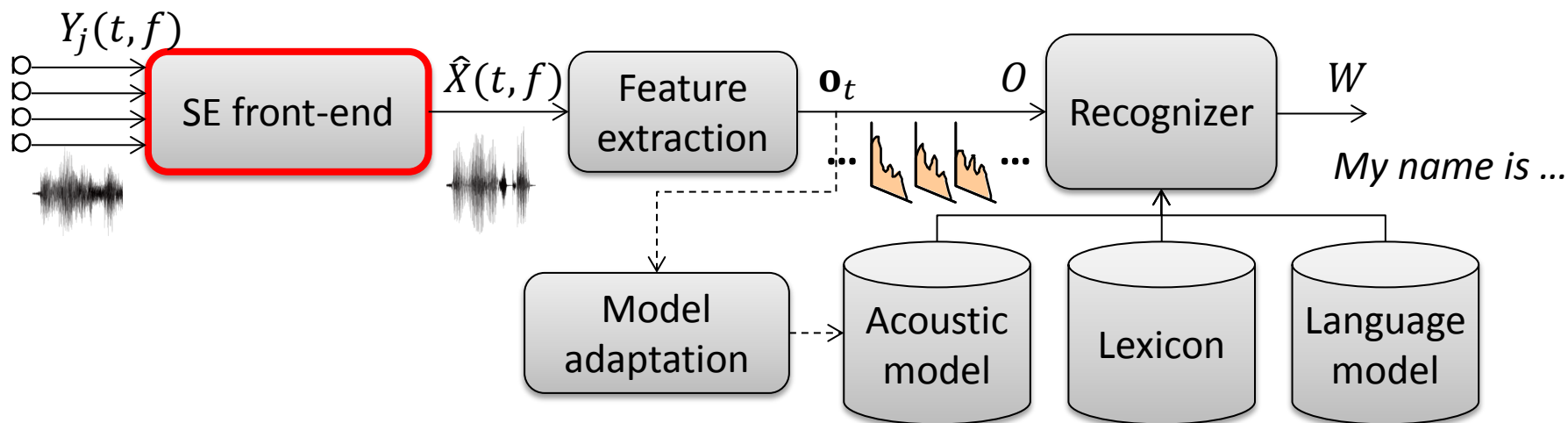
# Results of top 4 systems



- Significant error reduction from the baseline (more than 60%)  
→ Top system reaches clean speech performance (~5%)
- All systems are very complex  
☹ (reproducibility)
- We will discuss how to build such systems with existing tools

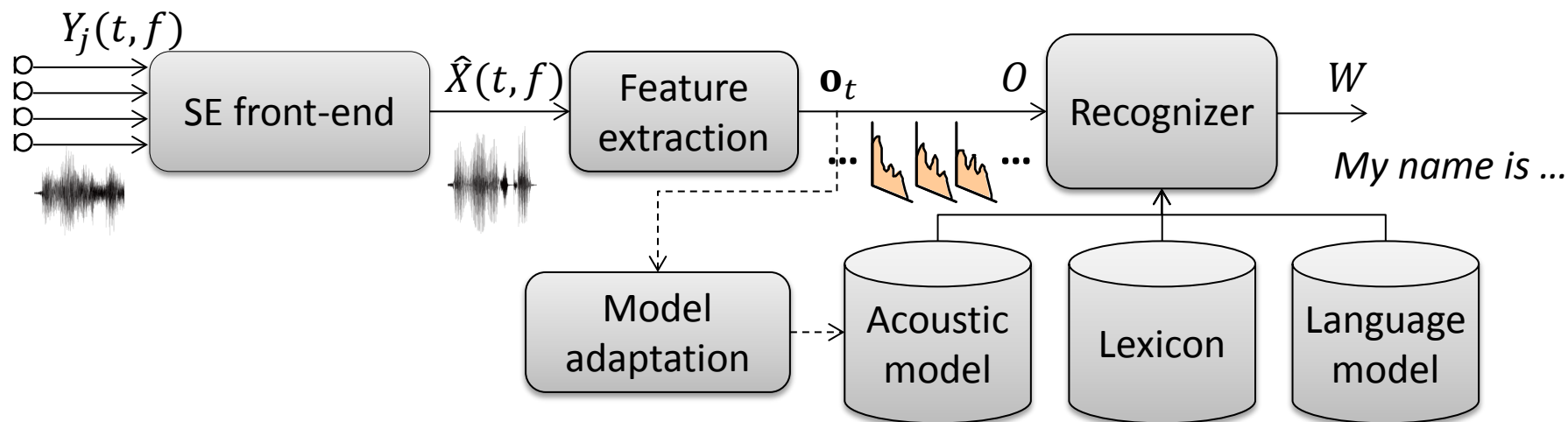
## 4.2 Overview of existing tools

# SE front-end



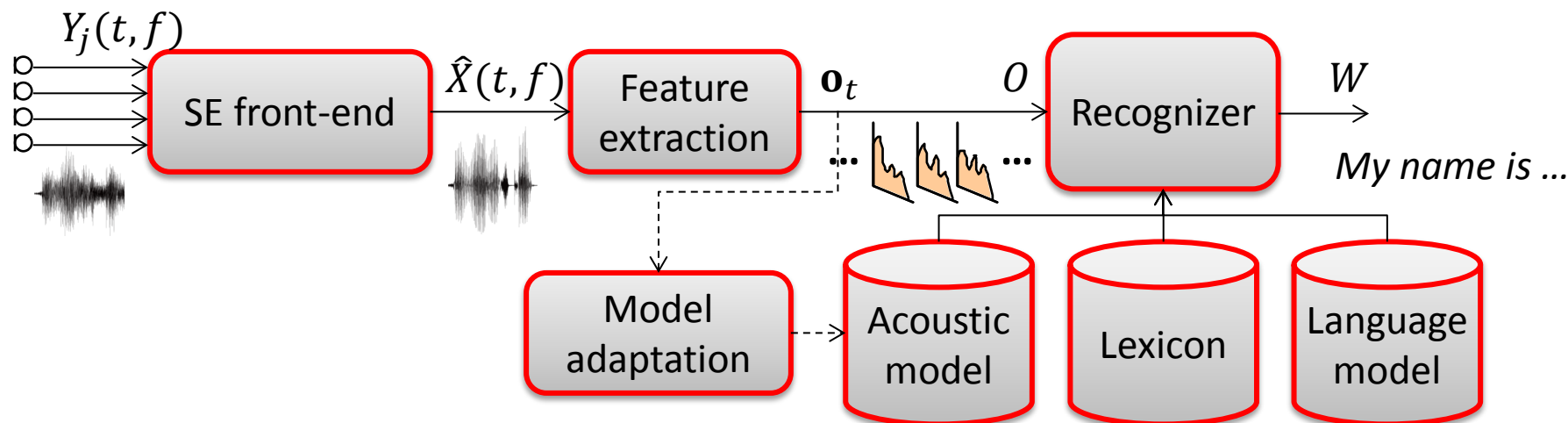
| Tool                    | Institute       | Function          | Language | License                    |
|-------------------------|-----------------|-------------------|----------|----------------------------|
| WPE                     | NTT             | Dereverberation   | Matlab   | Proprietary                |
| BeamformIt              | ICSI/X. Anguera | Beamforming       | C++      | Apache 2.0                 |
| SRP-PHAT MVDR           | Inria           | Beamforming       | Matlab   | GPL                        |
| FASST                   | Inria           | Multi-channel NMF | C++      | GPL                        |
| NN-based GEV beamformer | U. Paderborn    | Beamforming       | Python   | Non-commercial Educational |

# Whole system: Kaldi recipes



| Recipe | Enhancement | Acoustic modeling     | Language modeling | Main developers              |
|--------|-------------|-----------------------|-------------------|------------------------------|
| REVERB | n/a         | GMM                   | N-gram            | F. Weninger, S. Watanabe     |
| CHiME2 | n/a         | DNN, sMBR             | N-gram            | C. Weng, S. Watanabe         |
| CHiME3 | BeamformIt  | DNN, sMBR             | RNNLM             | S. Watanabe                  |
| CHiME4 | BeamformIt  | DNN, sMBR             | RNNLM             | S. Watanabe                  |
| AMI    | BeamformIt  | DNN, sMBR, LSTM, TDNN | N-gram            | P. Swietojanski, V. Peddinti |
| ASpIRE | n/a         | DNN, sMBR, LSTM, TDNN | N-gram            | V. Peddinti                  |

# Whole system: Kaldi recipes



| Recipe        | Enhancement       | Acoustic modeling     | Language modeling | Main developers              |
|---------------|-------------------|-----------------------|-------------------|------------------------------|
| REVERB        | n/a               | GMM                   | N-gram            | F. Weninger, S. Watanabe     |
| CHiME2        | n/a               | DNN, sMBR             | N-gram            | C. Weng, S. Watanabe         |
| CHiME3        | BeamformIt        | DNN, sMBR             | RNNLM             | S. Watanabe                  |
| <b>CHiME4</b> | <b>BeamformIt</b> | <b>DNN, sMBR</b>      | <b>RNNLM</b>      | <b>S. Watanabe</b>           |
| AMI           | BeamformIt        | DNN, sMBR, LSTM, TDNN | N-gram            | P. Swietojanski, V. Peddinti |
| ASpIRE        | n/a               | DNN, sMBR, LSTM, TDNN | N-gram            | V. Peddinti                  |

# CHiME4 Kaldi recipe based on free software

## 1. Get CHiME4 data

[http://spandh.dcs.shef.ac.uk/chime\\_challenge/software.html](http://spandh.dcs.shef.ac.uk/chime_challenge/software.html)

– Registration → LDC license confirmation step → credentials

## 2. Get Kaldi

<https://github.com/kaldi-asr/kaldi>

## 3. Install Kaldi tools

– In addition to default Kaldi tools, you have to install BeamformIt, IRSTLM, SRILM, and Milonov's RNNLM (all are prepared in kaldi/tools/extras

– For SRILM, you need to get source (srilm.tgz)

at <http://www.speech.sri.com/projects/srilm/download.html>

## 4. Install Kaldi

## 5. Specify CHiME4 data root paths in kaldi/egs/s5\_6ch/run.sh

## 6. Execute ./run.sh

# kaldi/egs/s5\_6ch/run.sh

```
#!/bin/bash

chime4_data=/db/laputa1/data/processed/public/CHiME4
local/run_init.sh $chime4_data

enhancement_method=beamformit_5mics
enhancement_data=`pwd`/enhan/$enhancement_method
local/run_beamform_6ch_track.sh --cmd "$train_cmd" --nj 20 \
    $chime4_data/data/audio/16kHz/isolated_6ch_track $enhancement_data

local/run_gmm.sh $enhancement_method $enhancement_data $chime4_data

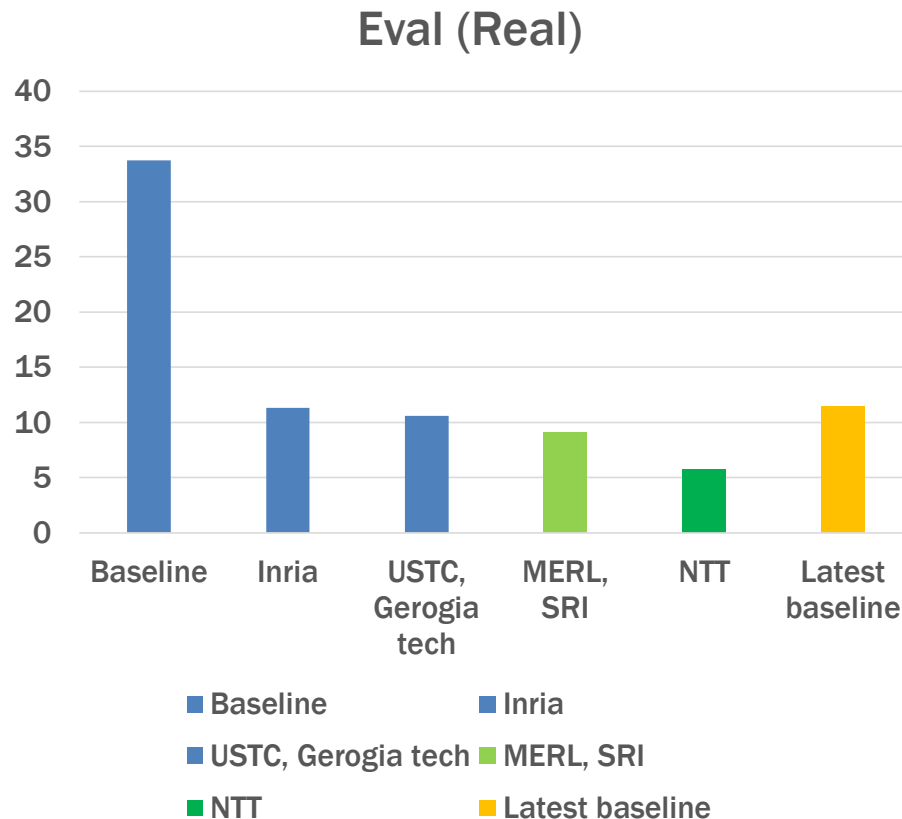
local/run_dnn.sh $enhancement_method

local/run_lmrescore.sh $chime4_data $enhancement_method
```

- **run\_init.sh**: creates 3-gram LM, FSTs, and basic task files
- **run\_beamform\_6ch\_track.sh**: beamforming with 5 channel signals
- **run\_gmm.sh**: LDA, MLLT, fMLLR based GMM
- **run\_dnn.sh**: DNN + sMBR
- **run\_lmrescore.sh**: 5-gram and RNNLM rescoring



# Result and remarks



- Already obtain top level performance (11.5%)
- Everyone can **reproduce** the same results!
- **Contribute** to DSR recipes to improve/standardize DSR pipeline for the community, e.g.
  - Advanced beamforming by using public tools
  - Advanced acoustic modeling
  - Data simulation
  - DNN enhancement

# References (Building systems)

- (Anguera'07) Anguera, X., et al. "Acoustic beamforming for speaker diarization of meetings," IEEE Trans. ASLP (2007).
- (Barker'15) Barker, J., et al, "The third `CHiME' Speech Separation and Recognition Challenge: Dataset, task and baselines," Proc. ASRU (2015).
- (Delcroix'15) Delcroix, M., et al. "Strategies for distant speech recognition in reverberant environments," CSL (2015).
- (Erdogan'16) Erdogan, H., et al. Improved MVDR beamforming using single-channel mask prediction networks," Proc. Interspeech (2016).
- (Hori'14) Hori, T., et al. "Real-time one-pass decoding with recurrent neural network language model for speech recognition," Proc. ICASSP (2014).
- (Hori'15) Hori, T., et al. "The MERL/SRI system for the 3rd CHiME challenge using beamforming, robust feature extraction, and advanced speech recognition," Proc. ASRU (2015).
- (Mitra'14a) Mitra, V., et al. "Damped oscillator cepstral coefficients for robust speech recognition," Proc. Interspeech (2013).
- (Mitra'14b) Mitra, V., et al. "Medium duration modulation cepstral feature for robust speech recognition," Proc. ICASSP (2014).
- (Nakatani'13) Nakatani, T. et al. "Dominance based integration of spatial and spectral features for speech enhancement," IEEE Trans. ASLP (2013).
- (Tachioka'14) Tachioka, Y., et al. "Dual System Combination Approach for Various Reverberant Environments with Dereverberation Techniques," Proc. REVERB Workshop (2014).
- (Wang'16) Wang, Z.-Q. et al. "A Joint Training Framework for Robust automatic speech recognition," IEEE/ACM Trans. ASLP (2016).
- (Weninger'14) Weninger, F., et al. "The MERL/MELCO/TUM system for the REVERB Challenge using Deep Recurrent Neural Network Feature Enhancement," Proc. REVERB Workshop (2014).
- (Yoshioka'15) Yoshioka, T., et al. "The NTT CHiME-3 system: advances in speech enhancement and recognition for mobile multi-microphone devices," Proc. ASRU (2015).

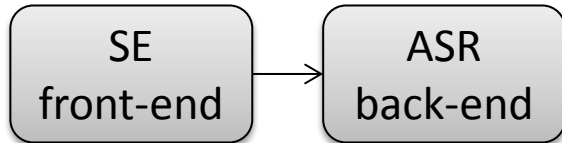
## 6. Conclusion and future research directions

# Conclusion

- Combining SE and ASR techniques greatly improves performance in severe conditions
  - SE front-end technologies
    - Microphone array,
    - Neural network-based speech enhancement, ...
  - ASR back-end technologies
    - Feature extraction/transformation
    - RNN/LSTM/TDNN/CNN based acoustic modeling
    - Model adaptation, ...
- Introduction of deep learning had a great impact on DSR
  - Large performance improvement
  - Reshuffling the importance of technologies
- There remains many challenges and opportunities for further improvement

# Toward joint optimization?

## Separate optimization



- Both components are designed with different objective functions
- 😊 Potentially SE front-end can be made more robust to unseen acoustic conditions (noise types, different mic configurations)
- 😞 Not optimal for ASR

## Joint optimization



- Both components are optimized with the same objective functions
- 😞 Potentially more sensitive to mismatch between training and testing acoustic conditions
- 😊 Optimal for ASR

- Joint training is a recent active research topic
  - Currently integrate front-end and acoustic model
  - Combined with *end-to-end* approaches it could introduce higher level cues to the SE front-end (linguistic info...)

# Dealing with uncertainties

- Advanced GMM-based systems exploited the uncertainty of the SE front-end during decoding (Uncertainty decoding)
  - Provided a way to interconnect speech enhancement front-end and ASR back-end optimized with different criteria
- Exploiting uncertainty within DNN-based ASR systems has not been sufficiently explored yet
  - Joint training is one option
  - Are there other?

# More severe constraints

- Limited number of microphones
  - Best performances are obtained when exploiting multi-microphones

| 1ch    | 2ch    | 8ch   | Lapel | Headset |
|--------|--------|-------|-------|---------|
| 17.4 % | 12.7 % | 9.0 % | 8.3 % | 5.9 %   |

REVERB challenge

- Remains a great gap between performance with a single-microphone
- Developing more powerful single-channel approaches remains an important research topic
- Many systems assume batch processing or utterance batch processing
  - Need further research for online & real-time processing

# More diverse acoustic conditions

- More challenging situations are waiting to be tackled
    - Dynamic conditions
      - Multiple speakers
      - Moving speakers, ...
    - Various conditions
      - Variety of microphone types/numbers/configurations
      - Variety of acoustic conditions, rooms, noise types, SNRs, ...
    - More realistic conditions
      - Spontaneous speech
      - Unsegmented data
      - Microphone failures, ...
    - New directions
      - Distributed mic arrays, ...
- New technologies may be needed to tackle these issues
- New corpora are needed to evaluate these technologies



# Larger DSR corpora

- Some industrial players have access to large amount of field data...  
... most publicly available DSR corpora are relatively small scale
- It has some advantages,
  - ☺ Lower barrier of entry to the field
  - ☺ Faster experimental turnaround
  - ☺ New applications start with limited amount of available data

But...

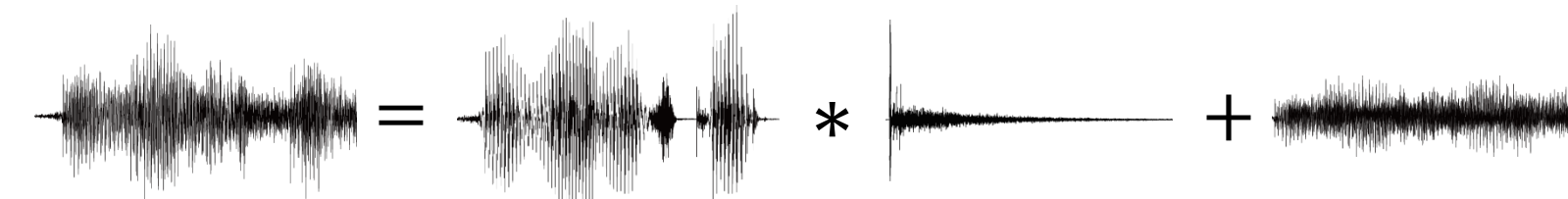
Are the developed technologies still relevant when training data cover a large variety of conditions?

Could the absence of large corpora hinder the development of data demanding new technologies?

→ There is a need to create larger publicly available DSR corpus

# DSR data simulation

- Low cost way to obtain large amount of data covering many conditions
- Only solution to obtain noisy/clean parallel corpora
- Distant microphone signals can be simulated as



The diagram illustrates the simulation of a microphone signal. It shows a sequence of four audio waveforms connected by mathematical operators. The first waveform is labeled 'Microphone signal'. It is followed by an equals sign, then a second waveform labeled 'clean speech'. This is followed by a multiplication symbol (\*), then a third waveform labeled 'measured room impulse response'. This is followed by a plus sign (+), and finally a fourth waveform labeled 'measured noise'.

$$\text{Microphone signal} = \text{clean speech} * \text{measured room impulse response} + \text{measured noise}$$

- Good simulation requires measuring the room impulse responses and the noise signals in the same rooms with the same microphone array
- Still ...
  - Some aspect are not modeled e.g. head movements
  - It is difficult to measure room impulse response in public spaces,...

# DSR data simulation

- Recent challenges results showed that
    - Simulated data help for acoustic model training
      - No need for precise simulation
    - Results on simulated data do not match results on real data when using an SE front-end
      - SE models match better to simulated data → Causes overfitting
- Need to develop better simulation techniques

# Toolkits

- ASR research has long history of community developed toolkits and recipes



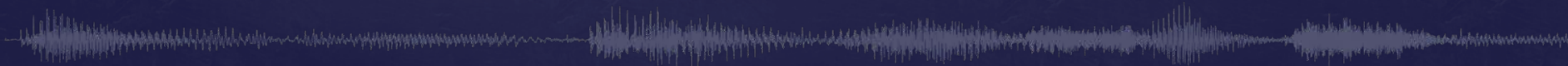
- Toolkits and recipes are important to
    - Lower barrier of entrance
    - Reproducibility of results
    - Speedup progress in the field
  - Recent DSR recipes for REVERB and CHiME challenges include state-of-the-art back-end technologies
  - Much less toolkits and recipes available for SE technologies
- Community based development of SE toolkits could contribute to faster innovation for DSR

# Cross community

- DSR research requires combination of
  - SE front-end technologies
  - ASR back-end technologies
- Cross disciplinary area of research from speech enhancement, microphone array, ASR...
- Recent challenges (CHiME, REVERB) have contributed to increase synergy between the communities by sharing
  - Common tasks
  - Baseline systems
  - Share knowledge
    - Edit book to appear “New Era for Robust Speech Recognition: Exploiting Deep Learning,” Springer (2017)

Thank you!

# Acknowledgments



# Acknowledgments

- We would like to thank our colleagues at *NTT, MERL, MELCO, TUM, SRI* and at *the 2015 Jelinek summer workshop on Speech and Language technology (JSALT)* for their direct or indirect contributions to the content of this tutorial.
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